

Wavefunction of the universe from a Lorentzian path integral in three-dimensional gravity

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- 4 Applying Picard-Lefschetz theory to 3D gravity
 - The saddle points of $S_{\text{cl}}(\ell)$
 - The steepest-descent and -ascent contours
 - Stokes phenomenon
- 5 Summary & future directions

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Motivation and historical overview

The wavefunction of the universe

- A quantum theory of gravity would treat the universe in its entirety as a quantum system.
 - ⇒ It must be described by a wavefunction, encoding information about its **evolution**, as well as its **boundary conditions**.
- Two ways to determine the wavefunction of the universe (WfU)
 - ① Wheeler–DeWitt equation
 - ② Gravitational path integral } & boundary conditions

Motivation and historical overview

Proposals for the boundary conditions

- Most dominant proposals:
 - Hartle-Hawking: no-boundary proposal
 - Vilenkin: quantum tunneling proposal
- Ψ in both proposals can be framed as Euclidean path integrals over compact geometries from “nothing”.
- The Euclidean path integral representation of the two proposals can be obtained via analytic continuation from Lorentzian time
HH: $t \rightarrow -i\tau$ & Linde-V: $t \rightarrow +i\tau$
 - Euclidean path integrals are ill-defined
 - ① HH: unbounded from below due to kinetic term in the action
 - ② LV: unbounded from above due to potential term in the action
 - The contour choices in both proposals are arbitrary.

Motivation and historical overview

Wavefunction as a Lorentzian path integral and Picard-Lefschetz

- Recent work defines the WfU as a **Lorentzian** path integral [Feldbrugge, Lehnert & Turok '17]

$$\Psi[h_1, \phi_1; h_2, \phi_2] = \int_{\substack{(g, \phi)|_{\Sigma_1} = (h_1, \phi_1) \\ (g, \phi)|_{\Sigma_2} = (h_2, \phi_2)}} \mathcal{D}g_{\mu\nu} \mathcal{D}\phi e^{iS[g_{\mu\nu}, \phi]/\hbar}$$

where the path integral is taken over $g_{\mu\nu}$ and ϕ on $\mathcal{M}$ satisfying the BCs on $\partial\mathcal{M} = \Sigma_1 \cup \Sigma_2$.

- Utilize Picard-Lefschetz theory to choose contour of integration for absolute convergence.

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The wavefunction of the universe as a 1D integral

Classical minisuperspace model in 3D gravity

- We are interested in a homogeneous and isotropic 3D spacetime

$$ds^2 = -N^2(x^0) (dx^0)^2 + a^2(x^0) d\Omega_2^2$$

where

- $N(x^0)$ is the lapse function
- $a(x^0)$ is the scale factor
- $d\Omega_2^2$ is the metric on the unit 2-sphere

- The Einstein-Hilbert action reduces to

$$S[N, a] = 4\pi \int_{x_i^0}^{x_f^0} dx^0 \left(-\frac{\dot{a}^2}{N} + N - \Lambda N a^2 \right)$$

where $a = a(x^0)$, $N = N(x^0)$ and Λ is the cosmological constant.

The wavefunction of the universe as a 1D integral

Defining the wavefunction

□ Define the **WfU** as

$$\Psi(a_0) = \int \frac{\mathcal{D}N}{\text{Vol}(\text{Diff}[-N^2])} \int_{a(x_i^0)=0}^{a(x_f^0)=a_0} \mathcal{D}a \, e^{iS[N,a]/\hbar}$$

with $a(x_i^0) = 0$ and $a(x_f^0) = a_0$.

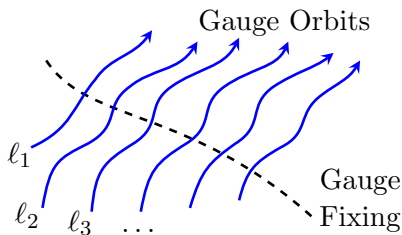
- Divide $\mathcal{D}N$ by the Volume of the time-reparametrization group to avoid overcounting physically equivalent configurations.
- Interpretation as the probability amplitude for creating a spherical two-dimensional universe of scale factor a_0 from a configuration in which the universe is a vanishing 2-sphere, i.e. “nothing”.

The wavefunction of the universe as a 1D integral

Gauge-fixing & the path integral over a

- $S[N, a]$ is invariant under $x^0 \rightarrow \xi^0(x^0) = x^0_\xi$
- Given $g_{00}(x^0) \equiv -N^2(x^0)$ on $[x_i^0, x_f^0]$, a diffeomorphism generates a new metric $g_{00}(\xi^0)$, with the proper-time interval preserved

$$\ell = \int_{x_i^0}^{x_f^0} dx^0 \sqrt{-g_{00}^x(x^0)} = \int_{\xi_i^0 = \xi^0(x_i^0)}^{\xi_f^0 = \xi^0(x_f^0)} d\xi^0 \sqrt{-g_{00}^\xi(\xi^0)} \in \mathbb{R}_+$$



The wavefunction of the universe as a 1D integral

Gauge-fixing & the path integral over a

- Choose time coordinate t and lapse function $N(t) = \ell$ on $t \in [0, 1]$
[Partouche, Toumbas & de Vaulchier '21]

$$\int \mathcal{D}N \rightarrow \int_0^\infty d\ell \Delta_{\text{FP}}(\ell) \int \mathcal{D}(\text{orbit of equivalence class } \ell)$$

where $\Delta_{\text{FP}}(\ell) = 1$ (does not depend on ℓ).

- The WfU reduces to a 1D integral and a path integral over a

$$\Psi(a_0) = \int_0^\infty d\ell \int_{\substack{a(0)=0 \\ a(1)=a_0}} \mathcal{D}a e^{iS[\ell, a]/\hbar}$$

where the path integral of the orbit cancels with the volume.

Next step: to compute the path integral over a .

The wavefunction of the universe as a 1D integral

Gauge-fixing & the path integral over a

- [Feldbrugge, Lehnert & Turok '17] computes the **WfU** in 4D by reducing $\Psi(a_0)$ to

$$\Psi(a_0) = \int_0^\infty d\ell \int_{\substack{a(0)=0 \\ a(1)=a_0}} \mathcal{D}a e^{iS_{4D}[\ell,a]/\hbar}$$

they rescale $\ell \rightarrow \ell/a(t)$

$$\ell dt = \frac{\ell}{a(t)} du$$

resulting in their Lagrangian being quadratic in $q(t) \equiv a^2(t)$

$$S_{4D}[q, \ell] = \int_0^{u_1} du \ell \left(\frac{3\dot{q}^2}{4\ell^2} + 3q - \Lambda q^2 \right), \quad u_1 = \int_0^1 dt a(t)$$

Approximate path integral over q as Gaussian, neglecting contributions from $\sqrt{q(t)}$ present in $u_1 \Rightarrow$ 3D-exact results

The wavefunction of the universe as a 1D integral

Gauge-fixing & the path integral over a

- Solve classical EoM for $a(t)$ with $a(0) = 0$ and $a(1) = a_0$

$$a_{\text{cl}}(t) = a_0 \frac{\sinh(\sqrt{\Lambda}\ell t)}{\sinh(\sqrt{\Lambda}\ell)}$$

- Consider fluctuations around classical solution: $a(t) = a_{\text{cl}}(t) + \delta a(t)$

$$\int_{\substack{\delta a(0)=0 \\ \delta a(1)=0}} \mathcal{D}(\delta a) e^{iS[\ell, \delta a]/\hbar} = \left(\frac{-4\pi\sqrt{\Lambda}}{\pi i \hbar \sinh(\sqrt{\Lambda}\ell)} \right)^{1/2} \equiv f(\ell) \quad \text{Gaussian}$$

- Write the WfU as a 1D, oscillatory integral over ℓ

$$\Psi(a_0) = \int_0^\infty d\ell f(\ell) e^{iS_{\text{cl}}(\ell)/\hbar}$$

where

$$S_{\text{cl}}(\ell) \equiv S[\ell, a_{\text{cl}}] = 4\pi \left[\ell - a_0^2 \sqrt{\Lambda} \coth(\sqrt{\Lambda}\ell) \right]$$

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The Picard-Lefschetz method for oscillating integrals

- Consider an one-dimensional, oscillatory integral of the form

$$I = \int_D d\ell \, f(\ell) e^{\mathcal{I}(\ell)}$$

where

- D is a contour of integration in the complex plane.
- $f(\ell)$ and $\mathcal{I}(\ell)$ are complex functions.

PL theory can be applied when $f(\ell)$ and $\mathcal{I}(\ell)$ are holomorphic functions of ℓ .

The Picard-Lefschetz method for oscillating integrals

- Main Idea: deform D to the sum of steepest-descent contours, each emanating from a critical point of $\mathcal{I}(\ell)$

$$D \stackrel{\text{Cauchy}}{\sim} \mathcal{C} = \sum_{\sigma} n_{\sigma} \mathcal{J}_{\sigma} \quad \longrightarrow \quad I = \sum_{\sigma} n_{\sigma} \int_{\mathcal{J}_{\sigma}} d\ell f(\ell) e^{\mathcal{I}(\ell)}$$

where

- D is the original contour of integration in the complex plane.
- $\mathcal{K}_{\sigma}$ and $\mathcal{J}_{\sigma}$ are dual and Lefschetz thimbles, satisfying $\frac{d\ell}{d\lambda} = \pm \frac{\partial \mathcal{I}}{\partial \ell}$
- $n_{\sigma} = \text{Int}(D, \mathcal{K}_{\sigma}) \in \{0, \pm 1\}$ is the intersection number between D and $\mathcal{K}_{\sigma}$.

For $D \sim \mathcal{C}$, no singularities must be crossed and the endpoints must be kept fixed.

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Applying Picard-Lefschetz theory to 3D gravity

Plan for computing the 1D integral

□ Recall that we are faced with the integral

$$\Psi(a_0) = \int_D d\ell f(\ell) e^{iS_{\text{cl}}(\ell)/\hbar}$$

with $D = (0, \infty)$, and

$$f(\ell) = \left(\frac{-4\pi\sqrt{\Lambda}}{\pi i \hbar \sinh(\sqrt{\Lambda}\ell)} \right)^{1/2} \quad S_{\text{cl}}(\ell) = \left[\ell - \sqrt{\Lambda} a_0^2 \coth(\sqrt{\Lambda}\ell) \right]$$

Proceed with the classically forbidden region ($\sqrt{\Lambda}a_0 < 1$)

- Determine saddle points of $iS_{\text{cl}}(\ell)/\hbar$.
- Plot $\mathcal{J}_\sigma$ and $\mathcal{K}_\sigma$ for each σ .
- Apply $n_\sigma = \text{Int}(D, \mathcal{K}_\sigma)$ to determine the contributions to $\Psi(a_0)$

Applying Picard-Lefschetz theory to 3D gravity

The saddle points of $S_{\text{cl}}(\ell)$

- The saddle points satisfy

$$\frac{\partial S_{\text{cl}}}{\partial \ell} = 0 \Leftrightarrow \ell_{\sigma} = \frac{i}{\sqrt{\Lambda}} \left\{ (-1)^{\sigma} \arcsin(\sqrt{\Lambda} a_0) + \left\lfloor \frac{\sigma+1}{2} \right\rfloor \pi \right\}$$

where $\sigma \in \mathbb{Z}$ (infinitely many saddle points).

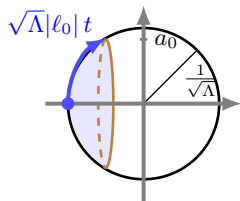
- Euclidean metrics for 3D space

$$ds_{\sigma}^2 = \frac{1}{\Lambda} \left[d(\sqrt{\Lambda} |\ell_{\sigma}| t)^2 + \sin^2(\sqrt{\Lambda} |\ell_{\sigma}| t) d\Omega_2^2 \right]$$

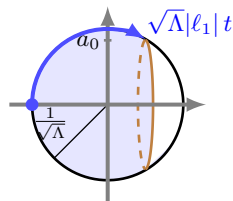
depicted as a necklace composed of beads with radius $1/\sqrt{\Lambda}$, terminating with a cap.

Applying Picard-Lefschetz theory to 3D gravity

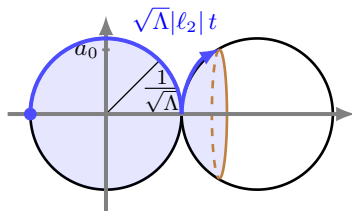
The saddle points of $S_{\text{cl}}(\ell)$



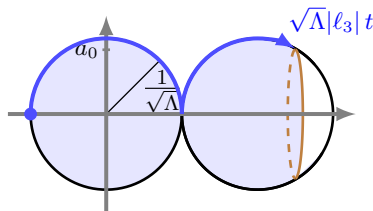
$\sigma = 0$



$\sigma = 1$



$\sigma = 2$



$\sigma = 3$

Applying Picard-Lefschetz theory to 3D gravity

The steepest-descent and -ascent contours

□ For the Lefschetz and dual thimbles $\mathcal{J}_\sigma$ and $\mathcal{K}_\sigma$, solve

$$\frac{d\ell}{d\lambda} = \mp \frac{4i\pi}{\hbar} \left[1 + \frac{a_0^2 \Lambda}{\sinh^2(\sqrt{\Lambda} \ell)} \right] \quad \text{around } \ell_\sigma \quad \forall \sigma \in \mathbb{Z}$$

with $-$ sign for $\mathcal{J}_\sigma$ and $+$ sign for $\mathcal{K}_\sigma$.

- Along $\mathcal{J}_\sigma$ ($\mathcal{K}_\sigma$) the real part of the exponent $iS_{\text{cl}}(\ell)/\hbar$ decreases (increases) rapidly, while the imaginary part remains constant.
- Use $\mathcal{J}_\sigma$ and $\mathcal{K}_\sigma$ to write $D \sim \mathcal{C} = \sum_\sigma n_\sigma \mathcal{J}_\sigma$, and

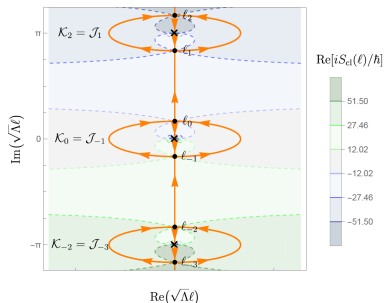
$$\Psi(a_0) = \sum_\sigma n_\sigma \int_{\mathcal{J}_\sigma} d\ell f(\ell) e^{iS_{\text{cl}}(\ell)/\hbar}$$

where $n_\sigma = \text{Int}(D, \mathcal{K}_\sigma)$.

Applying Picard-Lefschetz theory to 3D gravity

The steepest-descent and -ascent contours

□ Plots of $\mathcal{J}_\sigma$ and $\mathcal{K}_\sigma$ for $(\Lambda, a_0) = (1, 1/2)$, obtained numerically



- Arrows indicate decreasing $\text{Re}[iS_{\text{cl}}(\ell)/\hbar]$
- Dotted curves: constant $\text{Re}[iS_{\text{cl}}(\ell)/\hbar]$
- As $\ell \rightarrow i\infty$, $\text{Re}[iS_{\text{cl}}(\ell)/\hbar] \rightarrow -\infty$
- Branches of $\mathcal{J}_\sigma$ coincide with branches of $\mathcal{K}_{\kappa \neq \sigma}$
 ⇒ Stokes phenomenon

Applying Picard-Lefschetz theory to 3D gravity

Stokes phenomenon

- Steepest-ascent contours stop at other saddle points instead of reaching infinity or the singular points of $S_{\text{cl}}(\ell)$ (usual domain)
 $\Rightarrow n_\sigma$ not well-defined.
- Introduce small parameter η by letting $\hbar = |\hbar|e^{i\eta}$
 - Of course, this situation is unphysical $\Rightarrow$ eventually take $\eta \rightarrow 0$ limit
 - Flow equations change into

$$\frac{d\ell}{d\lambda} = \mp \frac{i\omega_2}{|\hbar|} e^{i\eta} \left[1 + \frac{\Lambda a_0^2}{\sinh^2(\sqrt{\Lambda\ell})} \right]$$

As a result, steepest-ascent and -descent contours from different saddle points disentangle.

Applying Picard-Lefschetz theory to 3D gravity

Results

□ After $\eta \rightarrow 0$, the semiclassical factor

➤ in the classically forbidden region ($0 < \sqrt{\Lambda}a_0 < 1$) is

$$\Psi(a_0) \approx \frac{i}{(1-\Lambda a_0^2)^{1/4}} \exp \left[-\frac{4\pi}{\hbar\sqrt{\Lambda}} \left\{ \arcsin(\sqrt{\Lambda}a_0) + \sqrt{\Lambda}a_0 \sqrt{1-\Lambda a_0^2} \right\} \right]$$

➤ in the classically allowed region ($\sqrt{\Lambda}a_0 > 1$) is

$$\Psi(a_0) = \frac{e^{-\frac{2\pi^2}{\hbar\sqrt{\Lambda}}}}{(\Lambda a_0^2 - 1)^{1/4}} e^{i\frac{\pi}{4}} \exp \left[\frac{4\pi i}{\hbar\sqrt{\Lambda}} \left\{ \operatorname{arccosh}(\sqrt{\Lambda}a_0) - \sqrt{\Lambda}a_0 \sqrt{\Lambda a_0^2 - 1} \right\} \right]$$

up to corrections $\mathcal{O}(\hbar)$.

□ The contour at the semiclassical level looks like that of Vilenkin.

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Summary & future directions

□ Summary of results:

- ① Picard-Lefschetz theory provides a way to determine the [WfU](#) (starting from a Lorentzian path integral).
- ② In 3D gravity, the semiclassical factor favors Vilenkin's proposal
 - ⇒ No rescaling of time-interval required.
 - ⇒ Stokes phenomenon occurs, resolved by introducing η .

Summary & future directions

□ Summary of results:

- ① Picard-Lefschetz theory provides a way to determine the [WfU](#) (starting from a Lorentzian path integral).
- ② In 3D gravity, the semiclassical factor favors Vilenkin's proposal
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□ Future directions:

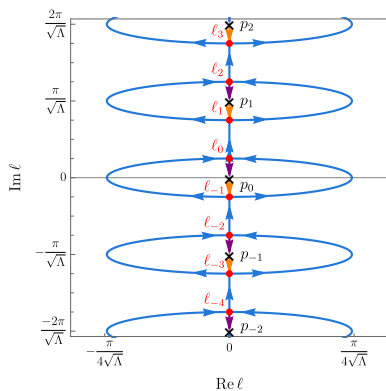
- Compute WfU in 2D Jackiw–Teitelboim gravity.
- Compute WfU in 4D expanding universes.

Thank you for your attention

Applying PL theory to 3D gravity

The steepest-descent and -ascent contours

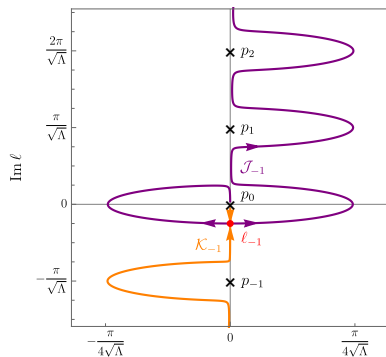
□ Plots of $\mathcal{J}_\sigma$ and $\mathcal{K}_\sigma$ for $(\Lambda, a_0) = (1, 1/\sqrt{2})$, obtained numerically



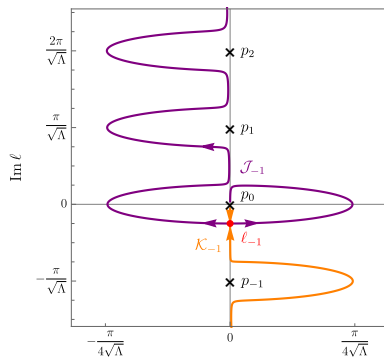
Applying PL theory to 3D gravity

The steepest-descent and -ascent contours

□ Plots of $\mathcal{J}_\sigma$ (purple) and $\mathcal{K}_\sigma$ (orange) for $\sigma = -1$



$\eta < 0$

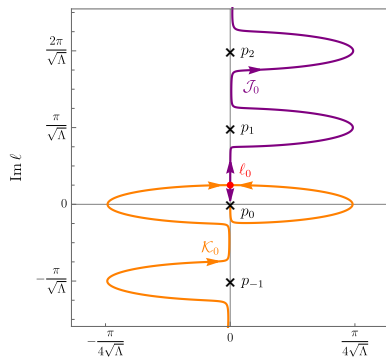


$\eta > 0$

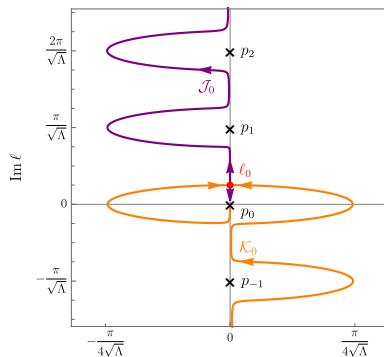
Applying PL theory to 3D gravity

The steepest-descent and -ascent contours

□ Plots of $\mathcal{J}_\sigma$ (purple) and $\mathcal{K}_\sigma$ (orange) for $\sigma = 0$



$\eta < 0$

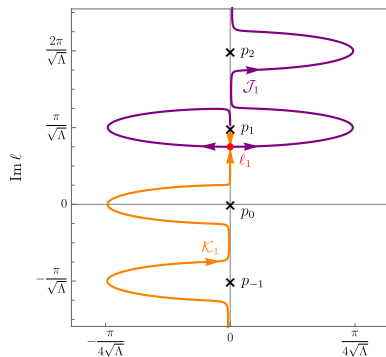


$\eta > 0$

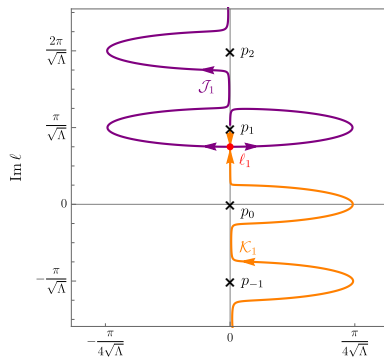
Applying PL theory to 3D gravity

The steepest-descent and -ascent contours

□ Plots of $\mathcal{J}_\sigma$ (purple) and $\mathcal{K}_\sigma$ (orange) for $\sigma = +1$



$\eta < 0$

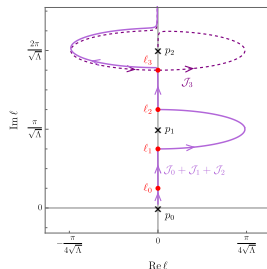
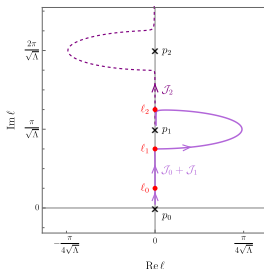
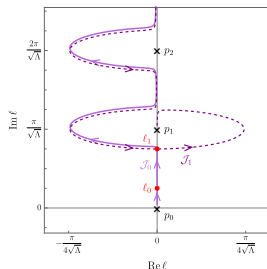


$\eta > 0$

Applying PL theory to 3D gravity

The steepest-descent and -ascent contours

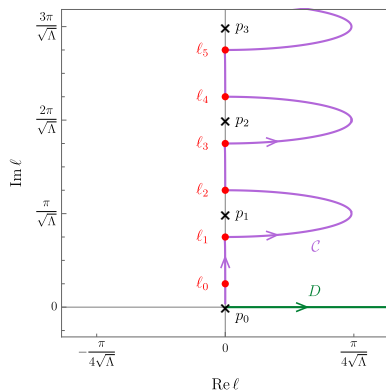
- Apparent ambiguity: $n_\sigma(\eta < 0) \neq n_\sigma(\eta > 0) \quad \forall \sigma = 2\kappa, \kappa \in \mathbb{Z}$
- Overlapping curves cancel due to opposite orientations ($\eta > 0$)



Applying PL theory to 3D gravity

The steepest-descent and -ascent contours

□ The resulting contour for $\eta \rightarrow 0^+$ is the same as $\mathcal{J}_0$ for $\eta \rightarrow 0^-$



➤ We can define

$$C \equiv \lim_{\eta \rightarrow 0^-} n_\sigma(\eta) \mathcal{J}_\sigma(\eta)$$

or

$$C \equiv \lim_{\eta \rightarrow 0^+} n_\sigma(\eta) \mathcal{J}_\sigma(\eta)$$

since the last two limits are equal.

⇒ Ψ is also continuous.