
Towards holography for the IKKT matrix model

Henning Samtleben, ENS de Lyon

work with Franz Ciceri
[2503.08771, and to appear]

Corfu Workshop on Quantum Gravity and Strings
09/2025



motivation: non-conformal gauge-gravity duality

standard AdS / CFT

[Maldacena, 1998]

$\mathcal{N} = 4$ SYM



$\text{AdS}_5 \times S^5$

BPS multiplets of
gauge invariant operators



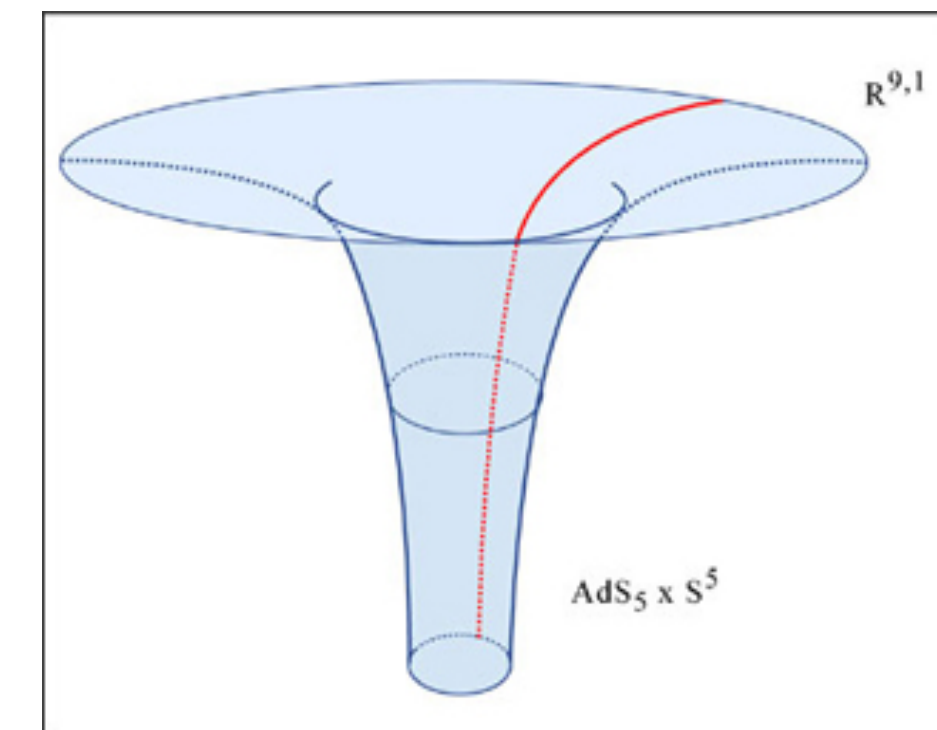
supergravity KK states

lowest BPS multiplet



maximal supergravity in D=5

[Günaydin, Romans, Warner, 1984]



motivation: non-conformal gauge-gravity duality

standard AdS / CFT

[Maldacena, 1998]

$\mathcal{N} = 4$ SYM



$\text{AdS}_5 \times S^5$

BPS multiplets of
gauge invariant operators



supergravity KK states

lowest BPS multiplet



maximal supergravity in D=5

[Günaydin, Romans, Warner, 1984]

generalizes to non-conformal Dp branes
domain wall / QFT correspondence

[Itzhaki, Maldacena, Sonnenschein, Yankielowicz, 1998]

[Boonstra, Skenderis, Townsend, 1999]

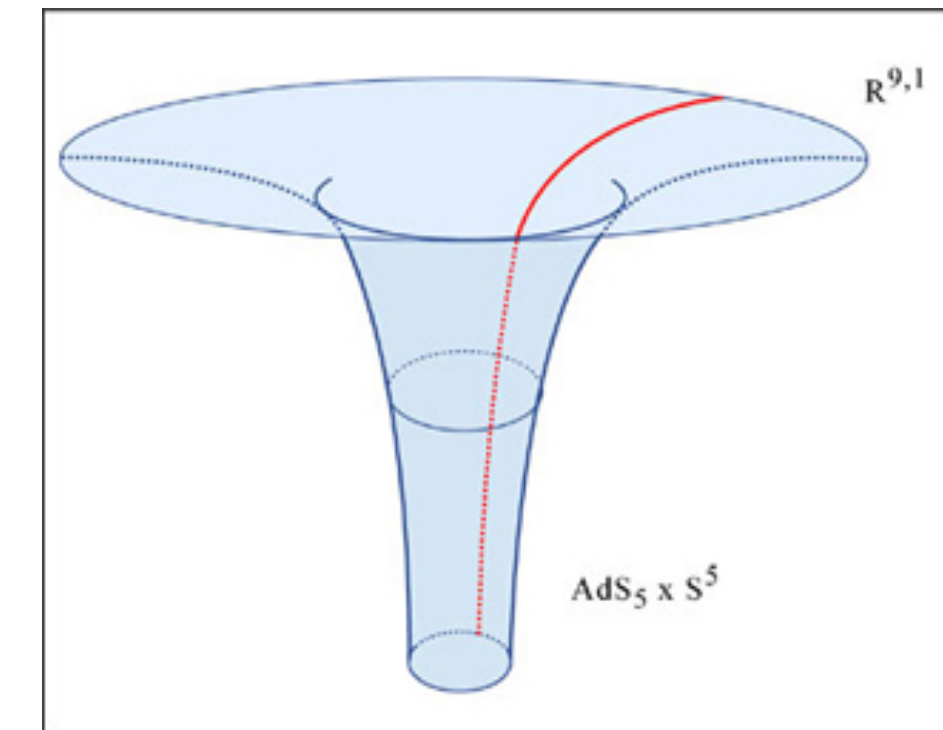
[Kanitscheider, Skenderis, Taylor, 2008]

maximal SYM



$dW_{p+1} \times S^{9-p}$

in $p + 1$ dimensions



motivation: non-conformal gauge-gravity duality

standard AdS / CFT

[Maldacena, 1998]

$\mathcal{N} = 4$ SYM



$\text{AdS}_5 \times S^5$

BPS multiplets of
gauge invariant operators



supergravity KK states

lowest BPS multiplet



maximal supergravity in D=5

[Günaydin, Romans, Warner, 1984]

generalizes to non-conformal D_p branes
domain wall / QFT correspondence

[Itzhaki, Maldacena, Sonnenschein, Yankielowicz, 1998]

[Boonstra, Skenderis, Townsend, 1999]

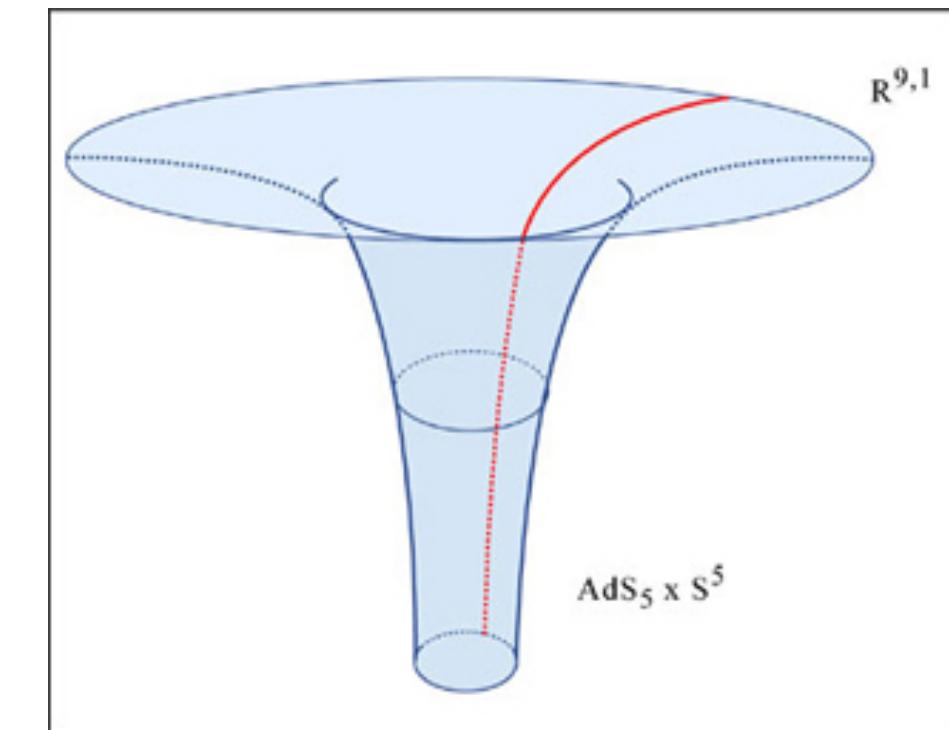
[Kanitscheider, Skenderis, Taylor, 2008]

maximal SYM



$dW_{p+1} \times S^{9-p}$

in $p + 1$ dimensions



$p=-1$: IKKT matrix model & D(-1) instanton

plan: IKKT and a holographic dual

IKKT matrix model

- ▶ D=0 SYM
- ▶ multiplets of gauge invariant operators

D(-1) instanton background

- ▶ consistent truncation of Euclidean IIB on S^9
- ▶ “D=1 maximal supergravity”

BPS solutions

- ▶ general 1/2 BPS solution
- ▶ IIB and D=12 uplift

IKKT matrix model

SYM reduced to D=0 dimensions (global SO(10), global SU(N) symmetry)

$$S_{\text{IKKT}} = -\text{Tr} \left[\frac{1}{4} [X_a, X_b] [X^a, X^b] - \frac{1}{2} \Psi^\alpha (\mathcal{C} \Gamma^a)_{\alpha\beta} [X_a, \Psi^\beta] \right]$$

with SU(N) matrices X^a : vector in D=10 $a = 1, \dots, 10$

Ψ^α : spinor in D=10 $\alpha = 1, \dots, 32$

Euclidean: **10**, **16_s** of SO(10), complex chiral spinors $(\Gamma_* \Psi)^\alpha = \Psi^\alpha \longrightarrow \Psi^\alpha = \begin{pmatrix} \Psi^I \\ 0 \end{pmatrix}$

SYM reduced to D=0 dimensions (global SO(10), global SU(N) symmetry)

$$S_{\text{IKKT}} = -\text{Tr} \left[\frac{1}{4} [X_a, X_b] [X^a, X^b] - \frac{1}{2} \Psi^\alpha (\mathcal{C} \Gamma^a)_{\alpha\beta} [X_a, \Psi^\beta] \right]$$

with SU(N) matrices X^a : vector in D=10 $a = 1, \dots, 10$

Ψ^α : spinor in D=10 $\alpha = 1, \dots, 32$

Euclidean: **10**, **16_s** of SO(10), complex chiral spinors $(\Gamma_* \Psi)^\alpha = \Psi^\alpha \longrightarrow \Psi^\alpha = \begin{pmatrix} \Psi^I \\ 0 \end{pmatrix}$

supersymmetry $\delta_\epsilon X^a = \epsilon^\alpha (\mathcal{C} \Gamma^a)_{\alpha\beta} \Psi^\beta \qquad \delta_\epsilon \Psi^\alpha = \frac{1}{2} (\Gamma^{ab})^\alpha{}_\beta \epsilon^\beta [X_a, X_b]$

algebra $[\delta_{\epsilon_1}, \delta_{\epsilon_2}] = \delta_\lambda^{SU(N)} \quad (\text{no translations})$

$$\lambda = 2 \epsilon_2 \mathcal{C} \Gamma^b \epsilon_1 X_b = 2 \bar{\epsilon}_2 \Gamma^b \epsilon_1 X_b$$

'gauge' invariant single trace operators

$$\mathcal{O} = \text{Tr}[\Phi_1 \dots \Phi_n] \qquad \Phi_i \in \{X^a, \Psi^\alpha\}$$

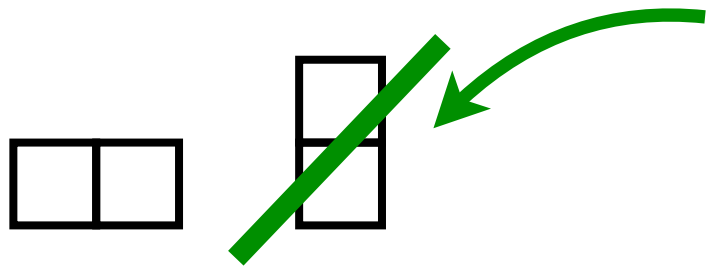
spectrum: cyclic words in the alphabet $\{X^a, \Psi^\alpha\}$ "minus field equations" (Polya counting)

'gauge' invariant single trace operators

$$\mathcal{O} = \text{Tr}[\Phi_1 \dots \Phi_n] \quad \Phi_i \in \{X^a, \Psi^\alpha\}$$

spectrum: cyclic words in the alphabet $\{X^a, \Psi^\alpha\}$ "minus field equations" (Polya counting)

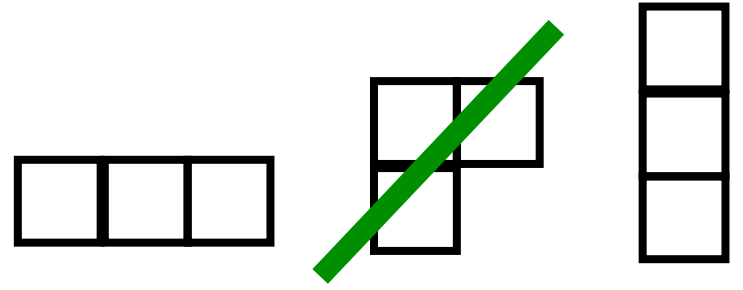
2-letters : $\text{Tr}(\mathcal{O}\mathcal{O})$



forbidden by trace

minus quadratic field equations $\Gamma^a[\Psi, X_a] = 0$

3-letters : $\text{Tr}(\mathcal{O}\mathcal{O}\mathcal{O})$



minus cubic field equations $[X_b, [X^a, X^b]] + \frac{1}{2}\{\bar{\Psi}, \Gamma^a \Psi\} = 0$

organizes in supermultiplets

(on gauge-invariant operators, supersymmetry is nilpotent!) $[\delta_{\epsilon_1}, \delta_{\epsilon_2}] = \delta_\lambda^{SU(N)}$

IKKT matrix model: gauge invariant operators

spectrum: $\mathcal{Z}_{\text{IKKT}} = \mathcal{Z}_{\text{long}} \oplus \sum_{n=2}^{\infty} \text{BPS}_n$ [Morales, HS, 2005]

with an infinite tower of BPS multiplets BPS_n

$$[n,0000]_n \oplus [n-1,0001]_{n+\frac{1}{2}} \oplus [n-2,0100]_{n+1} \oplus [n-3,1010]_{n+\frac{3}{2}} \oplus [n-3,0020]_{n+2} \\ \oplus [n-4,2000]_{n+2} \oplus [n-4,1010]_{n+\frac{5}{2}} \oplus [n-4,0100]_{n+3} \oplus [n-4,0001]_{n+\frac{7}{2}} \oplus [n-4,0000]_{n+4}$$

IKKT matrix model: gauge invariant operators

spectrum: $\mathcal{F}_{\text{IKKT}} = \mathcal{F}_{\text{long}} \oplus \sum_{n=2}^{\infty} \text{BPS}_n$

[Morales, HS, 2005]

with an infinite tower of BPS multiplets BPS_n
with the lowest member ($\sim$ “sugra multiplet”)

$$[n,0000]_n \oplus [n-1,0001]_{n+\frac{1}{2}} \oplus [n-2,0100]_{n+1} \oplus [n-3,1010]_{n+\frac{3}{2}} \oplus [n-3,0020]_{n+2} \\ \oplus [n-4,2000]_{n+2} \oplus [n-4,1010]_{n+\frac{5}{2}} \oplus [n-4,0100]_{n+3} \oplus [n-4,0001]_{n+\frac{7}{2}} \oplus [n-4,0000]_{n+4}$$

$$\begin{aligned} \text{BPS}_2 = & \mathbf{54}_{+2} \\ & \oplus \mathbf{144}_{+\frac{5}{2}} \\ & \oplus \mathbf{120}_{+3} \\ & \ominus \mathbf{45}_{+4} \\ & \ominus \mathbf{16}_{+\frac{9}{2}} \end{aligned}$$

IKKT matrix model: gauge invariant operators

spectrum: $\mathcal{Z}_{\text{IKKT}} = \mathcal{Z}_{\text{long}} \oplus \sum_{n=2}^{\infty} \text{BPS}_n$ [Morales, HS, 2005]

with an infinite tower of BPS multiplets BPS_n
 with the lowest member ($\sim$ "sugra multiplet")

$$[n,0000]_n \oplus [n-1,0001]_{n+\frac{1}{2}} \oplus [n-2,0100]_{n+1} \oplus [n-3,1010]_{n+\frac{3}{2}} \oplus [n-3,0020]_{n+2} \\ \oplus [n-4,2000]_{n+2} \oplus [n-4,1010]_{n+\frac{5}{2}} \oplus [n-4,0100]_{n+3} \oplus [n-4,0001]_{n+\frac{7}{2}} \oplus [n-4,0000]_{n+4}$$

$$\begin{aligned} \text{BPS}_2 = & \mathbf{54}_{+2} & \mathcal{O}^{ab} &= \text{Tr}[X^{(a}X^{b)}] \\ & \oplus \mathbf{144}_{+\frac{5}{2}} & \mathcal{O}^{a\alpha} &= \text{Tr}[X^a\Psi^\alpha] - \text{trace} \\ & \oplus \mathbf{120}_{+3} & \mathcal{O}^{abc} &= \text{Tr}[X^{[a}X^bX^c]] + \text{ferm}^2 \\ & \ominus \mathbf{45}_{+4} & & - \text{SO}(10) \\ & \ominus \mathbf{16}_{+\frac{9}{2}} & & - \text{susy} \end{aligned}$$

$$\begin{aligned} \# \text{ bos} &= 54 + 120 - 45 = 129 \\ \# \text{ ferm} &= 144 - 16 = 128 \end{aligned}$$

$$\text{all BPS}_n: \quad \# \text{ bos} = \# \text{ ferm} + 1$$

IKKT matrix model: gauge invariant operators

spectrum: $\mathcal{F}_{\text{IKKT}} = \mathcal{F}_{\text{long}} \oplus \sum_{n=2}^{\infty} \text{BPS}_n$ [Morales, HS, 2005]

with an infinite tower of BPS multiplets BPS_n
 with the lowest member ($\sim$ "sugra multiplet")

$$\begin{aligned} \text{BPS}_2 = & \mathbf{54}_{+2} & \mathcal{O}^{ab} &= \text{Tr}[X^{(a} X^{b)}] \\ & \oplus \mathbf{144}_{+\frac{5}{2}} & \mathcal{O}^{a\alpha} &= \text{Tr}[X^a \Psi^\alpha] - \text{trace} \\ & \oplus \mathbf{120}_{+3} & \mathcal{O}^{abc} &= \text{Tr}[X^{[a} X^b X^{c]}] + \text{ferm}^2 \\ & \ominus \mathbf{45}_{+4} & & - \text{SO}(10) \\ & \ominus \mathbf{16}_{+\frac{9}{2}} & & - \text{susy} \end{aligned}$$

$$\begin{aligned} \# \text{ bos} &= 54 + 120 - 45 = 129 \\ \# \text{ ferm} &= 144 - 16 = 128 \end{aligned}$$

$$\text{all BPS}_n: \quad \# \text{ bos} = \# \text{ ferm} + 1$$

on the dual gravity side:

→ the BPS tower $\sum_{n=2}^{\infty} \text{BPS}_n$ should be realized as IIB supergravity KK fluctuations

→ the lowest BPS multiplet BPS_2 should be realized as a "D=1 maximal supergravity"

IIB supergravity and consistent truncation on S^9

dual gravity side: S^9 compactification of (Euclidean) IIB

Kaluza-Klein spectrum [Salam, Strathdee, 1981]

organizes into representations of the $SO(10)$ isometry group

harmonic analysis on $\frac{SO(10)}{SO(9)} \longrightarrow$ group theory exercise

KK spectrum of fluctuations around S^9 :
linear

$$\mathcal{Z}_{\text{sugra}} = \sum_{n=2}^{\infty} \text{BPS}_n$$

[Morales, HS, 2005]

c.f. IKKT spectrum $\mathcal{Z}_{\text{long}} \oplus \sum_{n=2}^{\infty} \text{BPS}_n$

dual gravity side: S^9 compactification of (Euclidean) IIB

Kaluza-Klein spectrum [Salam, Strathdee, 1981]

organizes into representations of the $SO(10)$ isometry group

harmonic analysis on $\frac{SO(10)}{SO(9)} \longrightarrow$ group theory exercise

KK spectrum of fluctuations around S^9 : $\mathcal{Z}_{\text{sugra}} = \sum_{n=2}^{\infty} \text{BPS}_n$ [Morales, HS, 2005]

linear

c.f. IKKT spectrum $\mathcal{Z}_{\text{long}} \oplus \sum_{n=2}^{\infty} \text{BPS}_n$

full non-linear embedding of the lowest BPS multiplet BPS_2 as “D=1 maximal supergravity” ?

$\longrightarrow$ consistent sphere truncations to D=1 ?

consistent sphere truncations to D=1: Einstein-dilaton-axion on S^9 $\mu^i \mu^i = 1, \quad i = 1, \dots, 10$

$$\mathcal{L}_{10} = R - \frac{1}{2} \partial_M \Phi \partial^M \Phi + \frac{1}{2} e^{2\phi} \partial_M \chi \partial^M \chi$$

subsector of Euclidean IIB

D(-1) instanton background

$$ds_{10}^2 = dt^2 + t^2 d\Omega_9^2, \quad \chi = e^{-\Phi} = g^8 t^8$$

flat metric (in Einstein frame)

half supersymmetric

[Gibbons, Green, Perry, 1996]

[Ooguri, Skenderis, 1998]

[Bergshoeff, Behrndt, 1998]

consistent sphere truncations to D=1: Einstein-dilaton-axion on S^9 $\mu^i \mu^i = 1, \quad i = 1, \dots, 10$

$$\mathcal{L}_{10} = R - \frac{1}{2} \partial_M \Phi \partial^M \Phi + \frac{1}{2} e^{2\phi} \partial_M \chi \partial^M \chi \quad \text{subsector of Euclidean IIB}$$

reduction ansatz inspired by [Cvetič, Lu, Pope, 2000] [Ciceri, HS, 2023]

$$ds_d^2 = Y^{9/40} \Delta ds_1^2 + g^{-2} Y^{1/(40)} T_{ij}^{-1} \mathcal{D}\mu^i \mathcal{D}\mu^j$$

$$e^\Phi = Y^{-1/10} \Delta^{-1}$$

$$d\chi = -g Y^{1/5} U \omega_1 - g^{-1} T_{ij}^{-1} (*\mathcal{D}T_{jk}) \mu^k \mathcal{D}\mu^i$$

$$\mathcal{D}\mu^i = d\mu^i + g A^{ij} \mu^j$$

$$\mathcal{D}T_{ij} = dT_{ij} + g A^{ik} T_{kj} + g A^{jk} T_{ik}$$

$$\Delta = T_{ij} \mu^i \mu^j$$

$$U = 2 T_{ik} T_{jk} \mu^i \mu^j - T_{ii} \Delta$$

$$\text{D=1 scalars} \quad T_{ij} \in \frac{\text{SL}(10)}{\text{SO}(10)} : \quad T_{ij} = T_{ji}, \quad \det T_{ij} = 1$$

$$\text{D=1 vectors} \quad A_{ij} \in \mathfrak{so}(10) : \quad A_{ij} = -A_{ji}$$

consistent truncation: field equations reduce to D=1 field equations

consistent sphere truncations to D=1: Einstein-dilaton-axion on S^9 $\mu^i \mu^i = 1, \quad i = 1, \dots, 10$

$$\mathcal{L}_{10} = R - \frac{1}{2} \partial_M \Phi \partial^M \Phi + \frac{1}{2} e^{2\phi} \partial_M \chi \partial^M \chi \quad \text{subsector of Euclidean IIB}$$

D=1 Lagrangian

$$\mathcal{L}_1 = \frac{1}{160} e^{-1} Y^{-2} \dot{Y}^2 + \frac{1}{4} e^{-1} \mathcal{D} T_{ij}^{-1} \mathcal{D} T_{ij} - \frac{1}{2} e g^2 Y^{2/d} \underbrace{(2 T_{ij} T_{ij} - T_{ii}^2)}_{\text{typical sphere potential}}$$

D=1 einbein and dilaton e, Y

54 D=1 scalars $T_{ij} \in \text{SL}(10)/\text{SO}(10) : \quad T_{ij} = T_{ji}, \quad \det T_{ij} = 1$

45 D=1 vectors $A_{ij} \in \mathfrak{so}(10) : \quad A_{ij} = -A_{ji}$

consistent sphere truncations to D=1: Einstein-dilaton-axion on S^9 $\mu^i \mu^i = 1, \quad i = 1, \dots, 10$

$$\mathcal{L}_{10} = R - \frac{1}{2} \partial_M \Phi \partial^M \Phi + \frac{1}{2} e^{2\phi} \partial_M \chi \partial^M \chi \quad \text{subsector of Euclidean IIB}$$

D=1 Lagrangian

$$\mathcal{L}_1 = \frac{1}{160} e^{-1} Y^{-2} \dot{Y}^2 + \frac{1}{4} e^{-1} \mathcal{D} T_{ij}^{-1} \mathcal{D} T_{ij} - \frac{1}{2} e g^2 Y^{2/d} \underbrace{(2 T_{ij} T_{ij} - T_{ii}^2)}_{\text{typical sphere potential}}$$

D=1 einbein and dilaton e, Y

54 D=1 scalars $T_{ij} \in \text{SL}(10)/\text{SO}(10) : T_{ij} = T_{ji}, \det T_{ij} = 1$

45 D=1 vectors $A_{ij} \in \mathfrak{so}(10) : A_{ij} = -A_{ji}$

not yet the full answer: $\text{BPS}_2 = \mathbf{54}_{+2} \oplus \mathbf{144}_{+\frac{5}{2}} \oplus \mathbf{120}_{+3} \ominus \mathbf{45}_{+4} \ominus \mathbf{16}_{+\frac{9}{2}}$

→ for the full multiplet, we need 120 scalars and the fermions

$D=1$ maximal supergravity

D=1 maximal supergravity (32 supercharges)

1	D=1 einbein and dilaton	e, Y	16	dilatino	λ^α
45	D=1 vectors	$A_{ij} \in \mathfrak{so}(10)$	16	gravitino	ψ^α
54	D=1 scalars	$T_{ij} = \mathcal{V}_i^a \mathcal{V}_j^a \in \text{SL}(10)/\text{SO}(10)$	144	matter fermions	$\chi^{a\alpha}$
120	D=1 axions	a_{ijk}			

D=1 Lagrangian (to quadratic order in fermions)

$$\mathcal{L}_1 = \frac{1}{160} e^{-1} Y^{-2} \dot{Y}^2 + \frac{1}{4} e^{-1} \mathcal{D} T^{ij} \mathcal{D} T_{ij} - \frac{1}{12} e^{-1} Y^{-1/20} \mathcal{D} a_{ijk} \mathcal{D} a_{lmn} T^{im} T^{jn} T^{kn} + \frac{1}{80} \bar{\lambda} \mathcal{D}_t \lambda - 2 \bar{\chi}^a \Gamma_{ab} \mathcal{D}_t \chi^b$$

D=1 maximal supergravity (32 supercharges)

1	D=1 einbein and dilaton	e, Y	16	dilatino	λ^α
45	D=1 vectors	$A_{ij} \in \mathfrak{so}(10)$	16	gravitino	ψ^α
54	D=1 scalars	$T_{ij} = \mathcal{V}_i^a \mathcal{V}_j^a \in \text{SL}(10)/\text{SO}(10)$	144	matter fermions	$\chi^{a\alpha}$
120	D=1 axions	a_{ijk}			

D=1 Lagrangian (to quadratic order in fermions)

$$\mathcal{L}_1 = \frac{1}{160} e^{-1} Y^{-2} \dot{Y}^2 + \frac{1}{4} e^{-1} \mathcal{D} T^{ij} \mathcal{D} T_{ij} - \frac{1}{12} e^{-1} Y^{-1/20} \mathcal{D} a_{ijk} \mathcal{D} a_{lmn} T^{im} T^{jn} T^{kn} + \frac{1}{80} \bar{\lambda} \mathcal{D}_t \lambda - 2 \bar{\chi}^a \Gamma_{ab} \mathcal{D}_t \chi^b$$

Noether & Yukawa {

$$\begin{aligned} & -\frac{1}{80} e^{-1} \frac{\dot{Y}}{Y} \bar{\psi} \Gamma_* \lambda + 2 e^{-1} \bar{\psi} \Gamma^b \chi^a \mathcal{D}^{ab} - \frac{1}{2} e^{-1} Y^{-1/40} \bar{\chi}^a \Gamma^{bc} \psi p_{abc} - \frac{1}{240} e^{-1} Y^{-1/40} \bar{\lambda} \Gamma^{abc} \Gamma_* \psi p_{abc} - \frac{1}{1600} Y^{-1/40} \bar{\lambda} \Gamma^{abc} \lambda p_{abc} - \frac{1}{40} Y^{-1/40} \bar{\chi}^c \Gamma^{ab} \Gamma_* \lambda p_{abc} - \frac{1}{12} Y^{-1/40} \bar{\chi}^a \Gamma^{bcd} \chi^a p_{bcd} - Y^{-1/40} \bar{\chi}^a \Gamma^b \chi^c p_{abc} \\ & -\frac{3}{80} g Y^{3/40} \bar{\lambda} \Gamma^{abc} \psi B^{abc} - \frac{1}{160} g Y^{1/20} \bar{\lambda} \Gamma^{abcd} \psi B^{abcd} - \frac{7}{1600} g e Y^{3/40} \bar{\lambda} \Gamma^{abc} \Gamma_* \lambda B^{abc} - \frac{9}{12800} g e Y^{1/20} \bar{\lambda} \Gamma^{abcd} \Gamma_* \lambda B^{abcd} + \frac{1}{6} g Y^{3/40} \bar{\chi}^a \Gamma^{bc} \Gamma_* \psi (3 B^{abc} - 4 C^{a,bc}) - \frac{1}{2} g Y^{1/20} \bar{\chi}^d \Gamma^{abc} \Gamma_* \psi B^{abcd} \\ & + \frac{1}{40} g e Y^{3/40} \bar{\chi}^a \Gamma^{bc} \lambda (3 B^{abc} - 4 C^{a,bc}) - \frac{1}{20} g e Y^{1/20} \bar{\chi}^d \Gamma^{abc} \lambda B^{abcd} - \frac{1}{4} g e Y^{3/40} \bar{\chi}^a \Gamma^{bcd} \Gamma_* \chi^a B^{bcd} + \frac{1}{16} g e Y^{1/20} \bar{\chi}^a \Gamma^{bcde} \Gamma_* \chi^a B^{bcde} + \frac{1}{3} g e Y^{3/40} \bar{\chi}^a \Gamma^b \Gamma_* \chi^c (3 B^{abc} - 4 C^{b,ac}) - \frac{3}{2} g e Y^{1/20} \bar{\chi}^a \Gamma^{bc} \Gamma_* \chi^d B^{bcde} \end{aligned}$$

D=1 maximal supergravity (32 supercharges)

1	D=1 einbein and dilaton	e, Y	16	dilatino	λ^α
45	D=1 vectors	$A_{ij} \in \mathfrak{so}(10)$	16	gravitino	ψ^α
54	D=1 scalars	$T_{ij} = \mathcal{V}_i^a \mathcal{V}_j^a \in \text{SL}(10)/\text{SO}(10)$	144	matter fermions	$\chi^{a\alpha}$
120	D=1 axions	a_{ijk}			

D=1 Lagrangian (to quadratic order in fermions)

Noether
& Yukawa

$$\begin{aligned}
 \mathcal{L}_1 = & \frac{1}{160} e^{-1} Y^{-2} \dot{Y}^2 + \frac{1}{4} e^{-1} \mathcal{D} T^{ij} \mathcal{D} T_{ij} - \frac{1}{12} e^{-1} Y^{-1/20} \mathcal{D} a_{ijk} \mathcal{D} a_{lmn} T^{im} T^{jn} T^{kn} + \frac{1}{80} \bar{\lambda} \mathcal{D}_t \lambda - 2 \bar{\chi}^a \Gamma_{ab} \mathcal{D}_t \chi^b \\
 & \left\{ \begin{aligned}
 & -\frac{1}{80} e^{-1} \frac{\dot{Y}}{Y} \bar{\psi} \Gamma_* \lambda + 2 e^{-1} \bar{\psi} \Gamma^b \chi^a \mathcal{D}^{ab} - \frac{1}{2} e^{-1} Y^{-1/40} \bar{\chi}^a \Gamma^{bc} \psi p_{abc} - \frac{1}{240} e^{-1} Y^{-1/40} \bar{\lambda} \Gamma^{abc} \Gamma_* \psi p_{abc} - \frac{1}{1600} Y^{-1/40} \bar{\lambda} \Gamma^{abc} \lambda p_{abc} - \frac{1}{40} Y^{-1/40} \bar{\chi}^c \Gamma^{ab} \Gamma_* \lambda p_{abc} - \frac{1}{12} Y^{-1/40} \bar{\chi}^a \Gamma^{bcd} \chi^c p_{bcd} - Y^{-1/40} \bar{\chi}^a \Gamma^b \chi^c p_{abc} \\
 & -\frac{3}{80} g Y^{3/40} \bar{\lambda} \Gamma^{abc} \psi B^{abc} - \frac{1}{160} g Y^{1/20} \bar{\lambda} \Gamma^{abcd} \psi B^{abcd} - \frac{7}{1600} g e Y^{3/40} \bar{\lambda} \Gamma^{abc} \Gamma_* \lambda B^{abc} - \frac{9}{12800} g e Y^{1/20} \bar{\lambda} \Gamma^{abcd} \Gamma_* \lambda B^{abcd} + \frac{1}{6} g Y^{3/40} \bar{\chi}^a \Gamma^{bc} \Gamma_* \psi (3 B^{abc} - 4 C^{a,bc}) - \frac{1}{2} g Y^{1/20} \bar{\chi}^d \Gamma^{abc} \Gamma_* \psi B^{abcd} \\
 & + \frac{1}{40} g e Y^{3/40} \bar{\chi}^a \Gamma^{bc} \lambda (3 B^{abc} - 4 C^{a,bc}) - \frac{1}{20} g e Y^{1/20} \bar{\chi}^d \Gamma^{abc} \lambda B^{abcd} - \frac{1}{4} g e Y^{3/40} \bar{\chi}^a \Gamma^{bcd} \Gamma_* \chi^a B^{bcd} + \frac{1}{16} g e Y^{1/20} \bar{\chi}^a \Gamma^{bcde} \Gamma_* \chi^a B^{bcde} + \frac{1}{3} g e Y^{3/40} \bar{\chi}^a \Gamma^b \Gamma_* \chi^c (3 B^{abc} - 4 C^{b,ac}) - \frac{3}{2} g e Y^{1/20} \bar{\chi}^a \Gamma^{bc} \Gamma_* \chi^d B^{bcde}
 \end{aligned} \right. \\
 & -\frac{1}{2} e g^2 Y^{1/5} \underbrace{\left(2 T_{ij} T_{ij} - (T_{ii})^2 \right)}_{\text{typical sphere potential}} + \frac{1}{4} e g^2 Y^{3/20} \underbrace{\left(2 a_{ijk} a_{ijp} T^{kp} - a_{ijk} a_{mnp} T^{im} T^{jn} T_{kp} \right)}_{\text{typical axion potential}} + \mathcal{O}(a^4)
 \end{aligned}$$

D=1 maximal supergravity (32 supercharges)

D=1 Lagrangian (to quadratic order in fermions)

$$\begin{aligned}
 \mathcal{L}_1 = & \frac{1}{160} e^{-1} Y^{-2} \dot{Y}^2 + \frac{1}{4} e^{-1} \mathcal{D} T^{ij} \mathcal{D} T_{ij} - \frac{1}{12} e^{-1} Y^{-1/20} \mathcal{D} a_{ijk} \mathcal{D} a_{lmn} T^{im} T^{jn} T^{kn} + \frac{1}{80} \bar{\lambda} \mathcal{D}_t \lambda - 2 \bar{\chi}^a \Gamma_{ab} \mathcal{D}_t \chi^b \\
 & - \frac{1}{80} e^{-1} \frac{\dot{Y}}{Y} \bar{\psi} \Gamma_* \lambda + 2 e^{-1} \bar{\psi} \Gamma^b \chi^a \mathcal{D}^{ab} - \frac{1}{2} e^{-1} Y^{-1/40} \bar{\chi}^a \Gamma^{bc} \psi p_{abc} - \frac{1}{240} e^{-1} Y^{-1/40} \bar{\lambda} \Gamma^{abc} \Gamma_* \psi p_{abc} - \frac{1}{1600} Y^{-1/40} \bar{\lambda} \Gamma^{abc} \lambda p_{abc} - \frac{1}{40} Y^{-1/40} \bar{\chi}^c \Gamma^{ab} \Gamma_* \lambda p_{abc} - \frac{1}{12} Y^{-1/40} \bar{\chi}^a \Gamma^{bcd} \chi^a p_{bcd} - Y^{-1/40} \bar{\chi}^a \Gamma^b \chi^c p_{abc} \\
 & - \frac{3}{80} g Y^{3/40} \bar{\lambda} \Gamma^{abc} \psi B^{abc} - \frac{1}{160} g Y^{1/20} \bar{\lambda} \Gamma^{abcd} \psi B^{abcd} - \frac{7}{1600} g e Y^{3/40} \bar{\lambda} \Gamma^{abc} \Gamma_* \lambda B^{abc} - \frac{9}{12800} g e Y^{1/20} \bar{\lambda} \Gamma^{abcd} \Gamma_* \lambda B^{abcd} + \frac{1}{6} g Y^{3/40} \bar{\chi}^a \Gamma^{bc} \Gamma_* \psi (3 B^{abc} - 4 C^{a,bc}) - \frac{1}{2} g Y^{1/20} \bar{\chi}^d \Gamma^{abc} \Gamma_* \psi B^{abcd} \\
 & + \frac{1}{40} g e Y^{3/40} \bar{\chi}^a \Gamma^{bc} \lambda (3 B^{abc} - 4 C^{a,bc}) - \frac{1}{20} g e Y^{1/20} \bar{\chi}^d \Gamma^{abc} \lambda B^{abcd} - \frac{1}{4} g e Y^{3/40} \bar{\chi}^a \Gamma^{bcd} \Gamma_* \chi^a B^{bcd} + \frac{1}{16} g e Y^{1/20} \bar{\chi}^a \Gamma^{bcde} \Gamma_* \chi^a B^{bcde} + \frac{1}{3} g e Y^{3/40} \bar{\chi}^a \Gamma^b \Gamma_* \chi^c (3 B^{abc} - 4 C^{b,ac}) - \frac{3}{2} g e Y^{1/20} \bar{\chi}^a \Gamma^{bc} \Gamma_* \chi^d B^{bcde} \\
 & - \frac{1}{2} e g^2 Y^{1/5} \left(2 T_{ij} T_{ij} - (T_{ii})^2 \right) + \frac{1}{4} e g^2 Y^{3/20} \left(2 a_{ijk} a_{ijp} T^{kp} - a_{ijk} a_{mnp} T^{im} T^{jn} T_{kp} \right) + \mathcal{O}(a^4)
 \end{aligned}$$

susy variation → Killing spinor equations

$$\begin{aligned}
 \delta_\epsilon \psi &= \mathcal{D}_t \epsilon + \frac{1}{24} Y^{-1/40} \Gamma_{abc} \epsilon p^{abc} + \frac{1}{8} g e Y^{3/40} \Gamma_{abc} \Gamma_* \epsilon B^{abc} - \frac{1}{32} g e Y^{1/20} \Gamma_{abcd} \Gamma_* \epsilon B^{abcd} - \frac{1}{4} g e \mathcal{T} \Gamma_* \epsilon \\
 \delta_\epsilon \lambda &= \frac{\dot{Y}}{2Y} e^{-1} \Gamma_* \epsilon + \frac{1}{6} e^{-1} Y^{-1/40} \Gamma_{abc} \Gamma_* \epsilon p^{abc} + \frac{3}{2} g Y^{3/40} \Gamma_{abc} \epsilon B^{abc} + \frac{1}{4} g Y^{1/20} \Gamma_{abcd} \epsilon B^{abcd} + 4 g \mathcal{T} \epsilon
 \end{aligned}$$

etc.

with Yukawa tensors $B^{abc} = \mathcal{V}_m^a \mathcal{V}^{bi} \mathcal{V}^{cj} a_{ijm}$ $B^{abcd} = \mathcal{V}^{ai} \mathcal{V}^{bj} \mathcal{V}^{ck} \mathcal{V}^{dl} a_{m[ij} a_{kl]m}$

D=1 maximal supergravity (32 supercharges)

$$\begin{aligned}
 \mathcal{L}_1 = & \frac{1}{160} e^{-1} Y^{-2} \dot{Y}^2 + \frac{1}{4} e^{-1} \mathcal{D} T^{ij} \mathcal{D} T_{ij} - \frac{1}{12} e^{-1} Y^{-1/20} \mathcal{D} a_{ijk} \mathcal{D} a_{lmn} T^{im} T^{jn} T^{kn} + \frac{1}{80} \bar{\lambda} \mathcal{D}_t \lambda - 2 \bar{\chi}^a \Gamma_{ab} \mathcal{D}_t \chi^b \\
 & - \frac{1}{80} e^{-1} \frac{\dot{Y}}{Y} \bar{\psi} \Gamma_* \lambda + 2 e^{-1} \bar{\psi} \Gamma^b \chi^a \mathcal{D}^{ab} - \frac{1}{2} e^{-1} Y^{-1/40} \bar{\chi}^a \Gamma^{bc} \psi p_{abc} - \frac{1}{240} e^{-1} Y^{-1/40} \bar{\lambda} \Gamma^{abc} \Gamma_* \psi p_{abc} - \frac{1}{1600} Y^{-1/40} \bar{\lambda} \Gamma^{abc} \lambda p_{abc} - \frac{1}{40} Y^{-1/40} \bar{\chi}^c \Gamma^{ab} \Gamma_* \lambda p_{abc} - \frac{1}{12} Y^{-1/40} \bar{\chi}^a \Gamma^{bcd} \chi^a p_{bcd} - Y^{-1/40} \bar{\chi}^a \Gamma^b \chi^c p_{abc} \\
 & - \frac{3}{80} g Y^{3/40} \bar{\lambda} \Gamma^{abc} \psi B^{abc} - \frac{1}{160} g Y^{1/20} \bar{\lambda} \Gamma^{abcd} \psi B^{abcd} - \frac{7}{1600} g e Y^{3/40} \bar{\lambda} \Gamma^{abc} \Gamma_* \lambda B^{abc} - \frac{9}{12800} g e Y^{1/20} \bar{\lambda} \Gamma^{abcd} \Gamma_* \lambda B^{abcd} + \frac{1}{6} g Y^{3/40} \bar{\chi}^a \Gamma^{bc} \Gamma_* \psi (3 B^{abc} - 4 C^{a,bc}) - \frac{1}{2} g Y^{1/20} \bar{\chi}^d \Gamma^{abc} \Gamma_* \psi B^{abcd} \\
 & + \frac{1}{40} g e Y^{3/40} \bar{\chi}^a \Gamma^{bc} \lambda (3 B^{abc} - 4 C^{a,bc}) - \frac{1}{20} g e Y^{1/20} \bar{\chi}^d \Gamma^{abc} \lambda B^{abcd} - \frac{1}{4} g e Y^{3/40} \bar{\chi}^a \Gamma^{bcd} \Gamma_* \chi^a B^{bcd} + \frac{1}{16} g e Y^{1/20} \bar{\chi}^a \Gamma^{bcde} \Gamma_* \chi^a B^{bcde} + \frac{1}{3} g e Y^{3/40} \bar{\chi}^a \Gamma^b \Gamma_* \chi^c (3 B^{abc} - 4 C^{b,ac}) - \frac{3}{2} g e Y^{1/20} \bar{\chi}^a \Gamma^{bc} \Gamma_* \chi^d B^{bcde} \\
 & - \frac{1}{2} e g^2 Y^{1/5} \left(2 T_{ij} T_{ij} - (T_{ii})^2 \right) + \frac{1}{4} e g^2 Y^{3/20} \left(2 a_{ijk} a_{ijp} T^{kp} - a_{ijk} a_{mnp} T^{im} T^{jn} T_{kp} \right) + \mathcal{O}(a^4)
 \end{aligned}$$

“ground state” **SO(10) invariant solution**

no SO(10) invariant solution with constant dilaton $\rightarrow dW_1 \times S^9$

solution $T_{ij} = \delta_{ij}$, $A_{ij} = 0$, $a_{ijk} = 0$, $Y = t^{80}$, $e = g^{-1} t^{-9}$

D=1 maximal supergravity (32 supercharges)

$$\begin{aligned} \mathcal{L}_1 = & \frac{1}{160} e^{-1} Y^{-2} \dot{Y}^2 + \frac{1}{4} e^{-1} \mathcal{D} T^{ij} \mathcal{D} T_{ij} - \frac{1}{12} e^{-1} Y^{-1/20} \mathcal{D} a_{ijk} \mathcal{D} a_{lmn} T^{im} T^{jn} T^{kn} + \frac{1}{80} \bar{\lambda} \mathcal{D}_t \lambda - 2 \bar{\chi}^a \Gamma_{ab} \mathcal{D}_t \chi^b \\ & - \frac{1}{80} e^{-1} \frac{\dot{Y}}{Y} \bar{\psi} \Gamma_* \lambda + 2 e^{-1} \bar{\psi} \Gamma^b \chi^a \mathcal{D}^{ab} - \frac{1}{2} e^{-1} Y^{-1/40} \bar{\chi}^a \Gamma^{bc} \psi p_{abc} - \frac{1}{240} e^{-1} Y^{-1/40} \bar{\lambda} \Gamma^{abc} \Gamma_* \psi p_{abc} - \frac{1}{1600} Y^{-1/40} \bar{\lambda} \Gamma^{abc} \lambda p_{abc} - \frac{1}{40} Y^{-1/40} \bar{\chi}^c \Gamma^{ab} \Gamma_* \lambda p_{abc} - \frac{1}{12} Y^{-1/40} \bar{\chi}^a \Gamma^{bcd} \chi^a p_{bcd} - Y^{-1/40} \bar{\chi}^a \Gamma^b \chi^c p_{abc} \\ & - \frac{3}{80} g Y^{3/40} \bar{\lambda} \Gamma^{abc} \psi B^{abc} - \frac{1}{160} g Y^{1/20} \bar{\lambda} \Gamma^{abcd} \psi B^{abcd} - \frac{7}{1600} g e Y^{3/40} \bar{\lambda} \Gamma^{abc} \Gamma_* \lambda B^{abc} - \frac{9}{12800} g e Y^{1/20} \bar{\lambda} \Gamma^{abcd} \Gamma_* \lambda B^{abcd} + \frac{1}{6} g Y^{3/40} \bar{\chi}^a \Gamma^{bc} \Gamma_* \psi (3 B^{abc} - 4 C^{a,bc}) - \frac{1}{2} g Y^{1/20} \bar{\chi}^d \Gamma^{abc} \Gamma_* \psi B^{abcd} \\ & + \frac{1}{40} g e Y^{3/40} \bar{\chi}^a \Gamma^{bc} \lambda (3 B^{abc} - 4 C^{a,bc}) - \frac{1}{20} g e Y^{1/20} \bar{\chi}^d \Gamma^{abc} \lambda B^{abcd} - \frac{1}{4} g e Y^{3/40} \bar{\chi}^a \Gamma^{bcd} \Gamma_* \chi^a B^{bcd} + \frac{1}{16} g e Y^{1/20} \bar{\chi}^a \Gamma^{bcde} \Gamma_* \chi^a B^{bcde} + \frac{1}{3} g e Y^{3/40} \bar{\chi}^a \Gamma^b \Gamma_* \chi^c (3 B^{abc} - 4 C^{b,ac}) - \frac{3}{2} g e Y^{1/20} \bar{\chi}^a \Gamma^{bc} \Gamma_* \chi^d B^{bcde} \\ & - \frac{1}{2} e g^2 Y^{1/5} \left(2 T_{ij} T_{ij} - (T_{ii})^2 \right) + \frac{1}{4} e g^2 Y^{3/20} \left(2 a_{ijk} a_{ijp} T^{kp} - a_{ijk} a_{mnp} T^{im} T^{jn} T_{kp} \right) + \mathcal{O}(a^4) \end{aligned}$$

“ground state” **SO(10) invariant solution**

no SO(10) invariant solution with constant dilaton $\rightarrow dW_1 \times S^9$

solution $T_{ij} = \delta_{ij}$, $A_{ij} = 0$, $a_{ijk} = 0$, $Y = t^{80}$, $e = g^{-1} t^{-9}$

uplift to IIB $ds_{10}^2 = dt^2 + t^2 d\Omega_9^2$, $\chi = e^{-\Phi} = g^8 t^8$

D(-1) instanton background

half supersymmetric in D=1 and D=10

[Gibbons, Green, Perry, 1996]

[Ooguri, Skenderis, 1998]

[Bergshoeff, Behrndt, 1998]

further uplift to 12D pp-wave [Tseytlin, 1996]

BPS solutions, assume vanishing axions $a_{ijk} = 0$

gauge fixing: $T_{ij} = \delta_{ij} e^{\varphi_i}$ after $T \longrightarrow \mathcal{S} T \mathcal{S}^T$
 $e = 1$ after diffeomorphisms

in this gauge, field equations imply $A_{ij} = 0$ and with $Y = e^{\varphi_0}$

$$\frac{1}{80} \ddot{\varphi}_0 - \frac{1}{2} \ddot{\varphi}_i = -e^{\varphi_0/5} \left(2 e^{2\varphi_i} - \sum_k e^{\varphi_i + \varphi_k} \right) \qquad \frac{1}{80} (\dot{\varphi}_0)^2 - \frac{1}{2} \sum_k (\dot{\varphi}_k)^2 = -e^{\varphi_0/5} \left(2 \sum_k e^{2\varphi_k} - \left(\sum_k e^{\varphi_k} \right)^2 \right)$$

BPS solutions, assume vanishing axions $a_{ijk} = 0$

gauge fixing: $T_{ij} = \delta_{ij} e^{\varphi_i}$ after $T \longrightarrow \mathcal{S} T \mathcal{S}^T$
 $e = 1$ after diffeomorphisms

in this gauge, field equations imply $A_{ij} = 0$ and with $Y = e^{\varphi_0}$

$$\frac{1}{80} \ddot{\varphi}_0 - \frac{1}{2} \ddot{\varphi}_i = -e^{\varphi_0/5} \left(2 e^{2\varphi_i} - \sum_k e^{\varphi_i + \varphi_k} \right) \quad \frac{1}{80} (\dot{\varphi}_0)^2 - \frac{1}{2} \sum_k (\dot{\varphi}_k)^2 = -e^{\varphi_0/5} \left(2 \sum_k e^{2\varphi_k} - \left(\sum_k e^{\varphi_k} \right)^2 \right)$$

Killing spinor equations

$$0 = \delta_\epsilon \psi = \mathcal{D}_t \epsilon - \frac{1}{4} g e \mathcal{T} \Gamma_* \epsilon \quad 0 = \delta_\epsilon \lambda = \frac{\dot{Y}}{2Y} e^{-1} \Gamma_* \epsilon + 4 g \mathcal{T} \epsilon \quad 0 = \delta_\epsilon \chi^a = \frac{1}{2} e^{-1} \Gamma^b \epsilon \mathcal{P}^{ab} - \frac{1}{2} g \mathcal{T}_{ab} \Gamma^b \Gamma_* \epsilon$$

imposes chiral spinor $(\Gamma_* \epsilon)^\alpha = \epsilon^\alpha \longrightarrow \epsilon^\alpha = \begin{pmatrix} \epsilon^I \\ 0 \end{pmatrix}$ solutions preserve half or no supersymmetry

in the above gauge: $\dot{\varphi}_i - \frac{1}{40} \dot{\varphi}_0 = 2 e^{\varphi_i + \varphi_0/10}$

BPS solutions, assume vanishing axions $a_{ijk} = 0$

gauge fixing: $T_{ij} = \delta_{ij} e^{\varphi_i}$ after $T \longrightarrow \mathcal{S} T \mathcal{S}^T$
 $e = 1$ after diffeomorphisms

in this gauge, field equations imply $A_{ij} = 0$ and with $Y = e^{\varphi_0}$

$$\frac{1}{80} \ddot{\varphi}_0 - \frac{1}{2} \ddot{\varphi}_i = -e^{\varphi_0/5} \left(2 e^{2\varphi_i} - \sum_k e^{\varphi_i + \varphi_k} \right) \quad \frac{1}{80} (\dot{\varphi}_0)^2 - \frac{1}{2} \sum_k (\dot{\varphi}_k)^2 = -e^{\varphi_0/5} \left(2 \sum_k e^{2\varphi_k} - \left(\sum_k e^{\varphi_k} \right)^2 \right)$$

Killing spinor equations

$$0 = \delta_\epsilon \psi = \mathcal{D}_t \epsilon - \frac{1}{4} g e \mathcal{T} \Gamma_* \epsilon \quad 0 = \delta_\epsilon \lambda = \frac{\dot{Y}}{2Y} e^{-1} \Gamma_* \epsilon + 4 g \mathcal{T} \epsilon \quad 0 = \delta_\epsilon \chi^a = \frac{1}{2} e^{-1} \Gamma^b \epsilon \mathcal{P}^{ab} - \frac{1}{2} g \mathcal{T}_{ab} \Gamma^b \Gamma_* \epsilon$$

imposes chiral spinor $(\Gamma_* \epsilon)^\alpha = \epsilon^\alpha \longrightarrow \epsilon^\alpha = \begin{pmatrix} \epsilon^I \\ 0 \end{pmatrix}$ solutions preserve half or no supersymmetry

in the above gauge: $\dot{\varphi}_i - \frac{1}{40} \dot{\varphi}_0 = 2 e^{\varphi_i + \varphi_0/10}$

explicit solution:

$$e^{\varphi_i} \equiv e^{\varphi_i - \varphi_0/40} = \frac{1}{u - c_i}$$

$$\dot{u} = -2 e^{\varphi_0/8}$$

10 real constants c_i

general 1/2-BPS solutions

explicit solution:

$$e^{\phi_i} \equiv e^{\phi_i - \phi_0/40} = \frac{1}{u - c_i}$$

$$\dot{u} = -2 e^{\phi_0/8}$$

10 real constants c_i

uplift to IIB:

$$ds_{\text{IIB}}^2 = \frac{1}{4} (\mu^i \mu^i e^{\phi_i}) du^2 + d\mu^i d\mu^i e^{-\phi_i}$$

$$\mu^i \mu^i = 1, \quad i = 1, \dots, 10$$

$$\chi = e^{-\Phi} = (\mu^i \mu^i e^{\phi_i}) \prod_k e^{-\phi_k/2}$$

the metric is still flat!

- for $c_i = C$, it reduces to the $SO(10)$ invariant $D(-1)$ instanton
- for generic $c_i = C$, $SO(10)$ is completely broken

further uplift to D=12:

$$ds_{12}^2 = -e^{-\Phi} d\tau^2 + e^{\Phi} (dy - e^{\Phi} d\tau)^2 + \frac{1}{4} (\mu^i \mu^i e^{\phi_i}) du^2 + d\mu^i d\mu^i e^{-\phi_i}$$

- ▶ new maximal $D=1$ supergravity with 32 (complex) supercharges
- ▶ consistent truncation from Euclidean IIB on S^9
- ▶ matches the lowest BPS multiplet of IKKT operators
- ▶ general 1/2-BPS solution and uplift to IIB

- ▶ framework for holographic correlation functions
- ▶ holography for IKKT and deformations thereof
 - polarised IKKT [Hartnoll, Liu, 2024] [Komatsu, Martina, Penedones, Vuignier, Zhao, 2024]
- ▶ relation to $D(-1)/D7$ holography and $AdS_1 \times S^1$ [Aguilar-Gutierrez, Parmentier, Van Riet, 2022]
- ▶ Euclidean vs Lorentzian versions [Chou, Nishimura, Tripathi, 2025]
- ▶ E10 in $D=1$ supergravity ..?
- ▶ flat space holography ..?