

Transitions in minimally supersymmetric theories of quantum gravity



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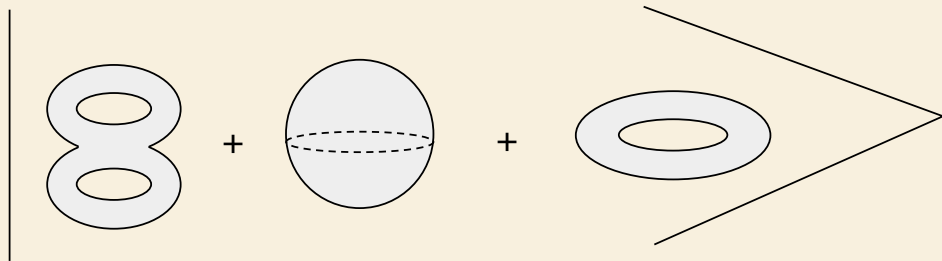
Work in progress [\[25xx. xxxx\]](#)

w/ Lorenzo Paoloni and Max Wiesner

Workshop on Quantum Gravity and Strings. Corfu25

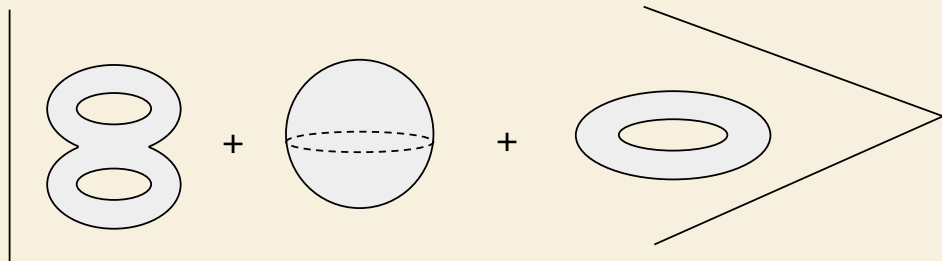
Motivation

Topological transitions are expected in quantum gravity



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Topological transitions are expected in quantum gravity



They are well understood in theories with extended SUSY

Exact moduli spaces or
mirror symmetry help!

Good control over
shrinkable cycles



Prime example: Conifold transitions in 4d $\mathcal{N} = 2$

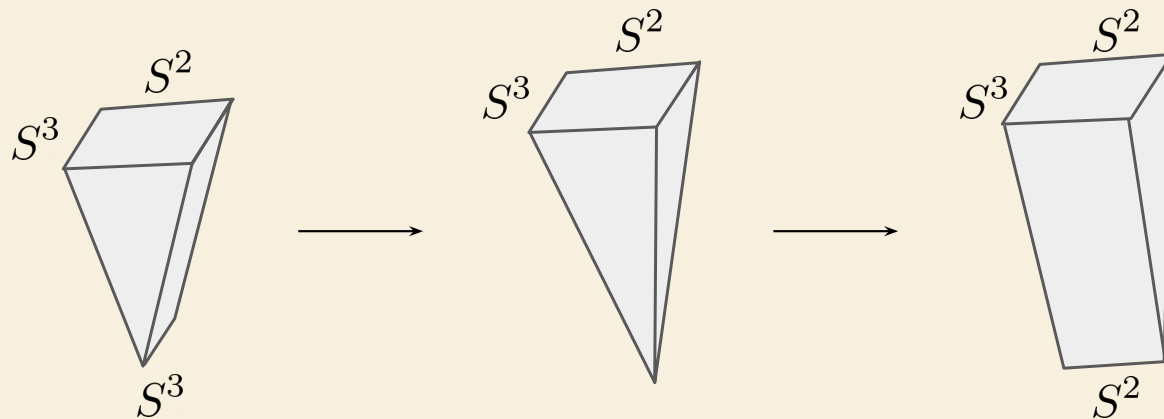
[Strominger 95]

[Greene, Morrison, Strominger 95]

[Greene, Morrison, Vafa 96]

Coulomb branch

Higgs branch



Complex structure

Kähler

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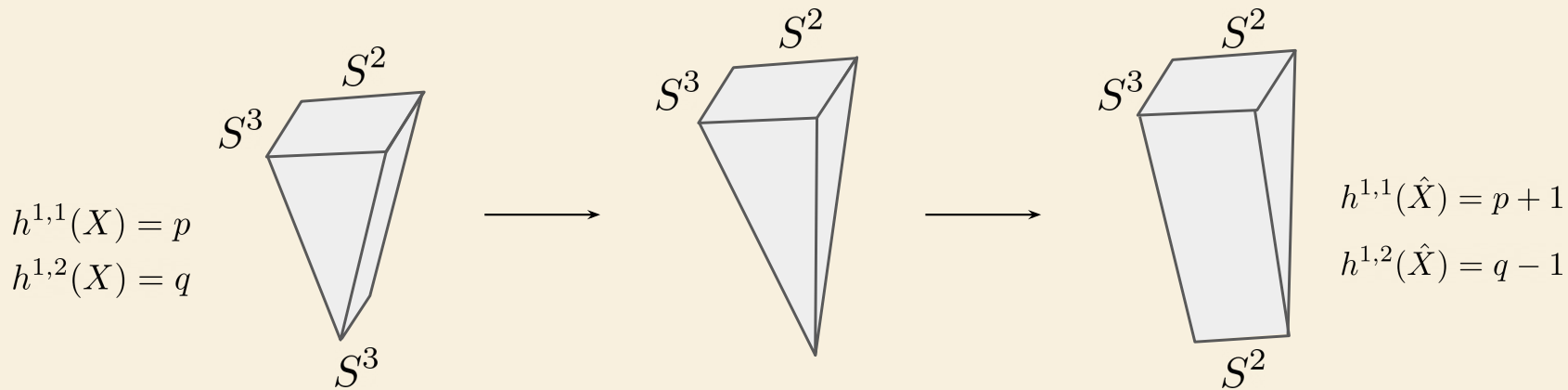
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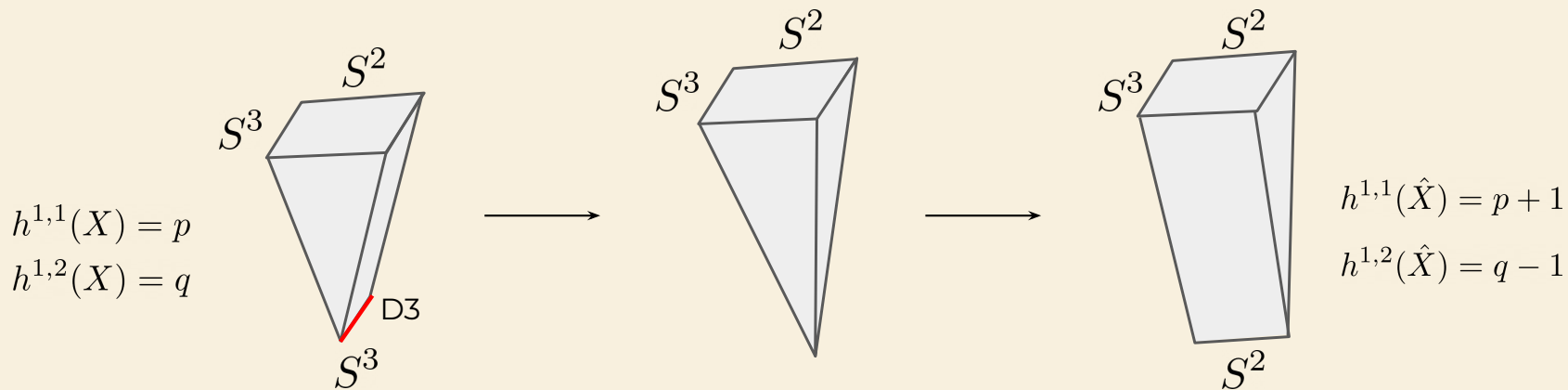
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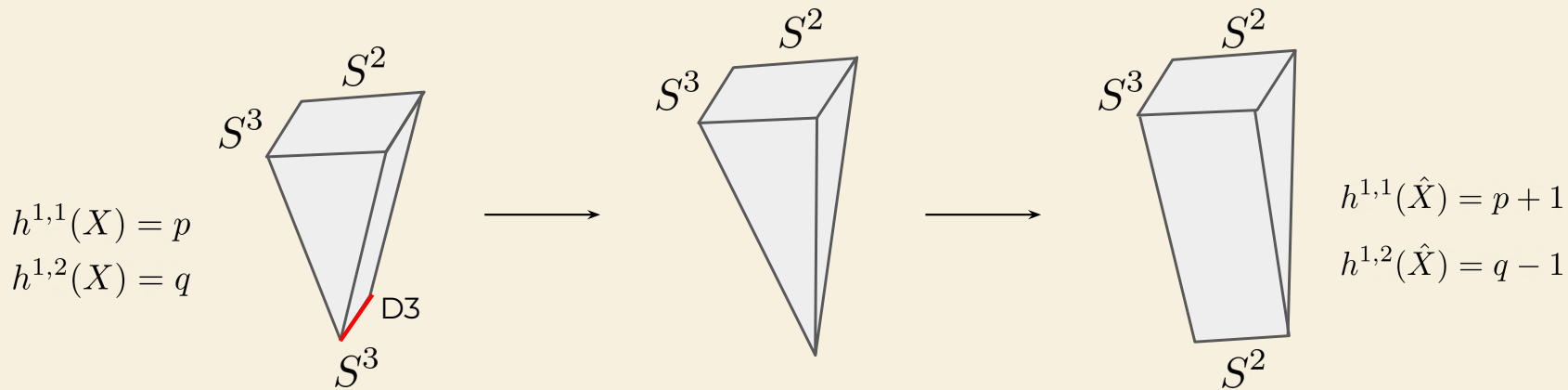
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Coulomb branch

Higgs branch



$$A_\mu^{m=0} + (4, 0)_H^{D3}$$

$$A_\mu^{m \neq 0} = (5, 3)$$

Complex structure

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- Topological transitions usually require non-perturbative effects.
- Well understood and trustable for theories with extended SUSY
- Clear separation between Higgs and Coulomb branch

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Goal

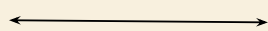
- Use minimally supersymmetric set ups.
- First step: Look for sectors with **enhanced supersymmetry**.
- Use examples with extra SUSY as a guideline.

Warm up 6d $\mathcal{N} = (1, 0)$

[Morrison, Vafa 96]

F-theory on CY3

$$\mathcal{E} \hookrightarrow X_3 \rightarrow \mathbb{F}_n$$

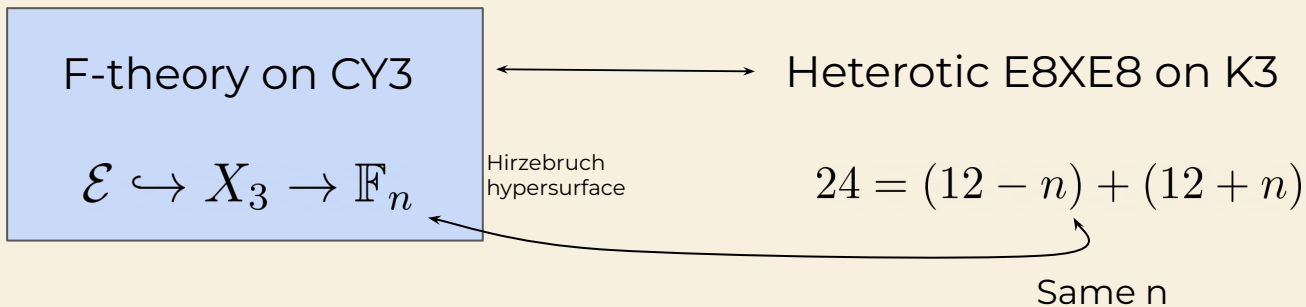


Heterotic E8XE8 on K3

$$24 = (12 - n) + (12 + n)$$

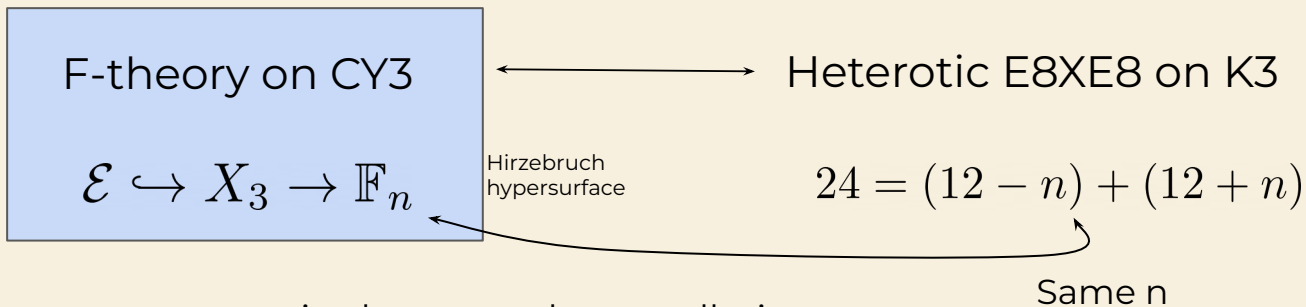
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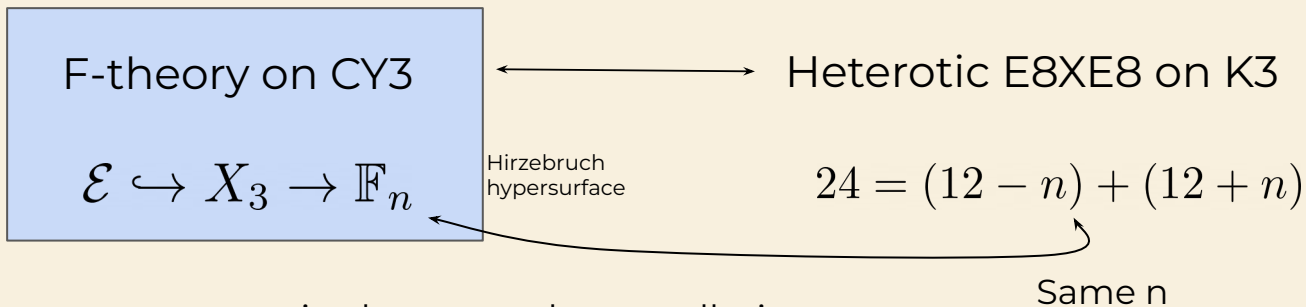


Matter content constraint by anomaly cancellation

$$273 + n_V = 29n_T + n_H$$

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Also: [Aldazabal, Font, Ibañez, Quevedo 96]

[Witten 96]

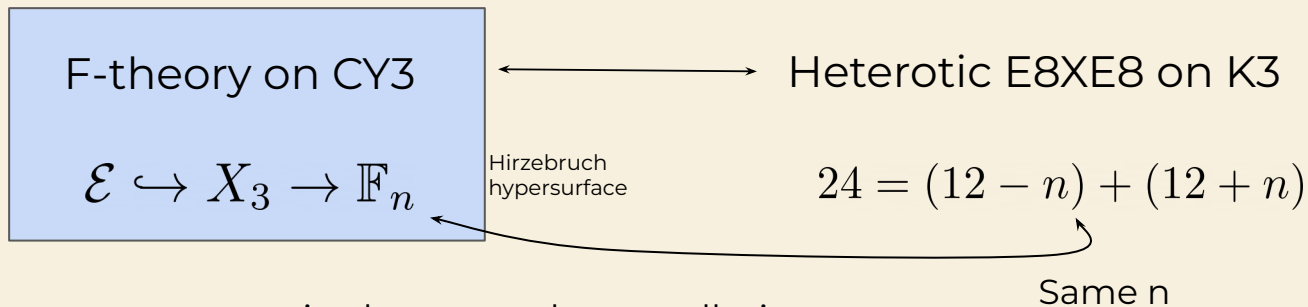
[Seiberg, Witten 96]

In [Morrison, Vafa 96] was shown that $n = 2$ and $n = 0$

$$\mathbb{T}_2 \leftrightarrow \mathbb{T}_0, \quad \mathbb{T}_2 \subset \mathbb{T}_0 \quad \text{Share the same moduli space!}$$

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Heterotic transition is extremal and not obvious! Remove instanton numbers from the E8s

From **F-theory** is simpler

$$\mathbb{T}_2 \leftrightarrow \mathbb{T}_0$$
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A degree 24 hypersurface in $\mathbb{P}_{1,1,2,8,12}$ can be viewed

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In [\[Morrison, Vafa 96\]](#) is described as a non-polynomial deformation

$$y_1 y_2 + y_3^2 = 0 \quad \text{Blowing down a } (-2)\text{-curve in } \mathbb{F}_2$$

$$\downarrow$$

$$y_1 y_2 + y_3^2 = \psi_{n.p} y_4 \quad \text{Topologically } \mathbb{F}_0$$

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$$\begin{array}{ccc} & y_1 y_2 + y_3^2 = 0 & \text{Blowing down a } (-2)\text{-curve in } \mathbb{F}_2 \\ & \downarrow & \\ \mathbb{T}_2 \leftrightarrow \mathbb{T}_0 & y_1 y_2 + y_3^2 = \psi_{n.p} y_4 & \text{Topologically } \mathbb{F}_0 \\ \text{Smooth transition} & & \mathbf{+1} \text{ hyper} \end{array}$$

Actually, $\psi_{n.p}$ has a susy enhancement origin!

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$$\bar{K}_{\mathbb{F}_2} = 2h + 4f$$

$\mathbb{F}_2 :$

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The vicinity of h locally realized enhanced supersymmetry

[Witten 96]

$$\begin{array}{ccc} \text{A D3 on } h & & \\ \mathcal{N} = (2, 0) \text{ SCFT string} & \longleftarrow \boxed{\bar{K}_{\mathbb{F}_2} \cdot_{\mathbb{F}_2} h = 0} & \longrightarrow \text{Not intersected by} \\ & & \text{O7-planes} \end{array}$$

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The **tensor** + **hyper** form a $\mathcal{N} = (2,0)$ matter mult. in 6d

 $\psi_{n.p}$ is predicted by susy enhancement

Lessons:

1. Transition well understood in F-theory. Heterotic transition goes through extremal points
2. Local enhanced supersymmetry requires an additional hypermultiplet in \mathbb{F}_2 . This allows us to transition to \mathbb{F}_0

SUSY enhancement



Topological transitions

Guideline:

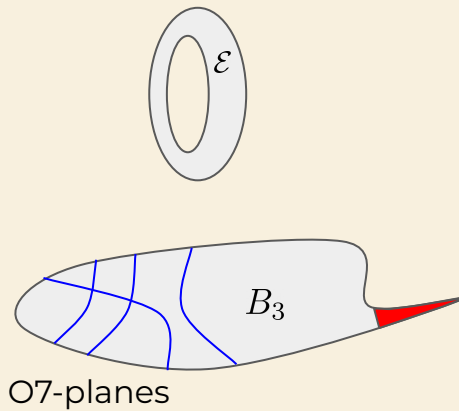
Mimic the 6d F-theory strategy:

$$\bar{K}_{\mathbb{F}_2} \cdot_{\mathbb{F}_2} h = 0 \longrightarrow \begin{array}{c} \text{Enhanced susy} \\ \text{subsector} \end{array}$$

Flop transitions in 4d

We follow same idea as in 6d F-theory

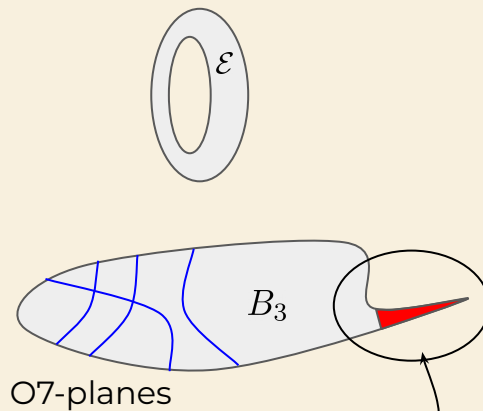
F-theory on X_4



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F-theory on X_4



Local enhanced
supersymmetry
 $SU(3) \subset SU(4)$

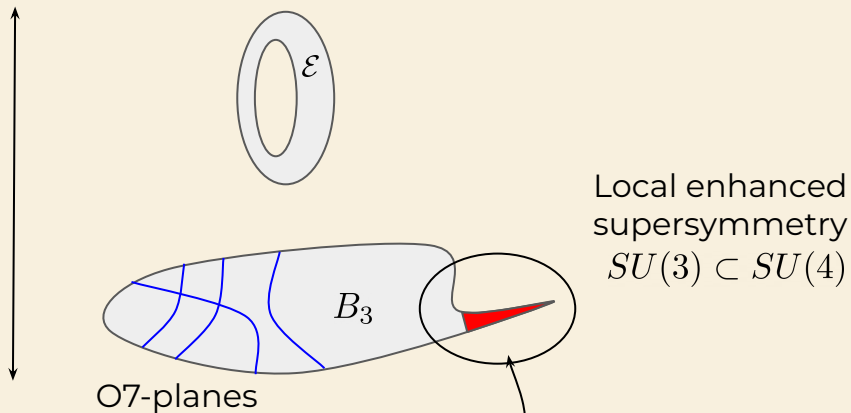
Assume a curve with,

$$\bar{K}_{B_3} \cdot C_0 = 0$$

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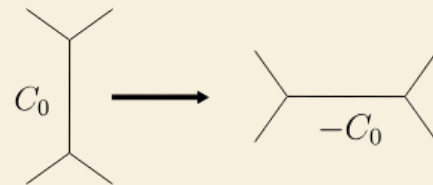


Assume a curve with,

plus floppable

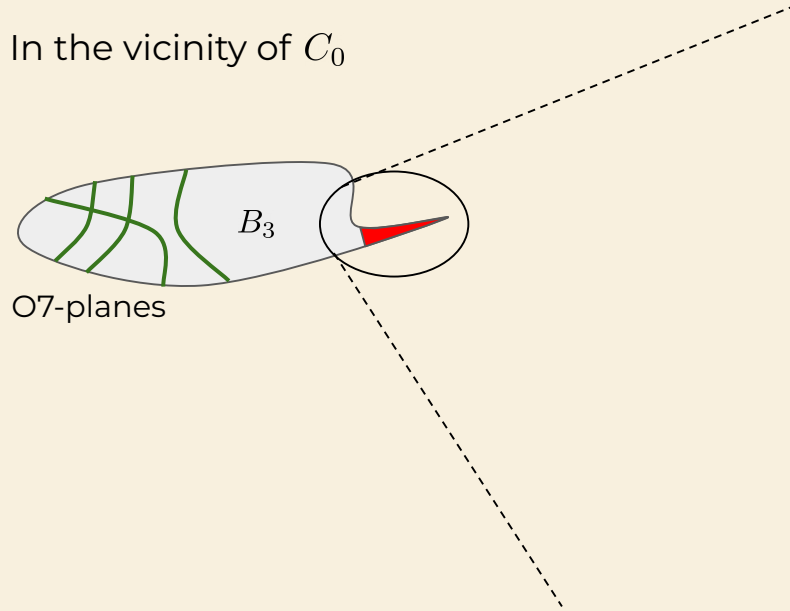
$$\bar{K}_{B_3} \cdot C_0 = 0$$

$$\mathcal{N}_{C_0/B_3} = \mathcal{O}(-1) \oplus \mathcal{O}(-1)$$



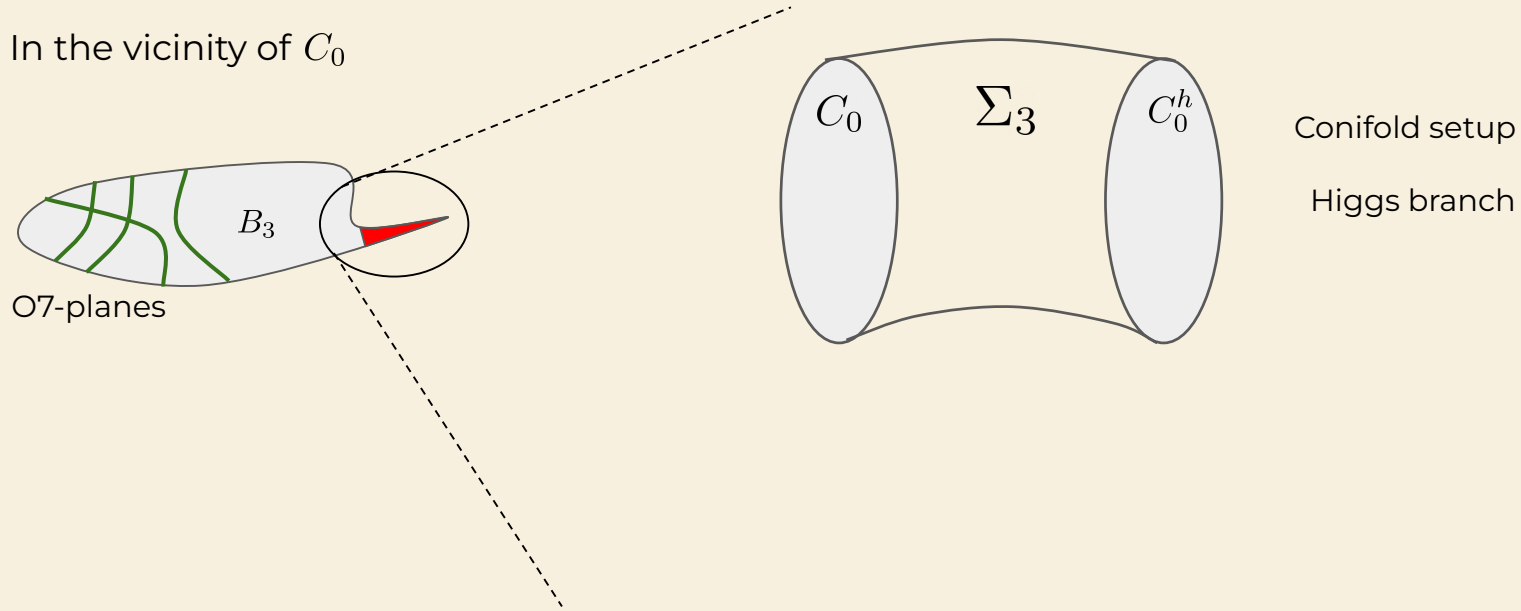
Take the type IIB limit: $T^2 \hookrightarrow X_4 \rightarrow X_3$

In the vicinity of C_0



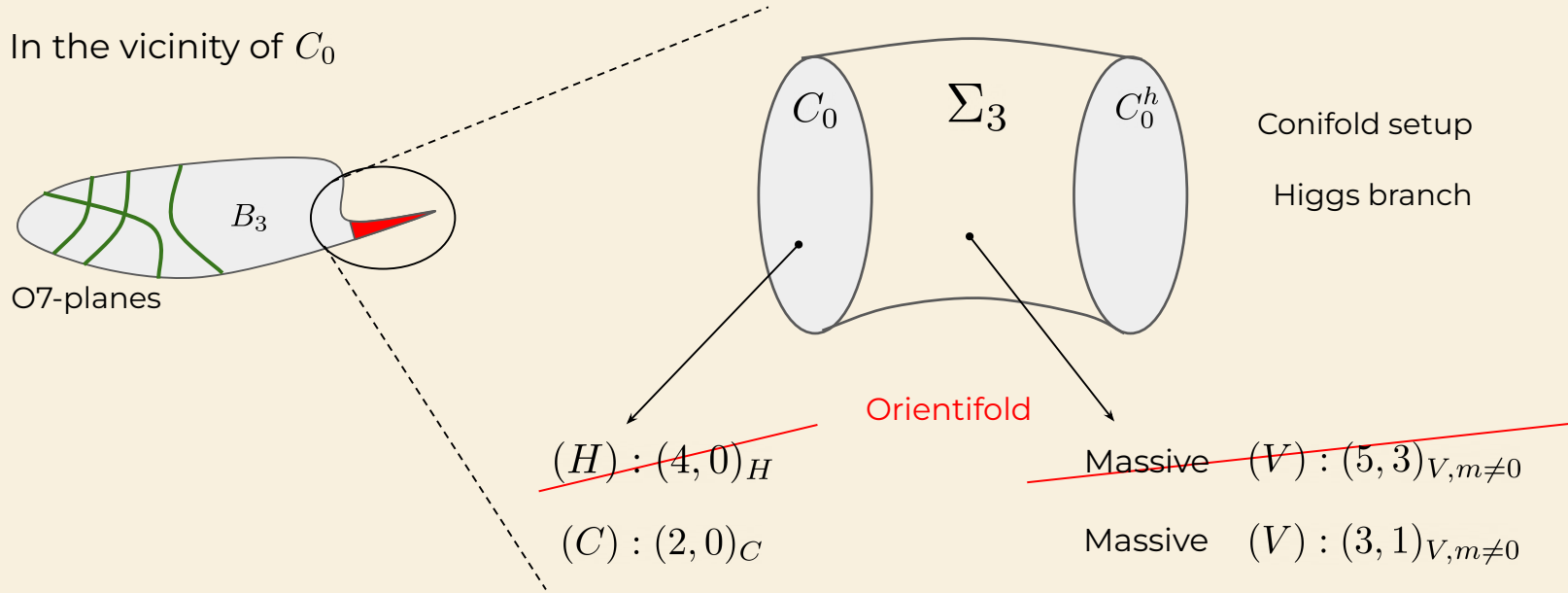
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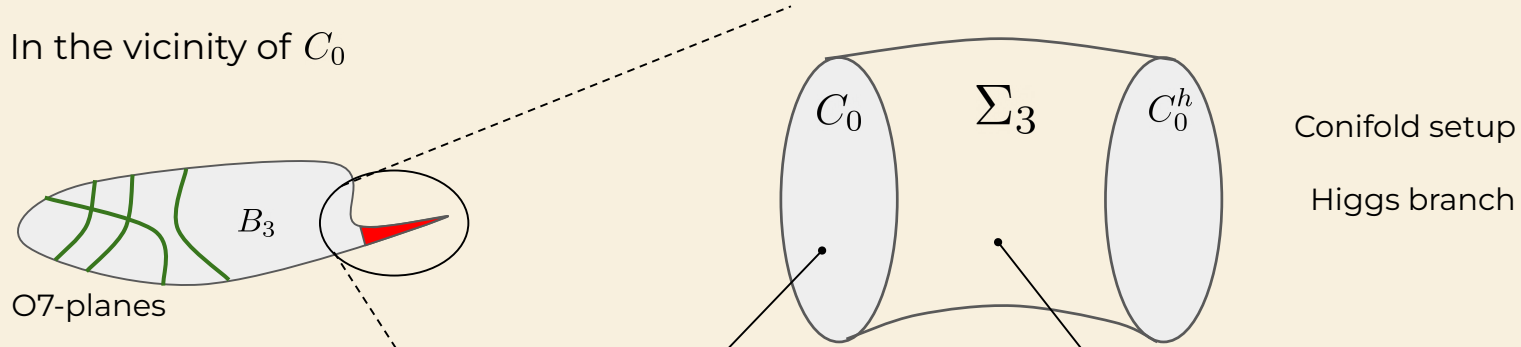
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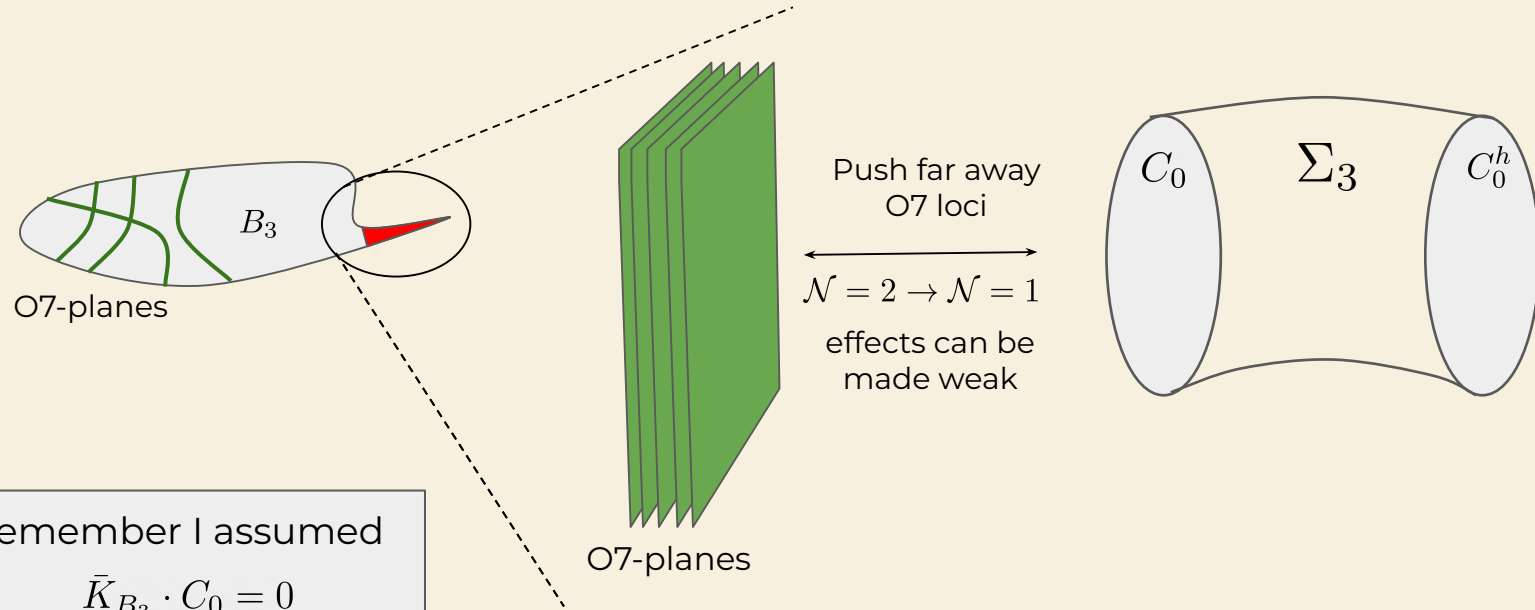
$(C) : (2, 0)_C$

Orientifold

~~Massive $(V) : (5, 3)_{V, m \neq 0}$~~

Massive $(V) : (3, 1)_{V, m \neq 0}$

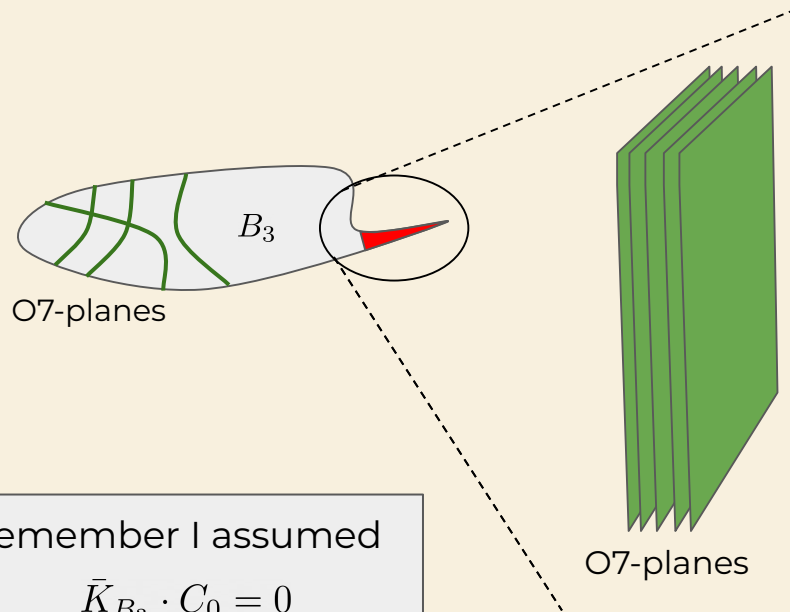
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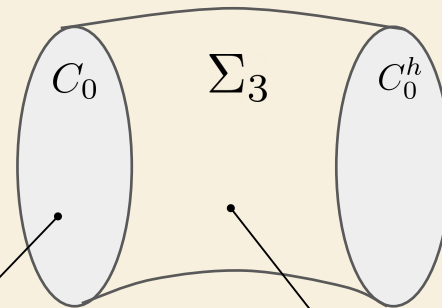
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Take the type IIB limit: $T^2 \hookrightarrow X_4 \rightarrow X_3$



Push far away
O7 loci
 $\mathcal{N} = 2 \rightarrow \mathcal{N} = 1$
effects can be
made weak



Remember I assumed

$$\bar{K}_{B_3} \cdot C_0 = 0$$

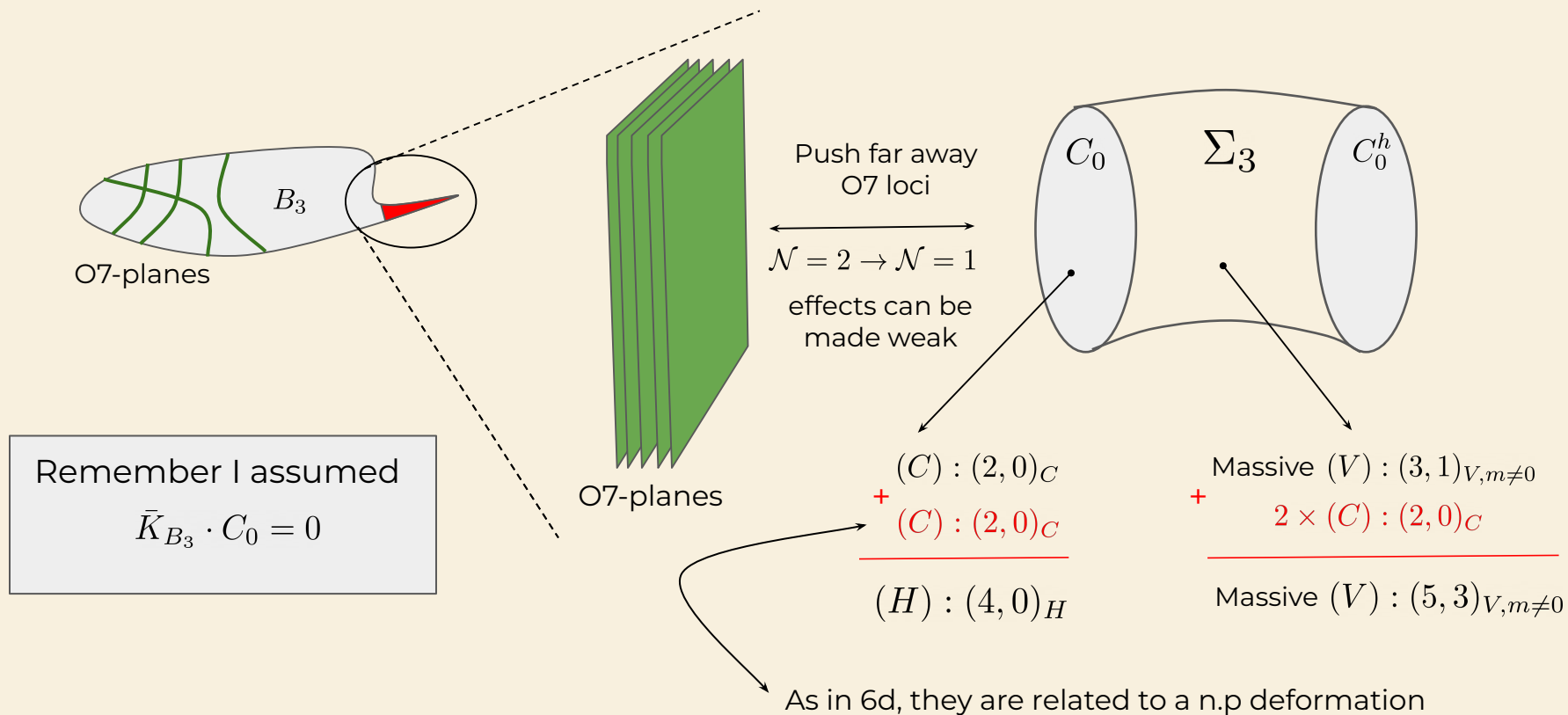
$$\begin{aligned} &(C) : (2, 0)_C \\ &+ \\ &(C) : (2, 0)_C \end{aligned}$$

$$(H) : (4, 0)_H$$

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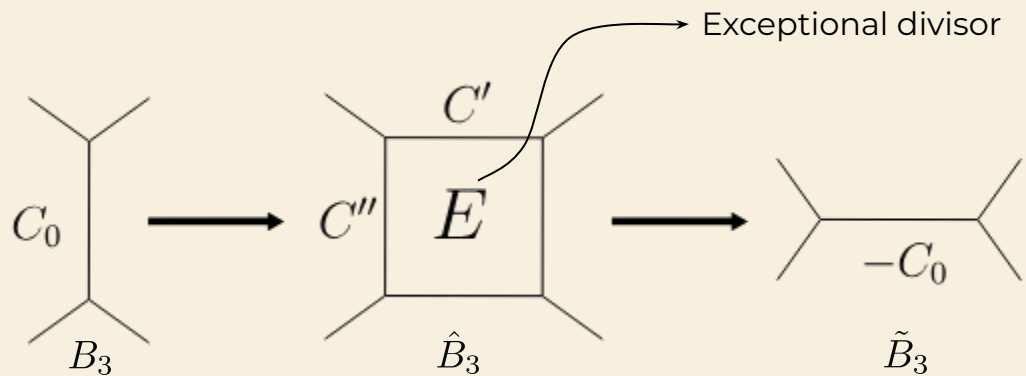


Back to F-theory:

Non-perturbative phase structure of C_0 :

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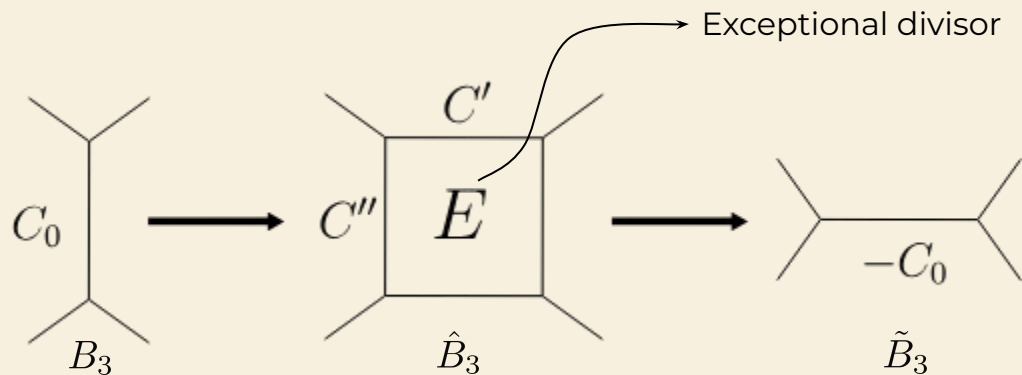
Non-perturbative phase structure of C_0 :



Natural n.p deformation candidate: birational factorization of the flop \rightarrow Similar to 6d F-theory

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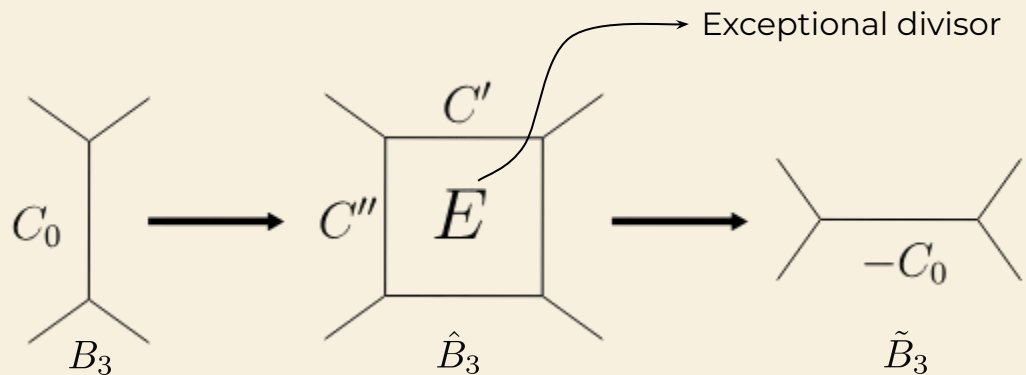


Natural n.p deformation candidate: birational factorization of the flop \rightarrow Similar to 6d F-theory

$$\bar{K}(\hat{B}_3) = \phi^*(\bar{K}(B_3)) - E \quad \text{with} \quad E \simeq \mathbb{P}^1 \times \mathbb{P}^1$$

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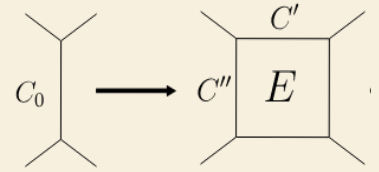
$$\bar{K}(\hat{B}_3) = \phi^*(\bar{K}(B_3)) - E \quad \text{with} \quad E \simeq \mathbb{P}^1 \times \mathbb{P}^1$$

Similar to 6d heterotic

Invisible from perturbative type IIB on CY orientifolds. Since violates the CY condition

New geometric light states

$$T_E = \frac{1}{2g_s} \int_E J_{\hat{B}_3}^2 + i \int_E C_4 \longrightarrow \text{Extra chiral}$$

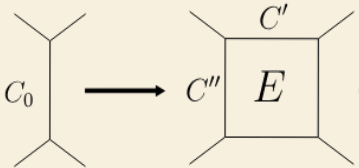


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The Euler characteristic changes

$$-744 = \delta \chi = \chi(\hat{X}_4) - \chi(X_4) = 6 \left(\delta h^{3,1} + \delta h^{1,1} - \delta h^{2,1} \right)$$

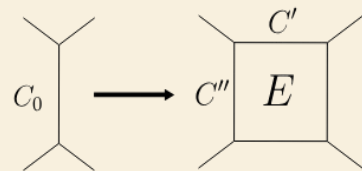


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We find

$$\delta h^{1,1} = \delta h^{2,1} = 1$$

$$\delta h^{3,1} = -124$$

Local data

Two extra chirals from the
local geometry deformation

$$(T_E) : (2, 0)_C \quad + \quad (\chi_0) : (2, 0)_C$$

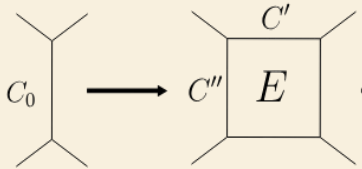
Still missing one!

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Local data

Global data

More in [\[25xx. xxxx\]](#)

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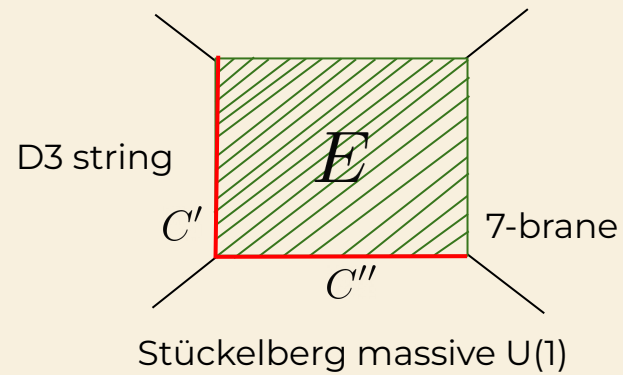
Along with a change in the number of D3-branes

$$(T_E) : (2,0)_C \quad + \quad (\chi_0) : (2,0)_C$$

Decoupled sector!

Still missing one!

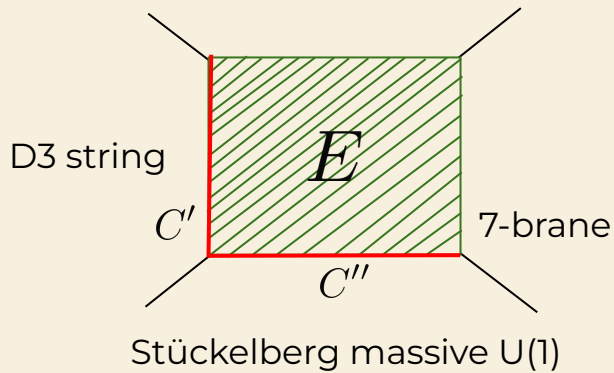
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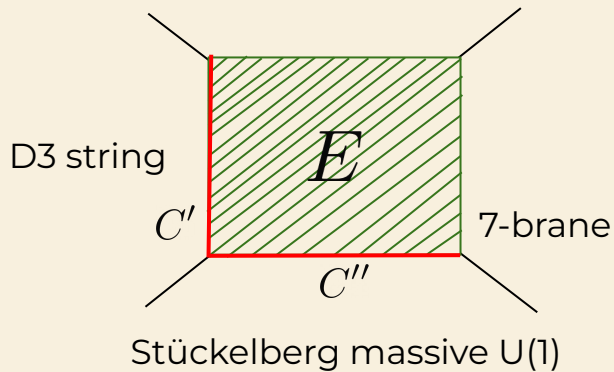
Axion turned on
 $\bar{K}_{\hat{B}_3} \cdot C'' \neq 0$

Like heterotic gauge enhancements states

Finite
tension



Finitely many
massless excitation



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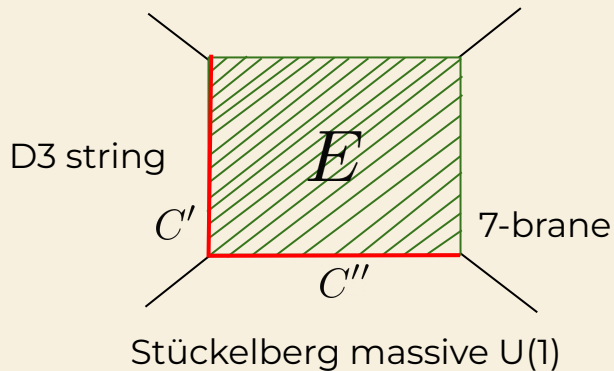
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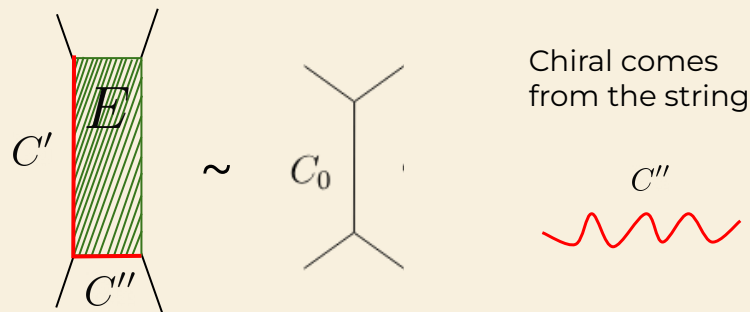
Finite tension



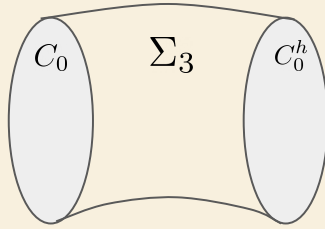
Finitely many massless excitation



There has to be a light charged state even though full quantization is not known!



Summary: multiplets and origin

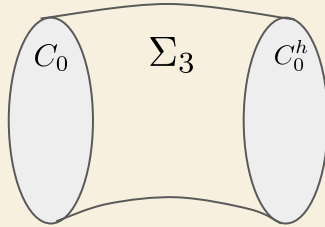


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Massive $(V) : (3, 1)_{V, m \neq 0}$

Due to local enhanced
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N=2 supermultiplets

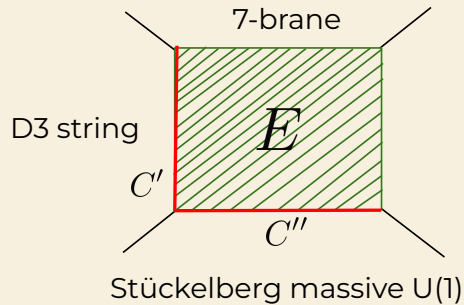
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$$\begin{array}{r} (C) : (2, 0)_C \\ + \\ \text{New divisor } (C) : (2, 0)_C \\ \hline (H) : (4, 0)_H \end{array}$$

$$\begin{array}{r} \text{Massive } (V) : (3, 1)_{V, m \neq 0} \\ + \\ (C) : (2, 0)_C \text{ Extra } h^{2,1} \\ (C) : (2, 0)_C \text{ D3 string exc.} \\ \hline \text{Massive } (V) : (5, 3)_{V, m \neq 0} \end{array}$$

Conclusions

- Topological transitions are well understood in theories with extended supersymmetry.
- As a first step, we search for sectors with enhanced supersymmetry in minimally supersymmetric theories.
- Our guiding principle is

$$\bar{K}_{B_3} \cdot C_0 = 0$$

- While perturbative type IIB does not capture all the physics, F-theory does provide the necessary degrees of freedom to fill out N=2 supermultiplets

More in [\[25xx. xxxx\]](#)

- Enhanced SUSY in the c.s moduli space/conifold transitions
- Global SUSY breaking effects are discussed
- The heterotic duality of this F-theory setup

**Ευχαριστώ
πολύ**

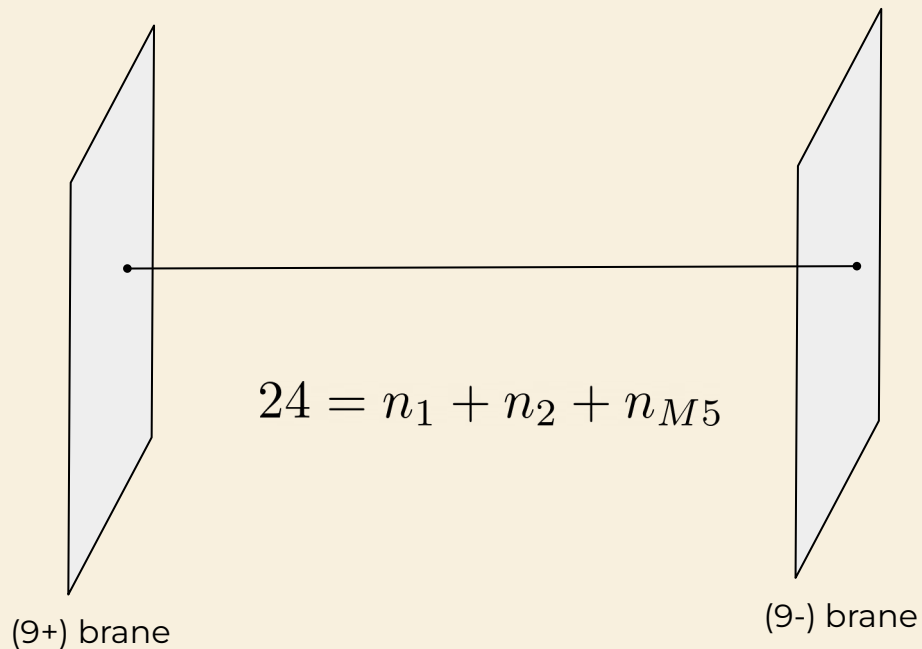
BACK UP SLIDES

Heterotic point of view

$$\mathbb{T}_2 \leftrightarrow \mathbb{T}_0$$

$$(12, 12) \longleftrightarrow (10, 14)$$

From Heterotic-M-theory on $K3 \times S^1/\mathbb{Z}_2$

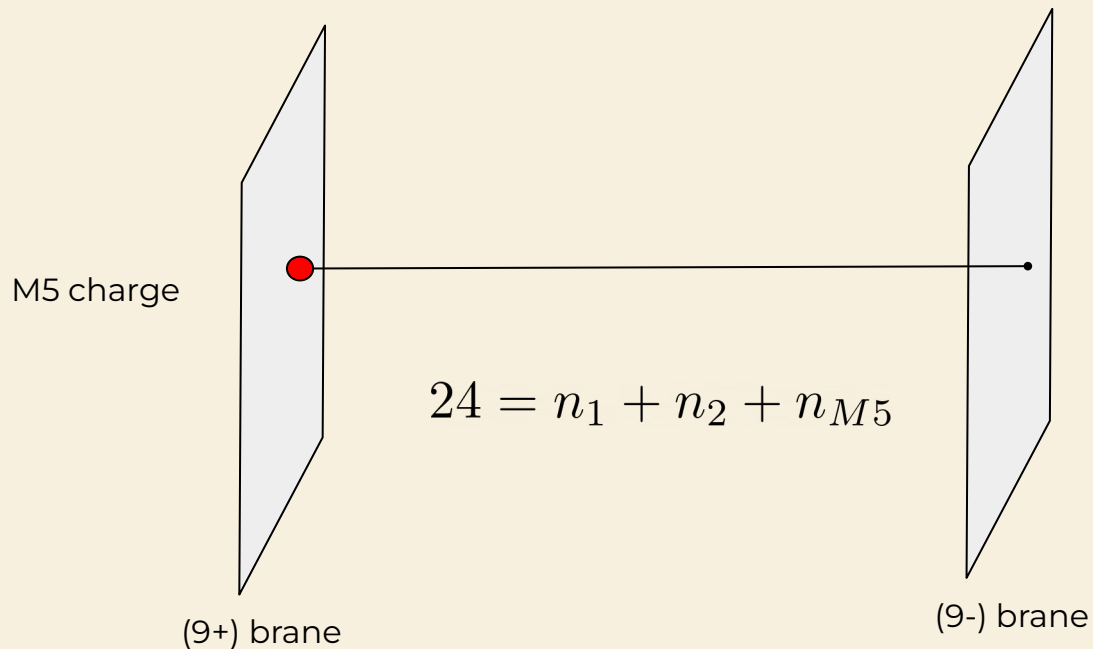


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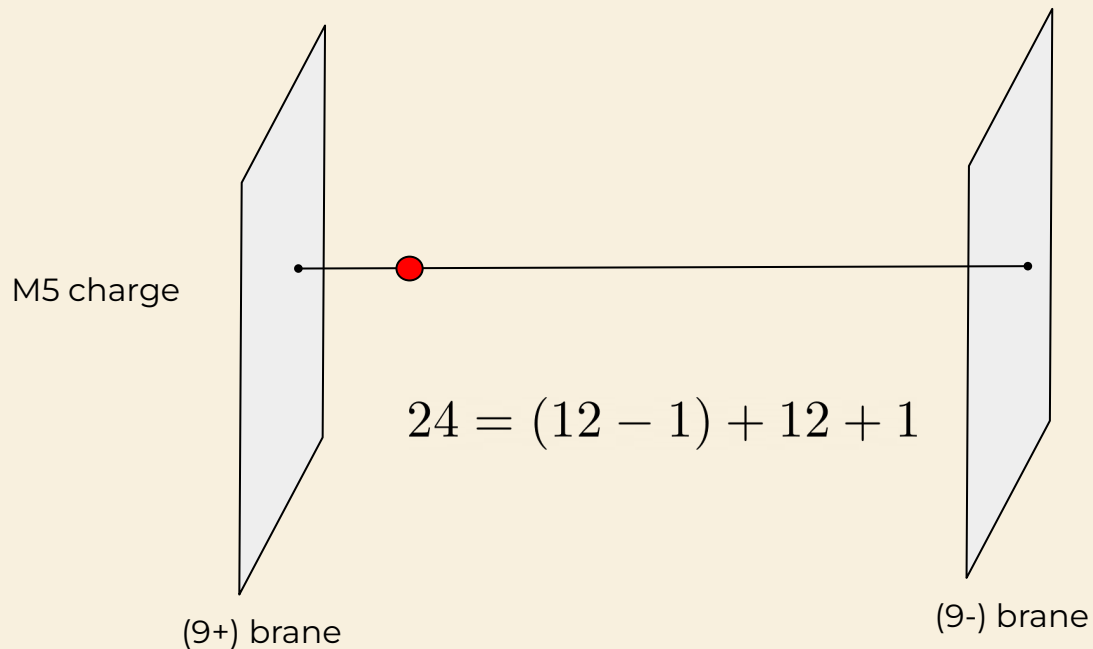


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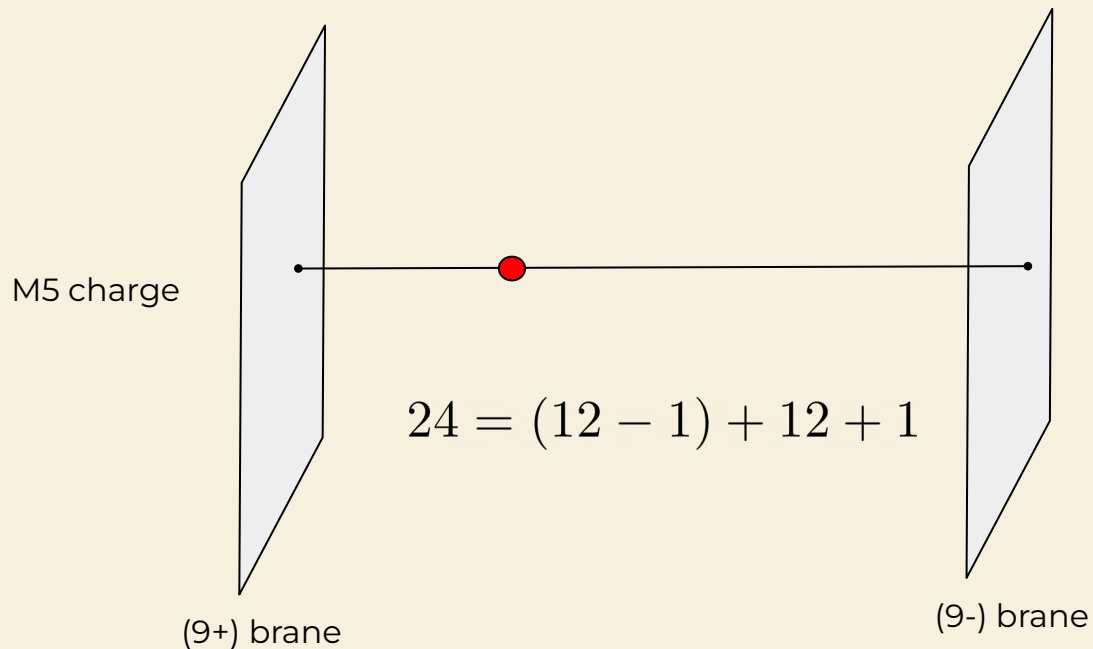


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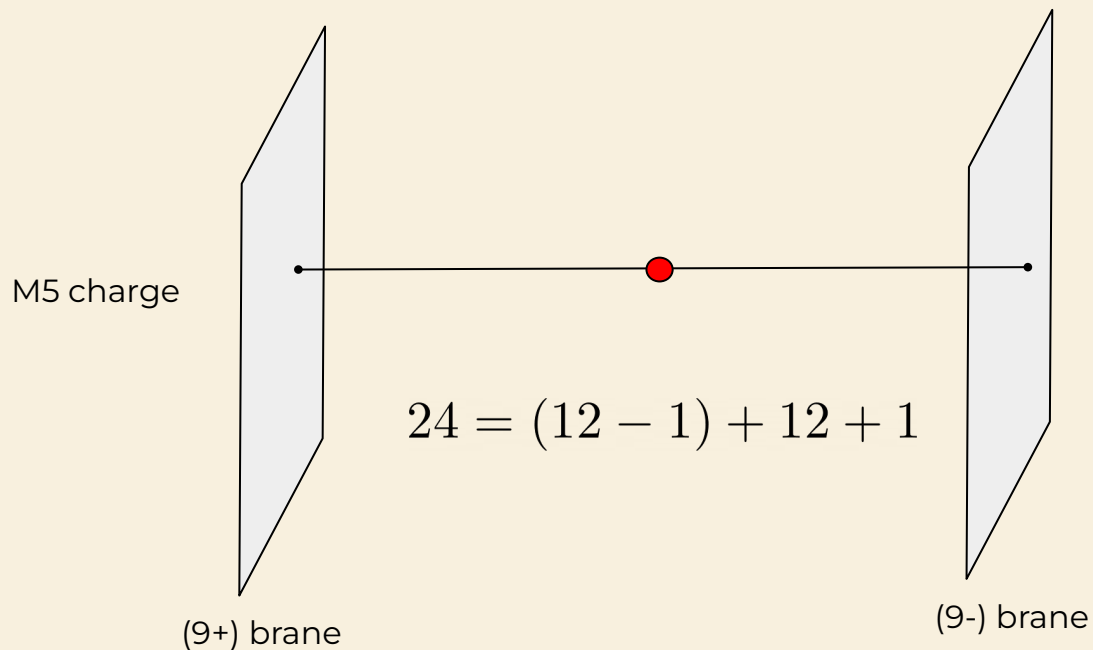


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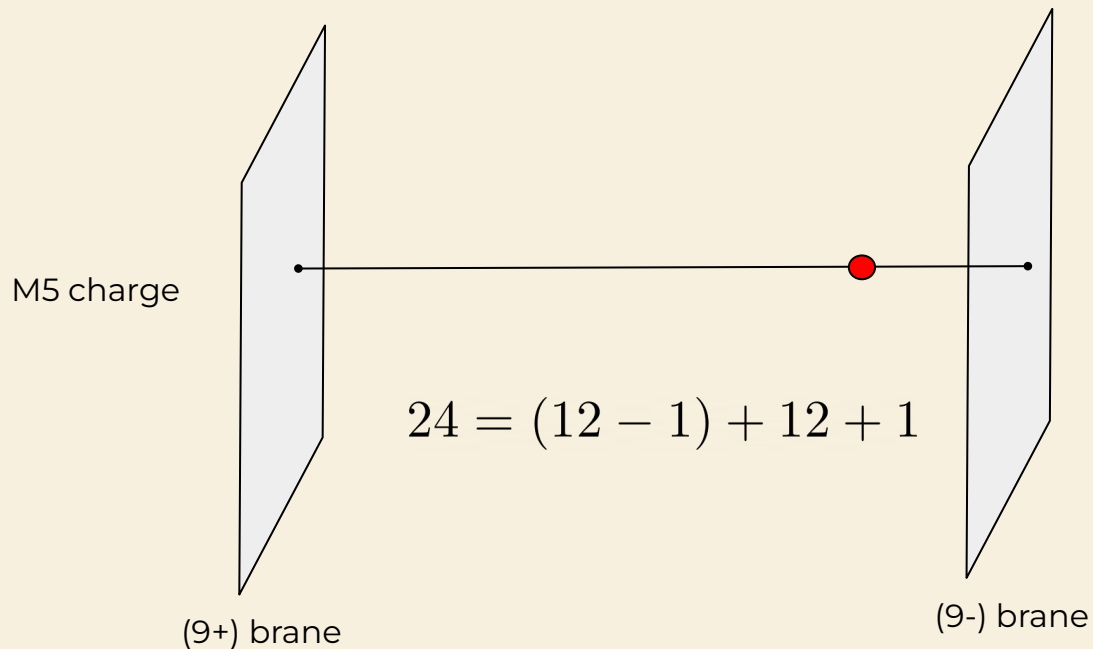


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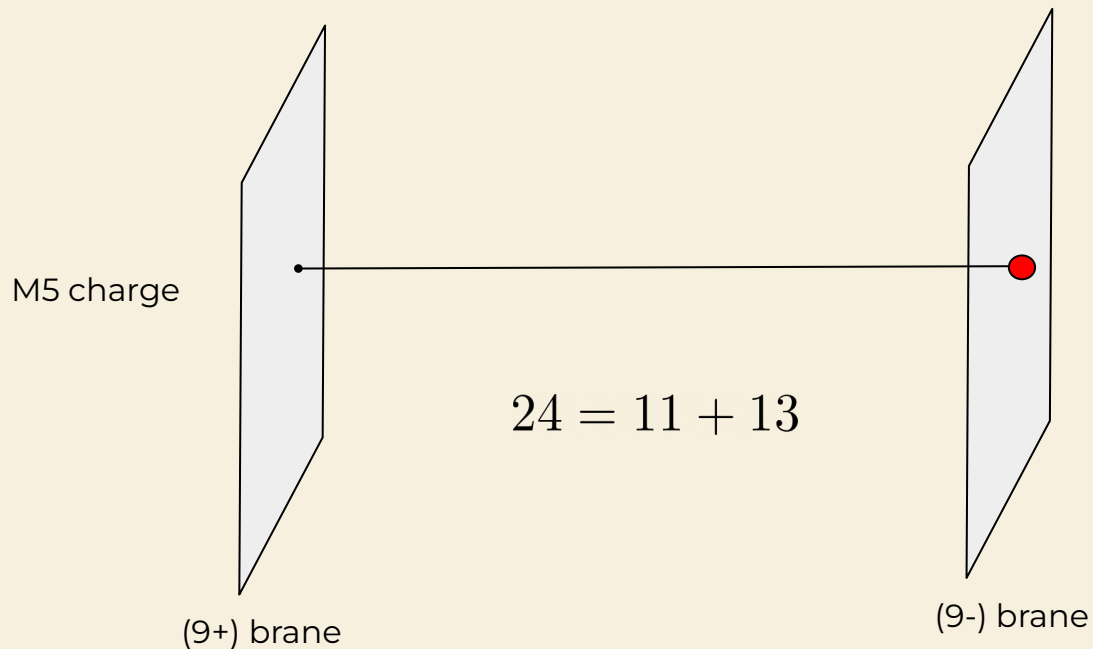


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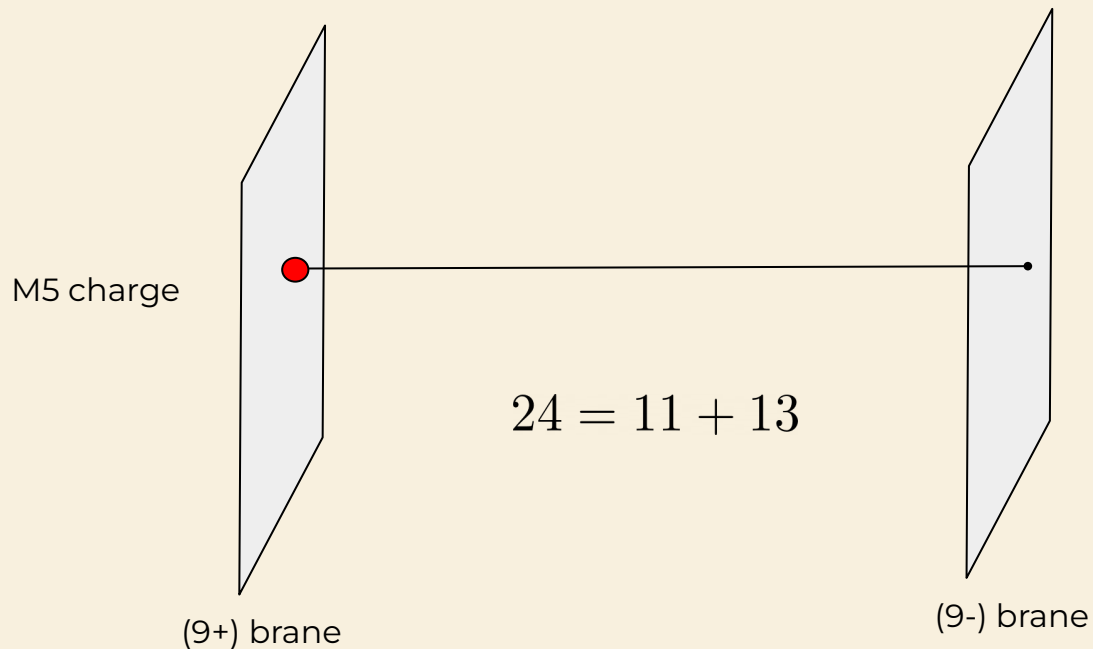


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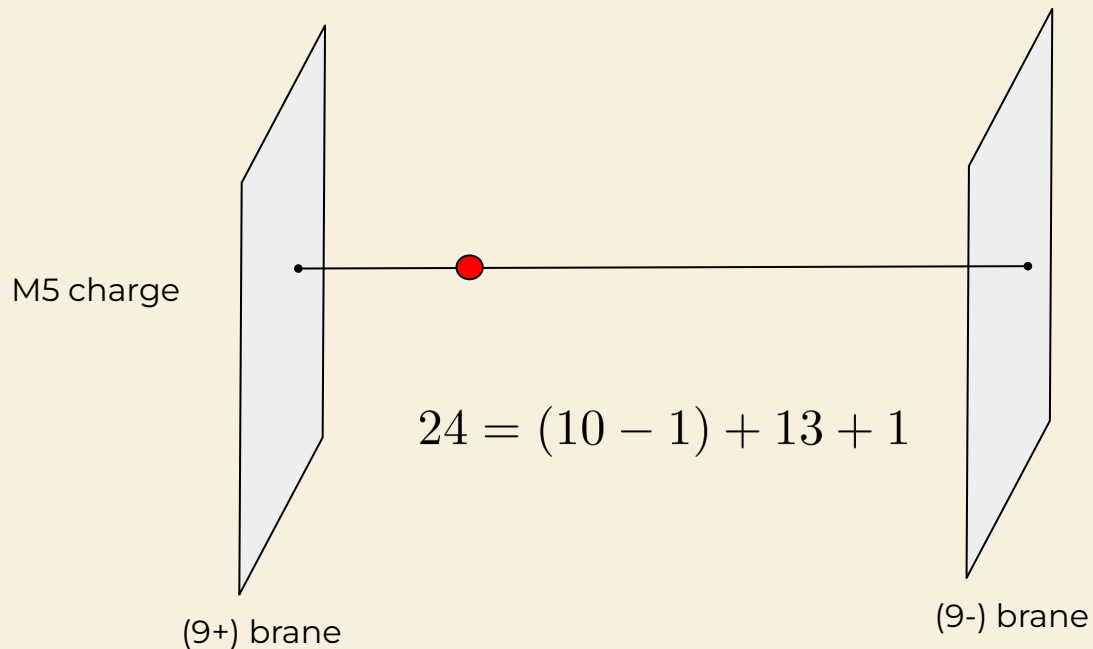


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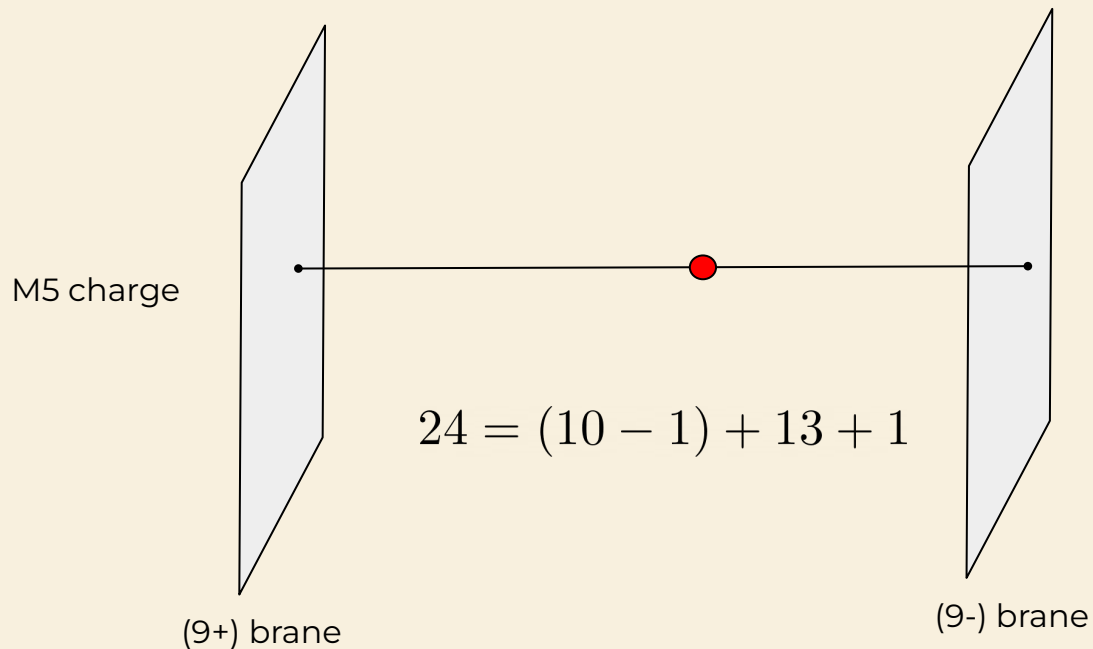


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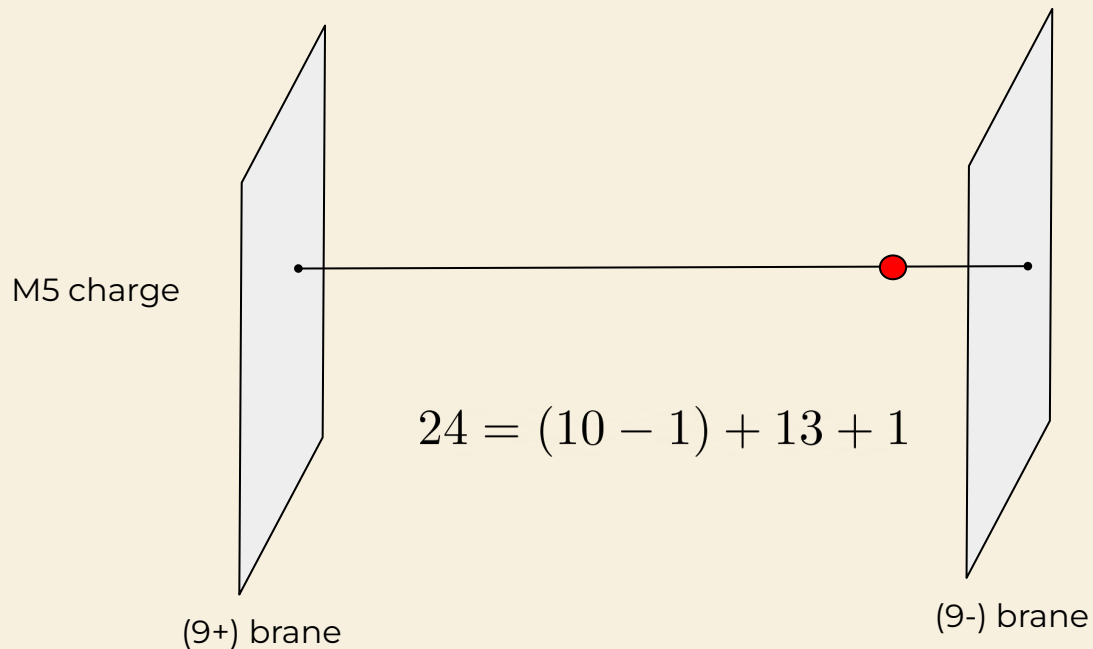


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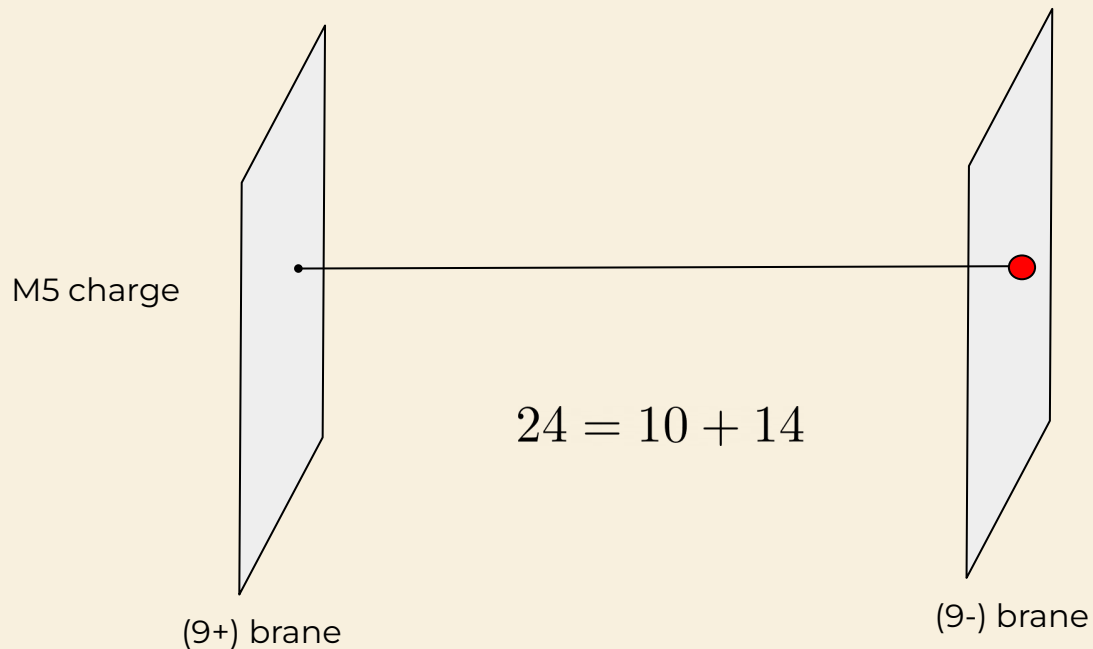


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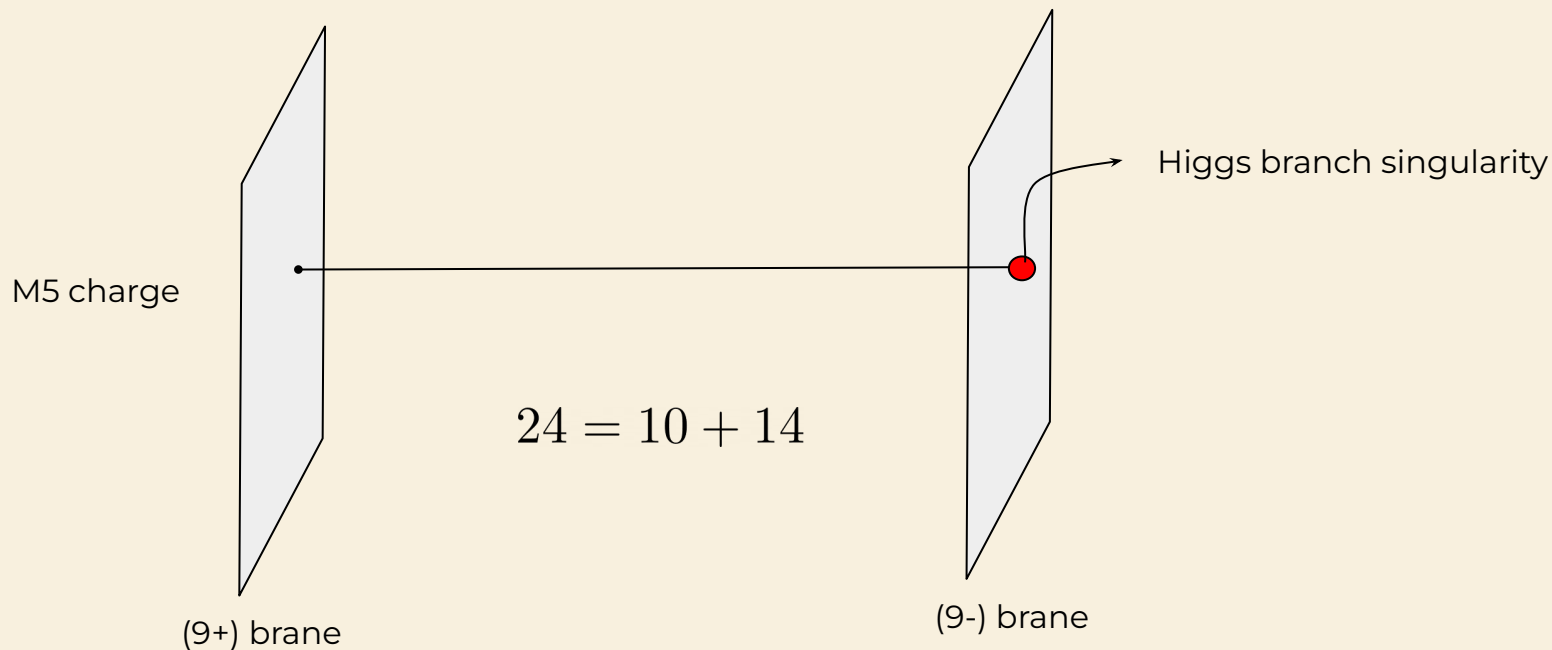


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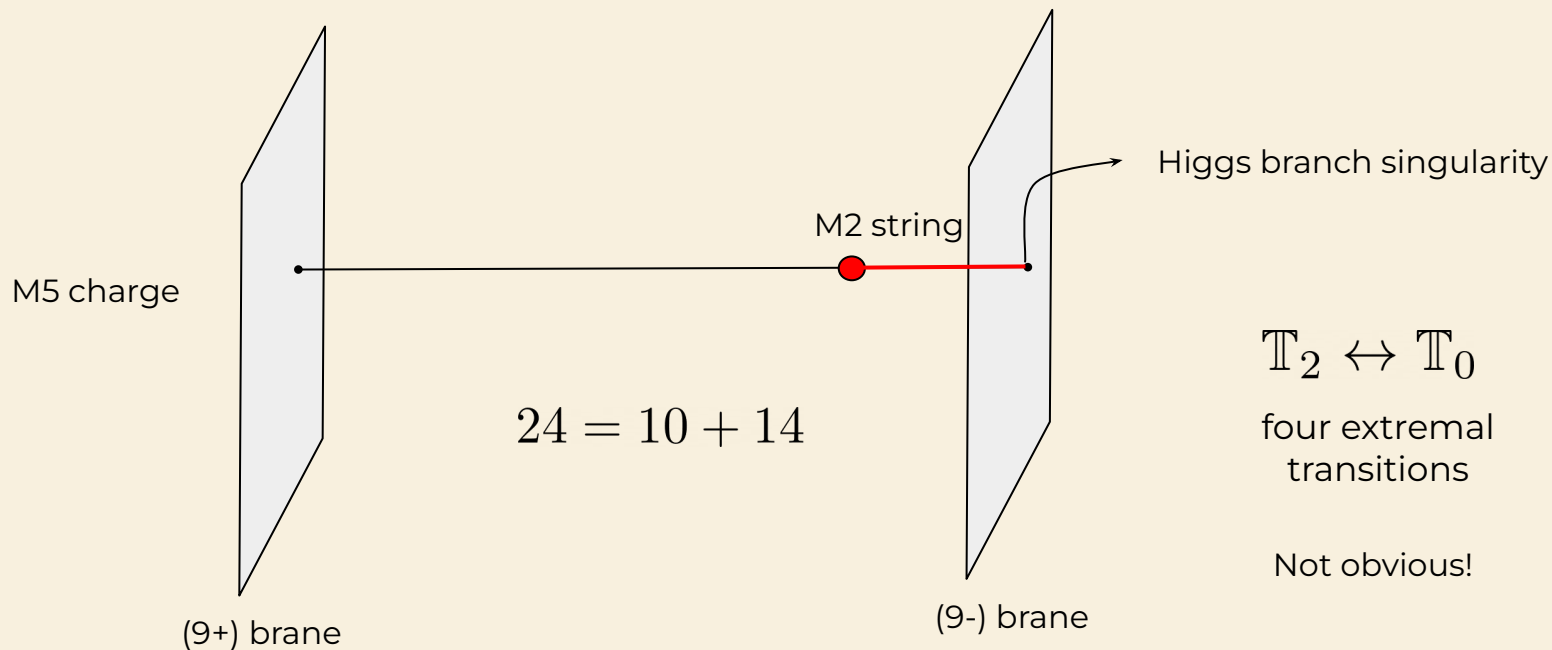


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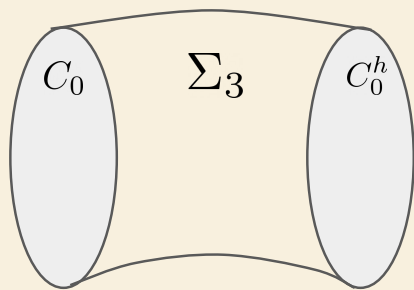
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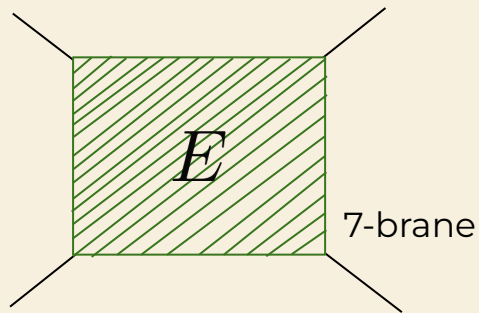
From Heterotic-M-theory on $K3 \times S^1/\mathbb{Z}_2$



The missing chiral comes from n.p state (like in conifold transitions)



Massive $(V) : (5, 3)_{V, m \neq 0}$



Stückelberg massive U(1)

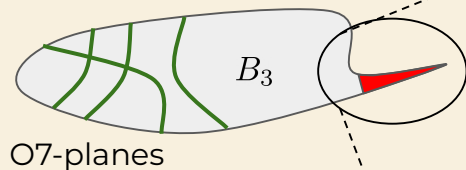
After the transition, a closed string U(1) is replaced by an open string U(1). In F-theory, closed and open string moduli are treated equally.

Same as in N=2. Different origin whether: Higgs or Coulomb branch.

Take the type IIB limit: $T^2 \hookrightarrow X_4 \rightarrow X_3$. **After** to orientifolding

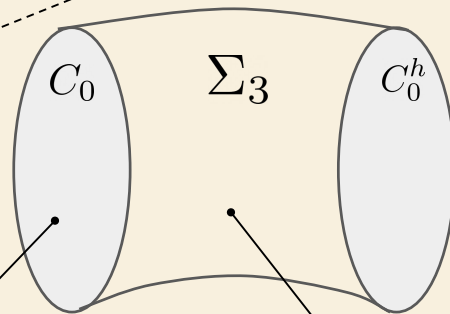
$$t_0 = g_s^{-1/2} \int_{C_0} J_{X_3}, \quad b_2^{(0)} = \int_{C_0} B_2, \quad c_2^{(0)} = \int_{C_0} C_2, \quad c_4^{(0)} = \left(\int_{C_0} C_4 \right)^\vee$$

In the vicinity of C_0



Remember I assumed

$$\bar{K}_{B_3} \cdot C_0 = 0$$



Conifold setup

Higgs branch

~~$$(H) : (4, 0)_H$$~~

$$(C) : (2, 0)_C$$

~~Massive $(V) : (5, 3)_{V, m \neq 0}$~~

$$\text{Massive } (V) : (3, 1)_{V, m \neq 0}$$