

# Indications for BSM from unification, vacuum stability and gravitational waves

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Warsaw, Poland

in collaboration with

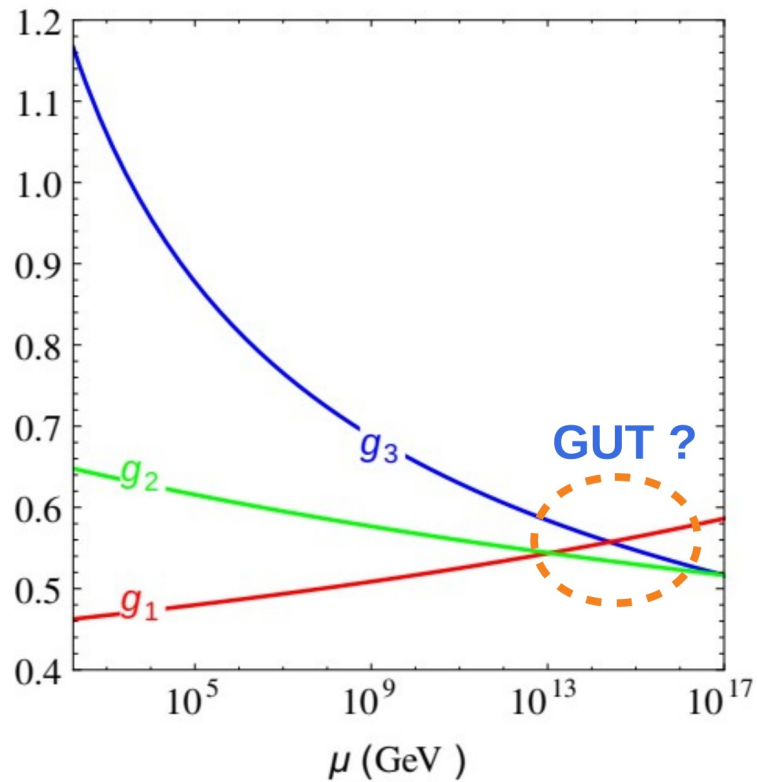
D. Kumar, D. Rizzo, E. M. Sessolo

JHEP 1912 (2019) 094 (arXiv: 1910.00847)  
and work in progress

*Workshop on Standard Model and Beyond, Corfu*  
*02.09.2024*

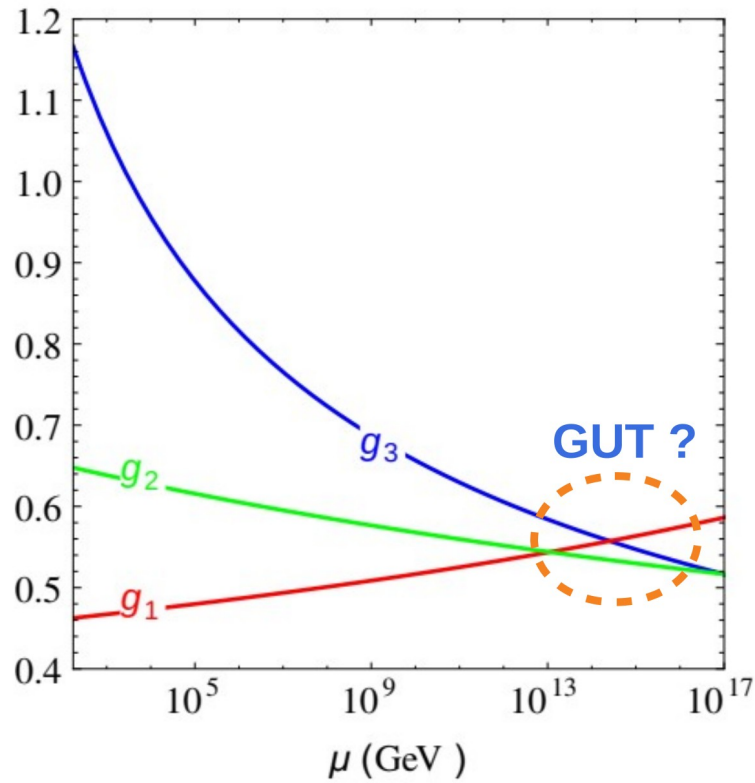
# The new old story

Standard Model



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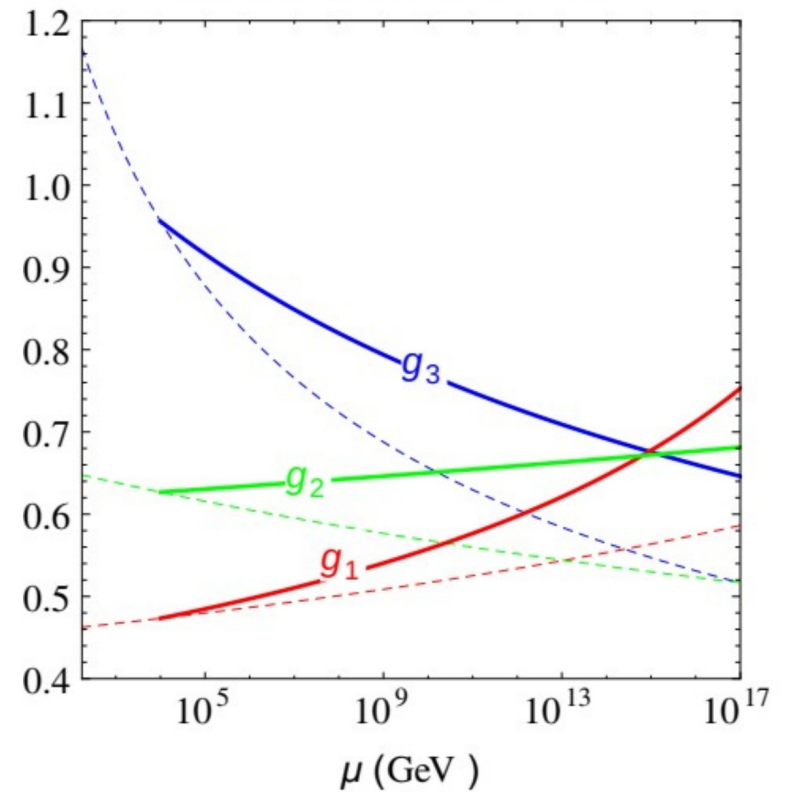
Standard Model



NP needed

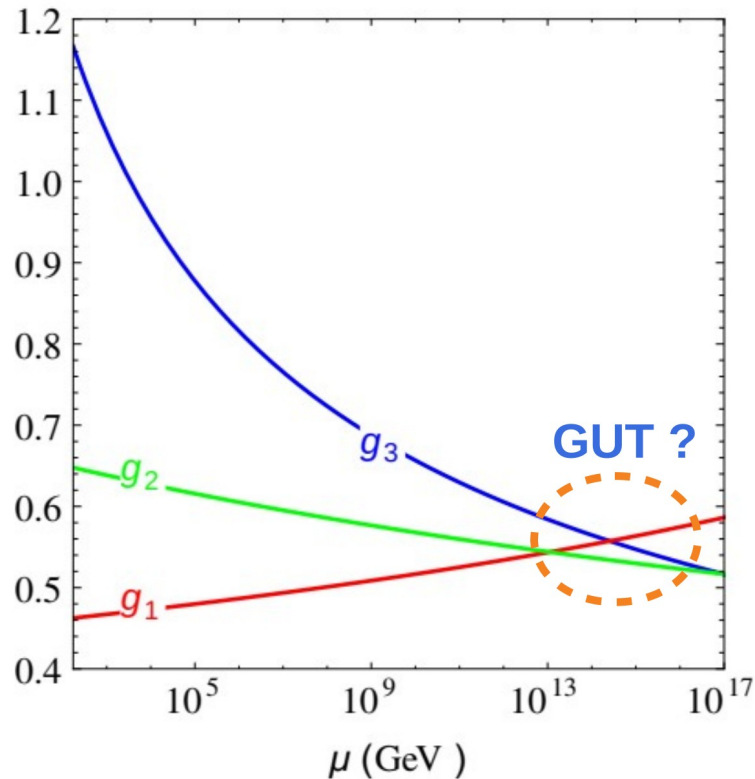


Standard Model + NP



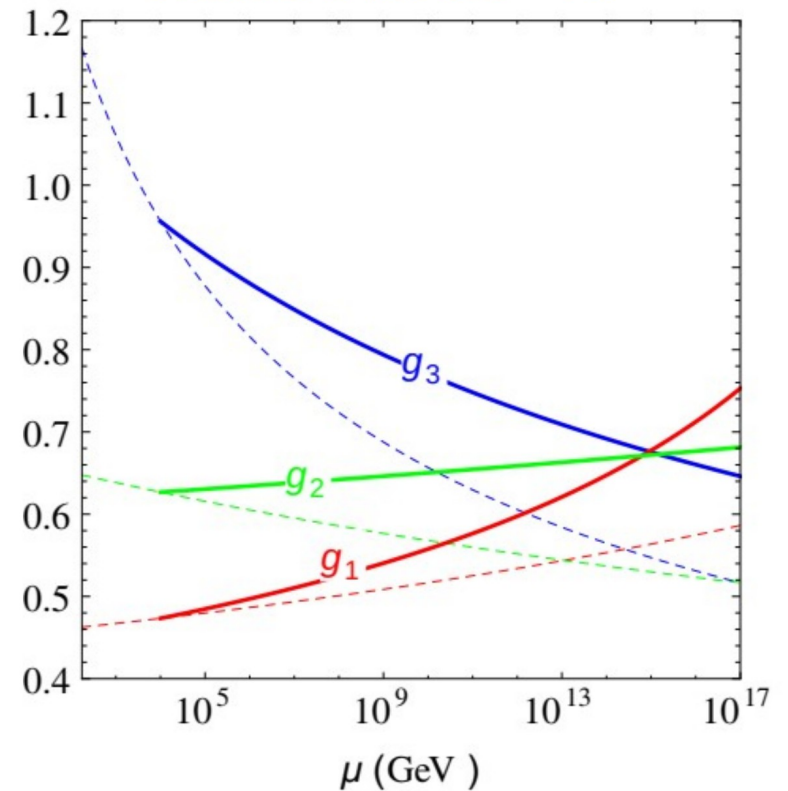
# The new old story

Standard Model



NP needed

Standard Model + NP



**Our goal: classification of the BSM extensions with VL fermions and gauge unification**

KK, D.Kumar, arXiv: 1910.00847  
JHEP 12 (2019) 094

# Analysis strategy


KK, D.Kumar, arXiv: 1910.00847

Some previous work:

T. G. Rizzo, Phys. Rev. D45 (1992) 3903–3905

B. Bhattacharjee, P. Byakti, A. Kushwaha, S. K. Vempati, JHEP 05 (2018) 090

## Initial assumptions:

- NP = vector-like fermions (mass < 10 TeV)
- unification scale in the range  $10^{15}$  -  $10^{18}$  GeV
- $SU(5)$ -like GUT gauge symmetry
- negligible Yukawa interaction  long-lived particles

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## 24 distinct representations of $SU(3) \times SU(2) \times U(1)$

color singlets :  $(\mathbf{1}, \mathbf{1}, 1), (\mathbf{1}, \mathbf{1}, -2), (\mathbf{1}, \mathbf{2}, \frac{1}{2}), (\mathbf{1}, \mathbf{2}, -\frac{3}{2}), (\mathbf{1}, \mathbf{3}, 0), (\mathbf{1}, \mathbf{3}, 1),$   
 $(\mathbf{1}, \mathbf{4}, \frac{1}{2}), (\mathbf{1}, \mathbf{4}, -\frac{3}{2}),$

color triplets :  $(\mathbf{3}, \mathbf{1}, -\frac{1}{3}), (\bar{\mathbf{3}}, \mathbf{1}, -\frac{2}{3}), (\bar{\mathbf{3}}, \mathbf{1}, \frac{4}{3}), (\bar{\mathbf{3}}, \mathbf{1}, -\frac{5}{3}), (\mathbf{3}, \mathbf{2}, \frac{1}{6}), (\bar{\mathbf{3}}, \mathbf{2}, \frac{5}{6}),$   
 $(\bar{\mathbf{3}}, \mathbf{2}, -\frac{7}{6}), (\mathbf{3}, \mathbf{3}, -\frac{1}{3}), (\bar{\mathbf{3}}, \mathbf{3}, -\frac{2}{3}),$

color sextets :  $(\bar{\mathbf{6}}, \mathbf{1}, -\frac{1}{3}), (\mathbf{6}, \mathbf{1}, -\frac{2}{3}), (\bar{\mathbf{6}}, \mathbf{2}, \frac{1}{6}), (\mathbf{6}, \mathbf{2}, \frac{5}{6}),$

color octets :  $(\mathbf{8}, \mathbf{1}, 0), (\mathbf{8}, \mathbf{1}, 1), (\mathbf{8}, \mathbf{2}, \frac{1}{2}).$

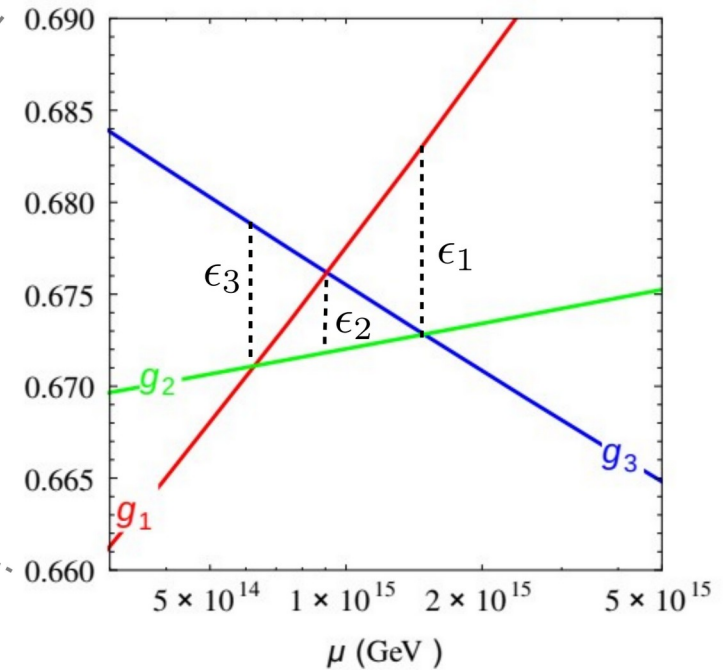
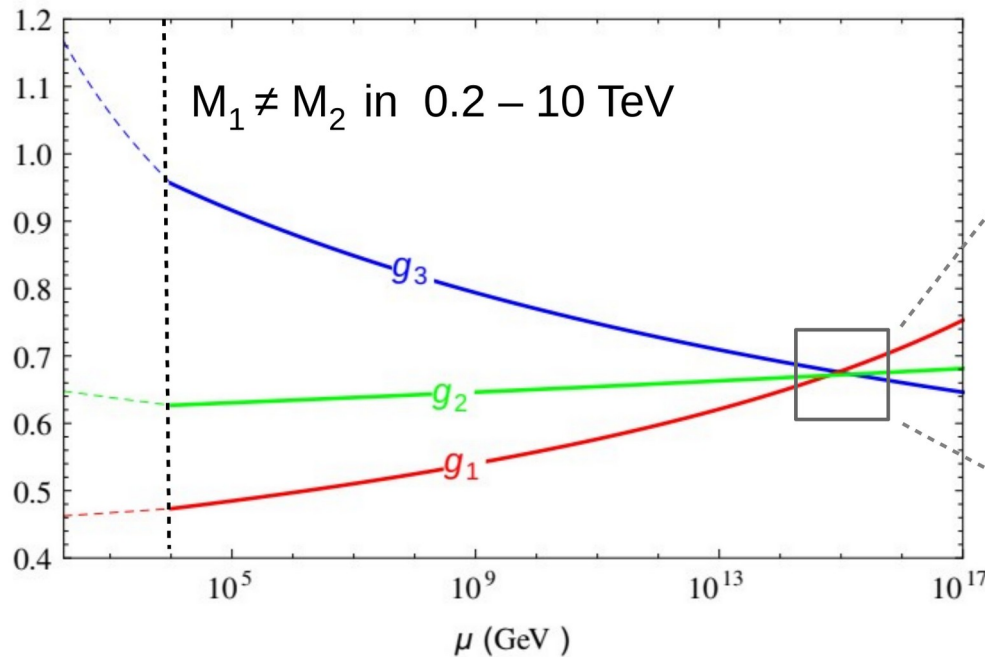
**our fundamental  
building blocks**

# Analysis strategy

note: no unification with 1 VL rep. (see, ex. Bhattacharjee et al. *JHEP 05 (2018) 90*)

2 VL rep:  $SM + N_1 \times VL(R_1) + N_2 \times VL(R_2)$

KK, D.Kumar, arXiv: 1910.00847



precise gauge unification (PGU)

SM:  $\epsilon=7\%$   
MSSM:  $\epsilon=1\%$

$$\epsilon \leq 1\%$$

$$\epsilon_k = \frac{g_k^2(M_{\text{GUT}}^{ij}) - g_{ij}^2}{g_{ij}^2}$$

$$\epsilon = \min(\epsilon_1, \epsilon_2, \epsilon_3)$$

goodness of unification

# Summary of the results

Scenario	$R_{F_1}$	$R_{F_2}$	$N_1$	$N_2$
F1	$(\mathbf{1}, \mathbf{2}, \frac{1}{2})$	$(\mathbf{6}, \mathbf{1}, \frac{1}{3})$	12	2
F2	$(\mathbf{1}, \mathbf{2}, \frac{1}{2})$	$(\mathbf{6}, \mathbf{1}, \frac{1}{3})$	20	4
F3	$(\mathbf{1}, \mathbf{2}, \frac{1}{2})$	$(\mathbf{6}, \mathbf{1}, \frac{1}{3})$	22	4
F4	$(\mathbf{1}, \mathbf{2}, \frac{1}{2})$	$(\mathbf{8}, \mathbf{1}, 0)$	8	1
F5	$(\mathbf{1}, \mathbf{2}, \frac{1}{2})$	$(\mathbf{8}, \mathbf{1}, 0)$	12	2
F6	$(\mathbf{1}, \mathbf{2}, \frac{1}{2})$	$(\mathbf{8}, \mathbf{1}, 0)$	14	2
F7	$(\mathbf{1}, \mathbf{3}, 0)$	$(\mathbf{3}, \mathbf{1}, -\frac{1}{3})$	2	8
F8	$(\mathbf{1}, \mathbf{3}, 0)$	$(\mathbf{3}, \mathbf{1}, -\frac{1}{3})$	3	12
F9	$(\mathbf{1}, \mathbf{3}, 0)$	$(\mathbf{6}, \mathbf{1}, -\frac{2}{3})$	3	2
F10	$(\mathbf{1}, \mathbf{4}, \frac{1}{2})$	$(\mathbf{6}, \mathbf{1}, -\frac{2}{3})$	2	4
F11	$(\mathbf{3}, \mathbf{1}, -\frac{1}{3})$	$(\mathbf{3}, \mathbf{2}, \frac{1}{6})$	2	2
F12	$(\mathbf{3}, \mathbf{1}, \frac{2}{3})$	$(\mathbf{3}, \mathbf{2}, \frac{1}{6})$	4	4
F13	$(\mathbf{3}, \mathbf{1}, \frac{2}{3})$	$(\mathbf{3}, \mathbf{2}, \frac{1}{6})$	6	6

276 initial models

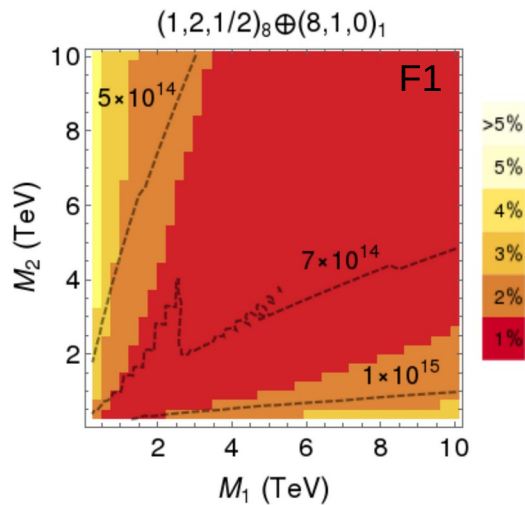


**7 PGU models**



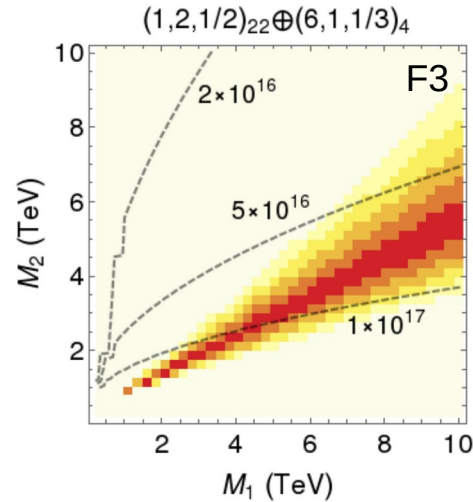
# Probing the PGU models

## unification scale



low

$$M_{\text{GUT}} \simeq 10^{15} \text{ GeV}$$



moderate/high

$$M_{\text{GUT}} \sim 10^{16} - 10^{17} \text{ GeV}$$

- unification possible for a wide range of masses
- excluded or to be excluded by the **proton decay** measurements at SK/HK

$$\tau_p^{\text{SK}} > 1.6 \times 10^{34} \text{ years}$$

- compressed / hierarchical spectrum

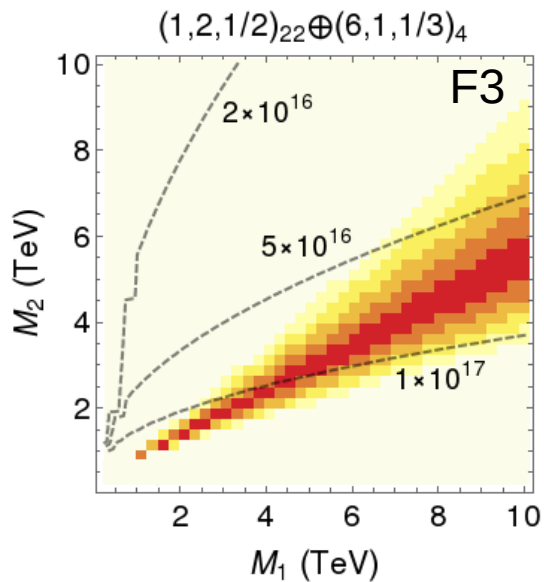
$$\tau_p^{\text{HK}} > 2 \times 10^{35} \text{ years}$$

Scenario	$\mathbf{R}_{F_1}$	$\mathbf{R}_{F_2}$	$N_1$	$N_2$	
F1	$(\mathbf{1}, \mathbf{2}, \frac{1}{2})$	$(\mathbf{6}, \mathbf{1}, \frac{1}{3})$	12	2	SK
F2	$(\mathbf{1}, \mathbf{2}, \frac{1}{2})$	$(\mathbf{6}, \mathbf{1}, \frac{1}{3})$	20	4	
F3	$(\mathbf{1}, \mathbf{2}, \frac{1}{2})$	$(\mathbf{6}, \mathbf{1}, \frac{1}{3})$	22	4	
F4	$(\mathbf{1}, \mathbf{2}, \frac{1}{2})$	$(\mathbf{8}, \mathbf{1}, \mathbf{0})$	8	1	
F5	$(\mathbf{1}, \mathbf{2}, \frac{1}{2})$	$(\mathbf{8}, \mathbf{1}, \mathbf{0})$	12	2	
F6	$(\mathbf{1}, \mathbf{2}, \frac{1}{2})$	$(\mathbf{8}, \mathbf{1}, \mathbf{0})$	14	2	
F7	$(\mathbf{1}, \mathbf{3}, \mathbf{0})$	$(\mathbf{3}, \mathbf{1}, -\frac{1}{3})$	2	8	
F8	$(\mathbf{1}, \mathbf{3}, \mathbf{0})$	$(\mathbf{3}, \mathbf{1}, -\frac{1}{3})$	3	12	
F9	$(\mathbf{1}, \mathbf{3}, \mathbf{0})$	$(\mathbf{6}, \mathbf{1}, \frac{2}{3})$	3	2	HK
F10	$(\mathbf{1}, \mathbf{4}, \frac{1}{2})$	$(\mathbf{6}, \mathbf{1}, -\frac{2}{3})$	2	4	
F11	$(\mathbf{3}, \mathbf{1}, -\frac{1}{3})$	$(\mathbf{3}, \mathbf{2}, \frac{1}{6})$	2	2	
F12	$(\mathbf{3}, \mathbf{1}, \frac{2}{3})$	$(\mathbf{3}, \mathbf{2}, \frac{1}{6})$	4	4	
F13	$(\mathbf{3}, \mathbf{1}, \frac{2}{3})$	$(\mathbf{3}, \mathbf{2}, \frac{1}{6})$	6	6	

**EXCLUDED**

# Probing the PGU models

unification scale



unification scale decreases  
when VL mass increases



$$\tau_p = \left( \frac{4\pi}{g_{\text{GUT}}^2} \right)^2 \left( \frac{M_{\text{GUT}}}{\text{GeV}} \right)^4 \times 2.0 \times 10^{-32}$$

for  $g_{\text{GUT}}=0.7$

$$M_{\text{GUT}} = 10^{15} \text{ GeV} \rightarrow \tau_p = 1.3 \times 10^{31} \text{ years}$$

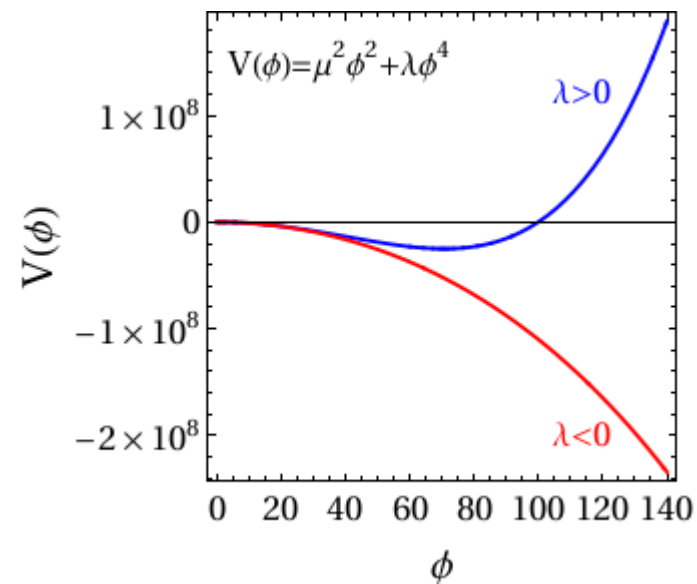
$$M_{\text{GUT}} = 10^{16} \text{ GeV} \rightarrow \tau_p = 1.3 \times 10^{35} \text{ years}$$

Model	Proton decay		masses in TeV
	$M_1^{\text{max}}$	$M_2^{\text{max}}$	
F1	Excluded		
F2	25	180	
F3	350	200	
F4	Excluded		
F5	10	50	
F6	500	50	
F7	20	100	
F8	$2 \times 10^5$	$5 \times 10^5$	
F9	Excluded HK		
F10	250	1000	
F11	600	200	
F12	$6 \times 10^4$	400	
F13	-	$2 \times 10^6$	

**model-independent  
upper bounds on VL mass**

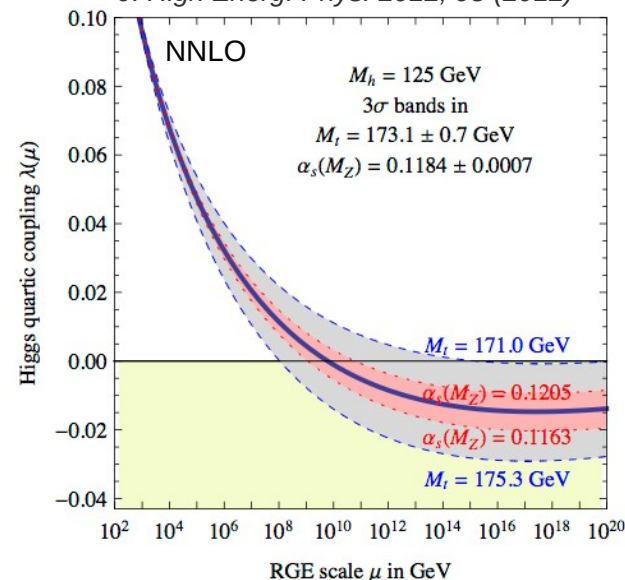
# Vacuum stability

scalar potential must be bounded from below



In the Standard Model vacuum **metastable**

G. Degrandi, S. Di Vita, J. Elias-Miró, J. Espinosa, G. Giudice, G. Isidori, A. Strumia  
*J. High Energ. Phys.* 2012, 98 (2012)



stability can be restored in BSM

ex. with VL fermions

Gopalakrishna, Velusamy, *PRD* 99 (2019), Arsenault et al. *PRD* 107 (2023), Hiller et al. arXiv: 2401.08811, Adhikary et al. arXiv: 2406.16050... many more

# Vacuum stability in PGUs

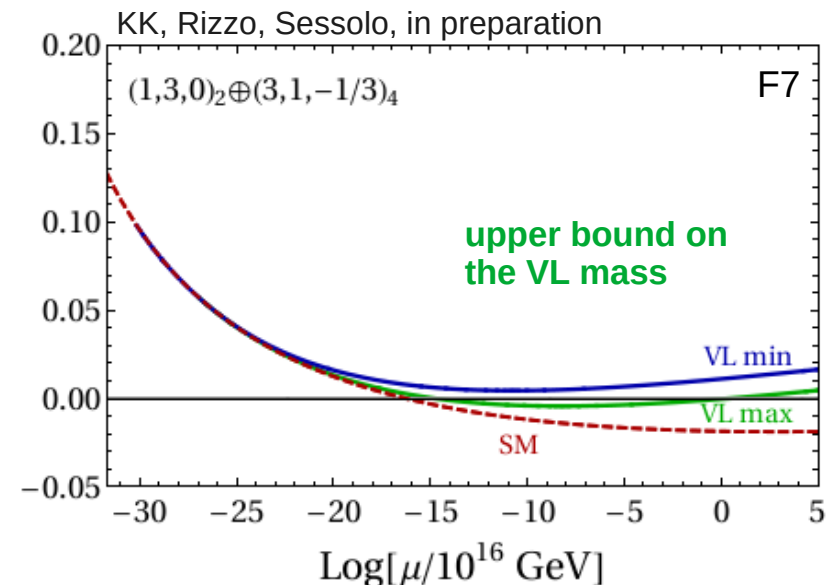
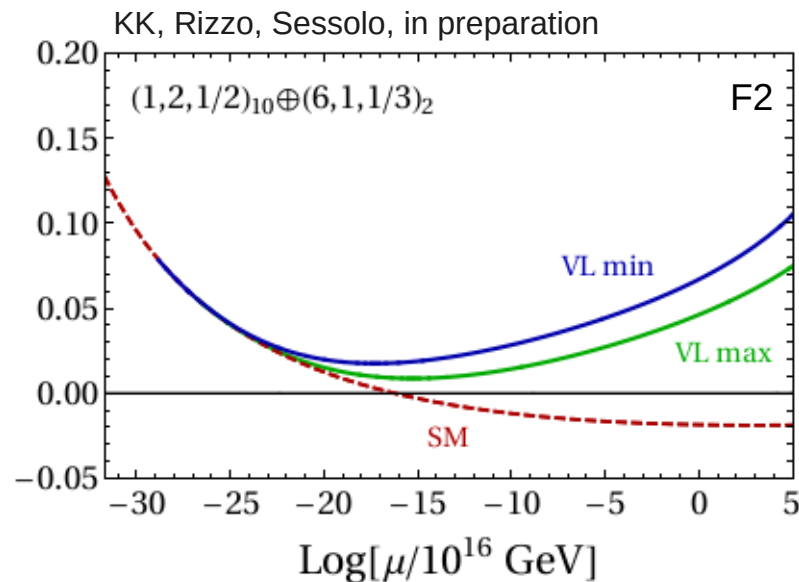
no BSM Yukawa interactions

$$16\pi^2 \beta(g_3) = g_3^3 \left( -7 + \frac{2}{3} N_F S_2(R_{F3}) d(R_{F2}) \right) \rightarrow g_3 \nearrow$$

$$16\pi^2 \beta(y_t) = y_t \left( \frac{9}{2} y_t^2 - 8g_3^2 - \frac{9}{4} g_2^2 - \frac{17}{12} g_Y^2 \right) \rightarrow y_t \searrow$$

$$16\pi^2 \beta(\lambda) = 24\lambda^2 + 12\lambda y_t^2 - 6y_t^4 + f(g_Y, g_2, \lambda) \rightarrow \lambda \nearrow$$

**vacuum gets stabilized**



# Vacuum stability in PGUs

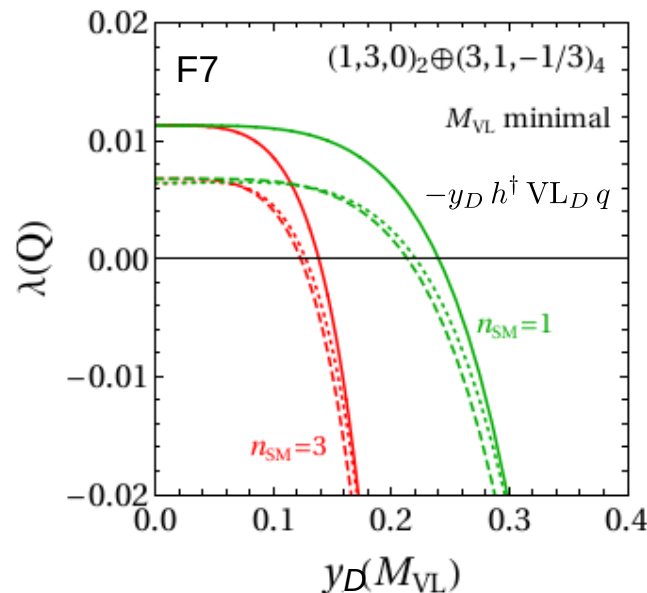
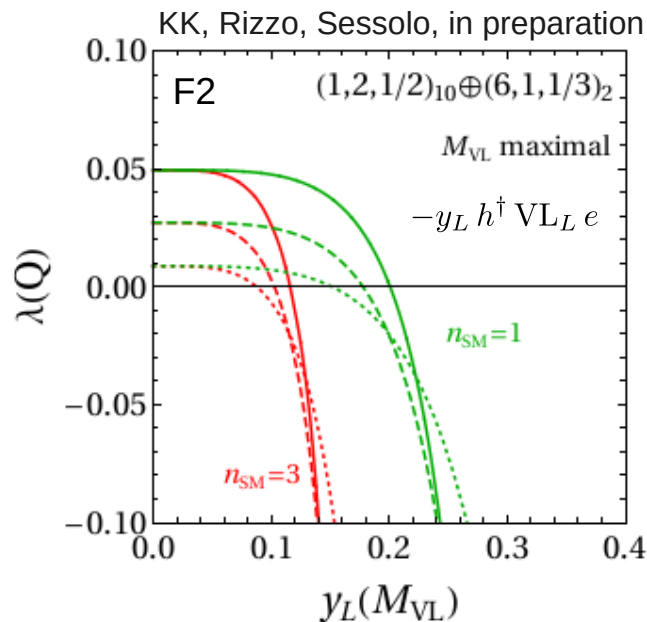
with BSM Yukawa interactions

$$16\pi^2 \beta(g_3) = g_3^3 \left( -7 + \frac{2}{3} N_F S_2(R_{F3}) d(R_{F2}) \right) \rightarrow g_3 \nearrow$$

$$16\pi^2 \beta(y_t) = y_t \left( \frac{9}{2} y_t^2 + A y_{\text{BSM}}^2 - 8g_3^2 - \frac{9}{4} g_2^2 - \frac{17}{12} g_Y^2 \right) \rightarrow y_t \rightarrow$$

$$16\pi^2 \beta(\lambda) = 24\lambda^2 + \frac{3}{8} g_Y^4 + C \lambda y_{\text{BSM}}^2 - 6y_t^4 - B y_{\text{BSM}}^4 + f(g_Y, g_2, \lambda) \rightarrow \lambda \searrow$$

**vacuum gets destabilized**



**Upper bound on the BSM Yukawa couplings**

**~ 0.1 - 0.3**

cf. also Adhikary et al.  
 arXiv: 2406.16050

# Other scalars?

$$\text{In SU(5): } \mathcal{L}_{\text{Yuk}} = Y_d \bar{\mathbf{5}} \times \mathbf{10} \times \bar{\mathbf{5}}_{\text{H}} + Y_u \mathbf{10} \times \mathbf{10} \times \mathbf{5}_{\text{H}} \left\{ \begin{array}{l} \text{Higgs doublet} \\ \text{color triplet} \end{array} \right.$$

## Scalars can emerge naturally in GUTs

see also  
M. Malinsky talk

- **SU(5)**

$$24, 75 \supset (1, 1)_0 \quad \longrightarrow \quad \text{singlet S}$$

- **SU(6)** (and larger)

$$\mathcal{L}_{\text{Yuk}} = Y_{15} \mathbf{15} \times \mathbf{15} \times \mathbf{15}^{H_1} + Y_6 \mathbf{15} \times \bar{\mathbf{6}} \times \bar{\mathbf{6}}^{H_2} \quad \longrightarrow \quad \text{2HDM}$$

$$SU(6) \rightarrow SU(5) \times U(1)_5$$

$$\mathbf{6} = \mathbf{1}_{-5} + \mathbf{5}_1$$

$$\longrightarrow \quad \text{singlet S + U(1)'}$$

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**Complementary signals with scalars?**

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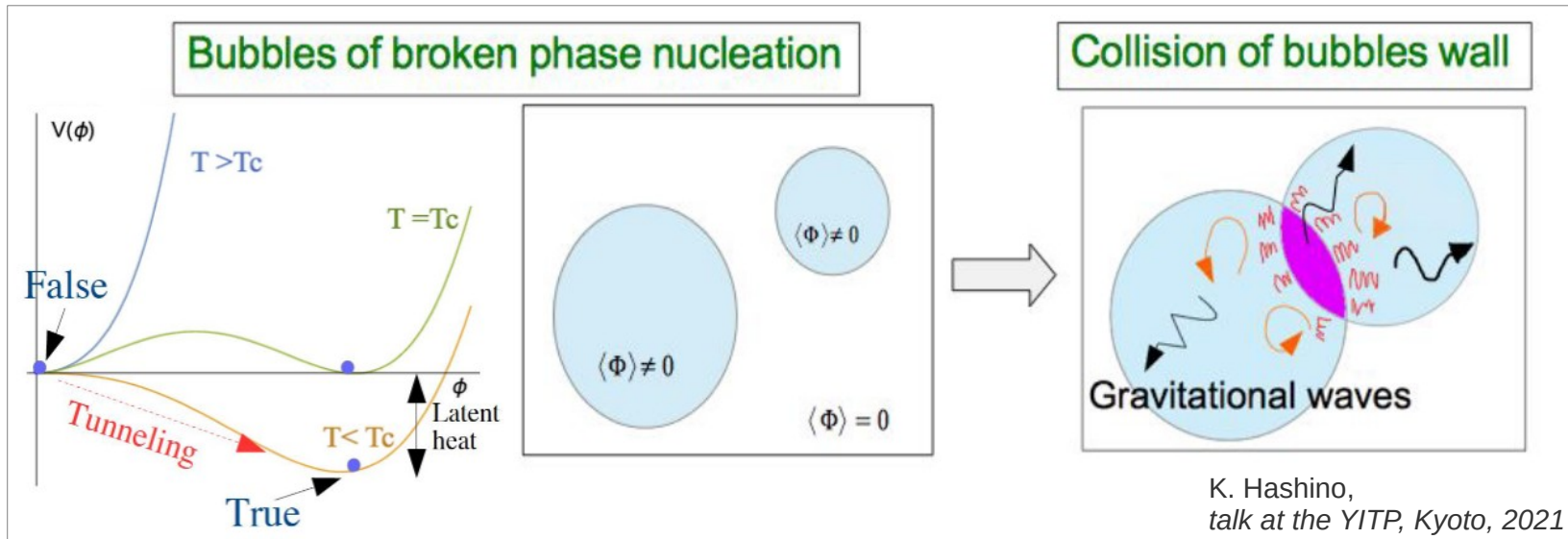
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## Complementary signals with scalars?

First order phase transition... Gravitational waves...

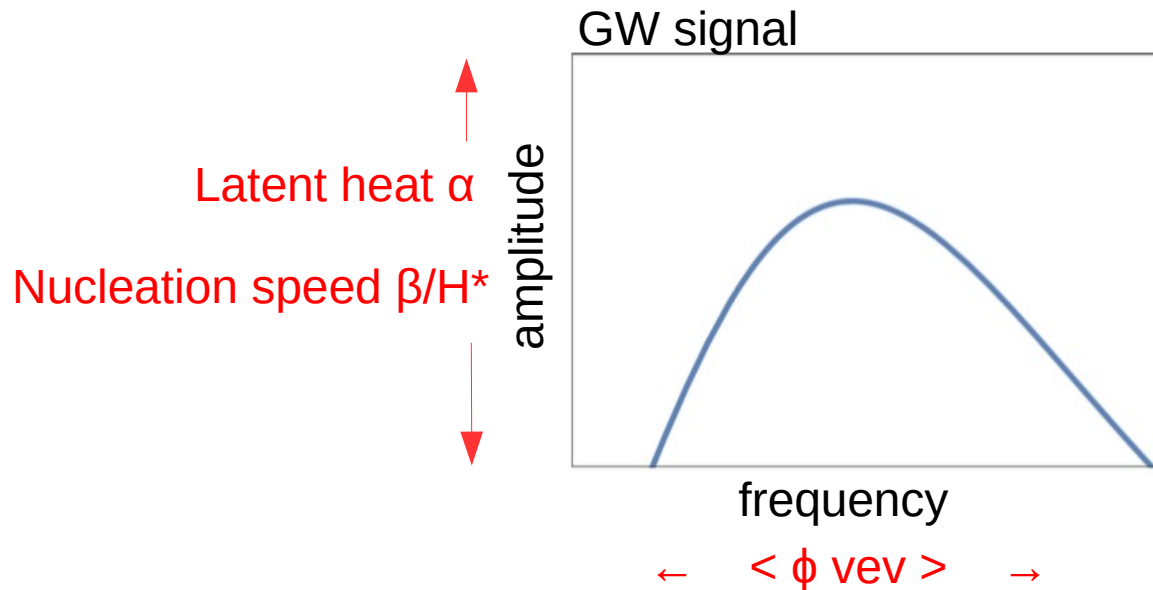


# Gravitational waves from FOPT



see talk by  
M. Merchand

later today:  
talks by Z.Wang,  
J.Kersten, S.King



Linde '81, '83, Turner *et al.* '92-'94; Guth *et al.* '80, '81, Caprini *et al.* '07-'09, Hindmarsh *et al.* '13-'17, Ellis *et al.* '18 ... many more

# Gravitational waves from FOPT

## Singlet scalar + U(1)<sub>x</sub>

known example: classically scale inv. SM + U(1)<sub>B-L</sub>

$$V(H, S) = \lambda_1 (H^\dagger H)^2 + \lambda_2 (S^\dagger S)^2 + \lambda_3 (H^\dagger H) (S^\dagger S)$$

symmetry breaking through CW:

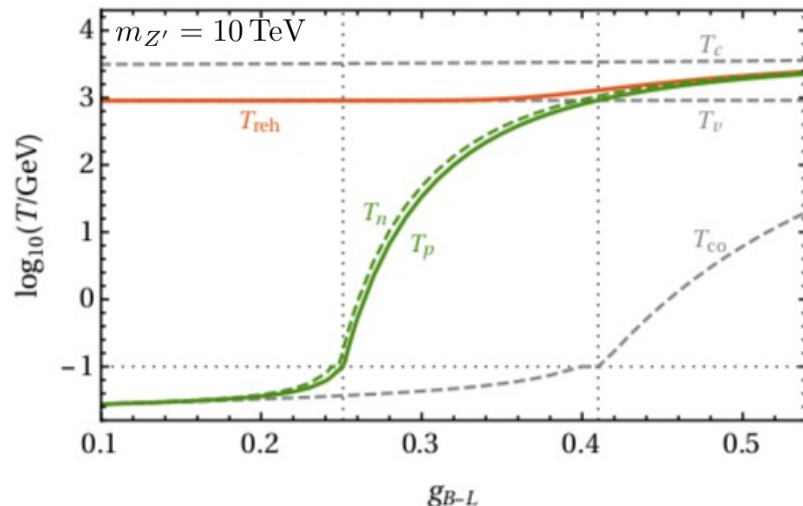
$$V(\phi) = \frac{1}{4} \lambda_2(t) \phi^4 + \frac{1}{128 \pi^2} [20 \lambda_2^2(t) + 96 g_X^4(t)] \phi^4 \left( -\frac{25}{6} + \ln \frac{\phi^2}{\mu^2} \right)$$

$$Q_S = 2, \quad \phi = \sqrt{2} \text{Re}(S)$$

Ellis et al. JCAP 06 (2019), Jinno, Takimoto PRD 95 (2017), Okada, Seto PRD 98 (2018), Marzo et al. EPJC 79 (2019), Hasegawa et al. PRD 99 (2019), Haba, Yamada PRD 101 (2020)... many more

## strength of the GW signal given by g<sub>x</sub>... (simplified model)

C.Marzo, L.Marzola, V.Vaskonen, 1811.11169



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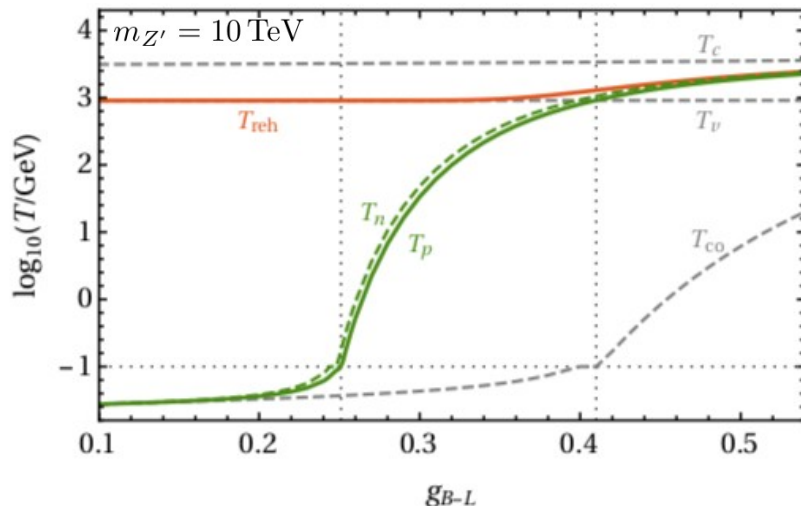
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## strength of the GW signal given by g<sub>x</sub>... (simplified model)

C.Marzo, L.Marzola, V.Vaskonen, 1811.11169



## pros: may be washed out by the Yukawas

- nucleation/percolation temp. below QCD
- FOPT stop condition not satisfied

## → upper bound on Yukawas

## cons: may be difficult to get in a UV-complete model

- too small g<sub>x</sub> predicted

ex. QG driven asymptotic safety

A. Chikaballi, K.K. E. Sessolo  
JHEP 11 (2023) 224

# Gravitational waves from FOPT

## Singlet scalar + U(1)<sub>x</sub>

known example: classically scale inv. SM + U(1)<sub>B-L</sub>

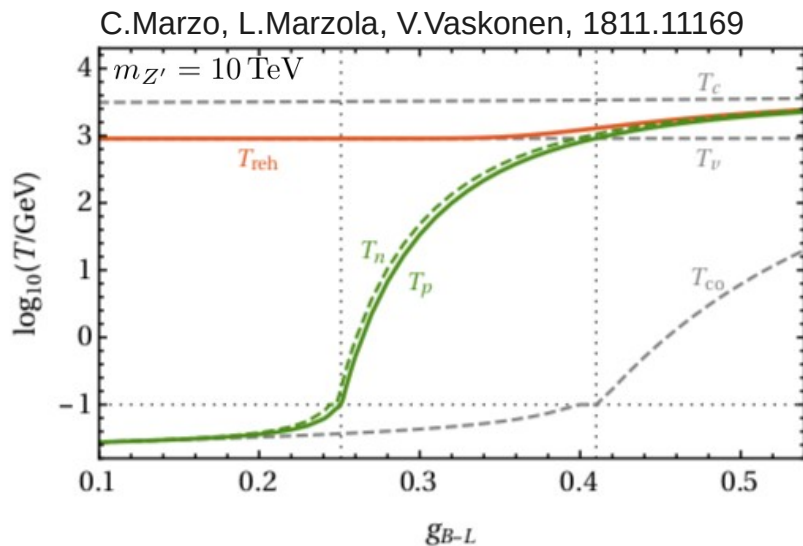
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strength of the GW signal given by  $g_X$ ...  
(simplified model)

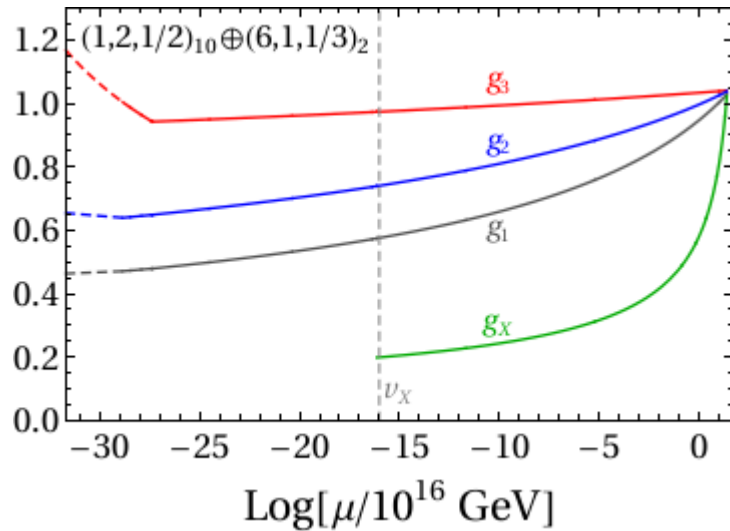


## What about our GUT-inspired models?

# PGU models with an extra U(1)

$$\sim y_{BSM} S F_{VL} F_{SM}$$

KK, Rizzo, Sessolo, in preparation



unification condition fixes  $g_x$  at every scale...

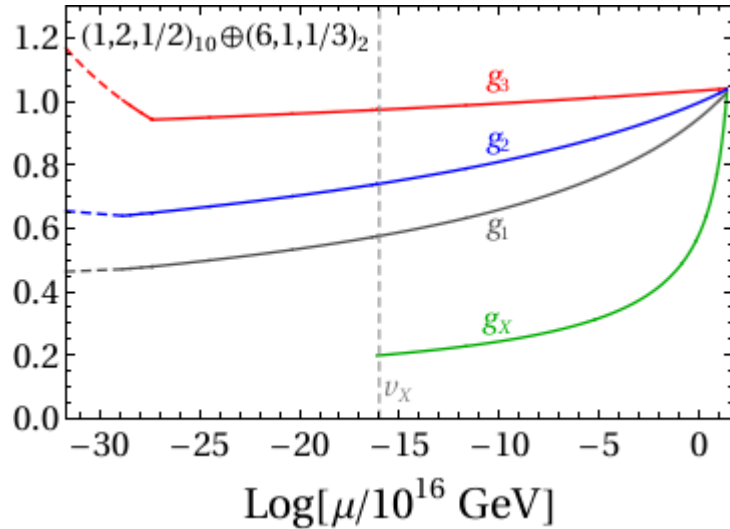
... too small for the FOPT to proceed

unlike the simplified model, no FOPT here

# PGU models with an extra U(1)

$$\sim y_{BSM} S F_{VL} F_{SM}$$

KK, Rizzo, Sessolo, in preparation

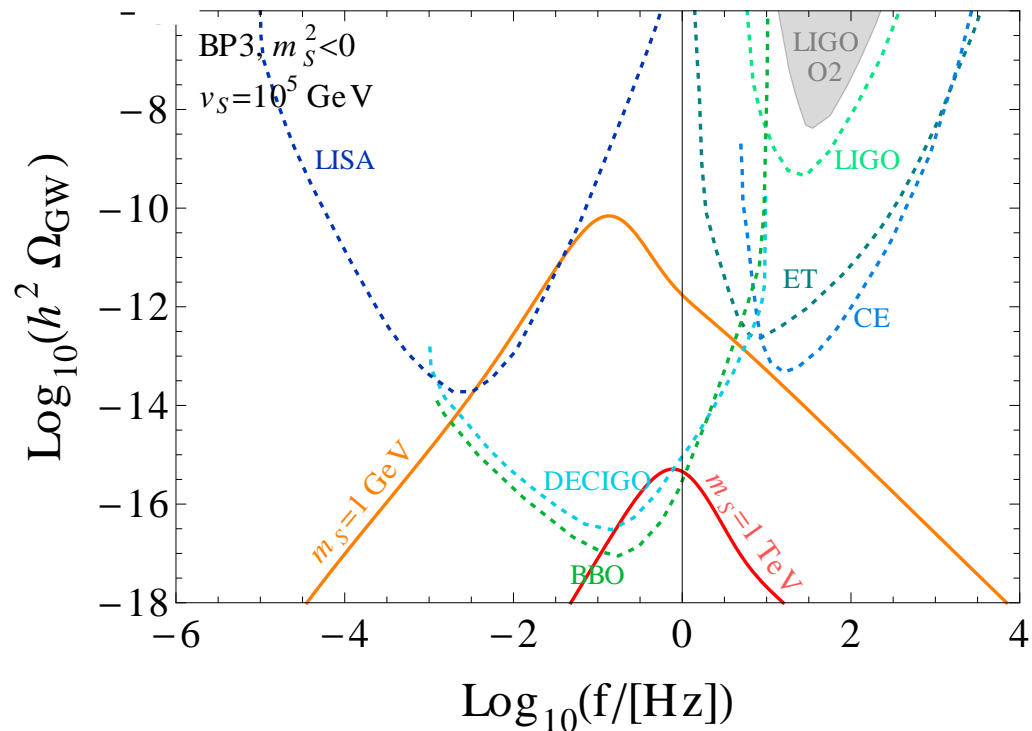


unification condition fixes  $g_x$  at every scale...

... too small for the FOPT to proceed

... unless mass term is allowed

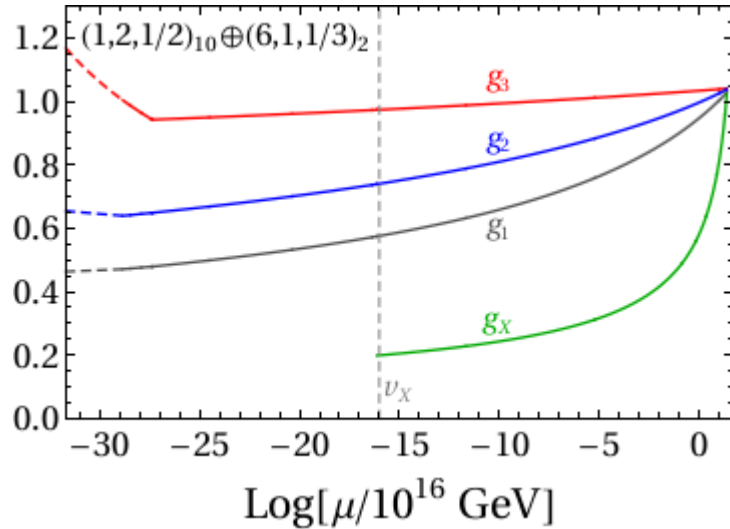
A. Chikkaballi, KK, E. Sessolo, *JHEP* 11 (2023) 224



# PGU models with an extra U(1)

$$\sim y_{BSM} S F_{VL} F_{SM}$$

KK, Rizzo, Sessolo, in preparation



unification condition fixes  $g_x$  at every scale...

... too small for the FOPT to proceed

... unless mass term is allowed

## OTHER SCALARS

### 2HDM

P. Basler, M.Krause, M.Mühlleitner, J.Wittbrodt,  
A.Wlotzka, *JHEP 02 (2017) 121*

DOES UV GUTs ALSO  
HAVE A GW SIGNAL?

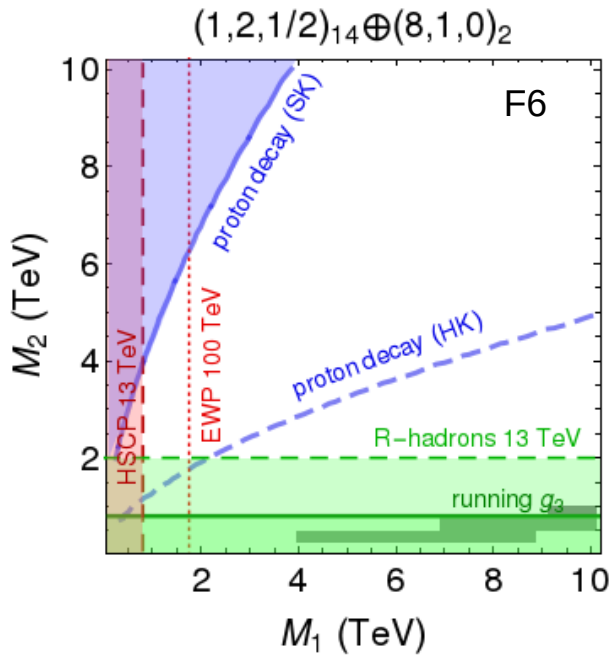


# Conclusions

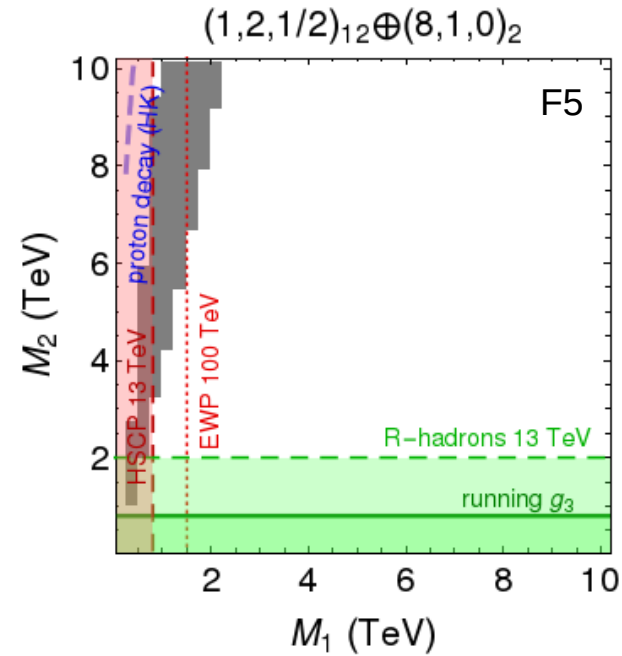
- Only a few models with VL fermions allow for precise gauge coupling unification.
- Upper bounds on VL masses from proton decay.
- Upper bounds on the BSM Yukawa couplings from the EW vacuum stability.
- Gravitational wave signal in scenarios with a singlet scalar and extra gauge  $U(1)_X$  with mass only.
- Things to do: FOPTs and GWs in the scenarios with non-singlet scalar representations.



# Backup



- almost excluded by running coupling
- to be probed by R-hadrons



- to be probed by the EWP tests
- to be probed by the HSCP searches