

Higher-derivative deformations of the ModMax theory

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S. M. Kuzenko & ER “Higher-derivative deformations
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- In 2020, a new model for nonlinear ED was proposed as the **unique conformal and duality-invariant extension** of Maxwell theory

$$\mathcal{L}_{\text{ModMax}}(F) = -\frac{1}{4} \cosh(\gamma) F^2 + \frac{1}{4} \sinh(\gamma) \sqrt{(F^2)^2 + (F\tilde{F})^2}, \quad \gamma \geq 0$$

Bandos, Lechner, Sorokin & Townsend (2020)

- Much interest has been directed towards understanding its dynamics, but studies of its quantum properties are limited due to computational difficulty
 - Non-minimal operator \implies standard HK techniques not applicable!
- $\mathcal{L}_{\text{ModMax}}(F)$ is **not** generated as a one-loop quantum correction
Pinelli (2021)
- Loop quantum corrections to the theory must be higher-derivative!
- **Goal:** Identification of consistent higher-derivative deformations of ModMax which may contribute to a low-energy effective action

Review of electromagnetic duality

- We will study **duality** as a continuous symmetry of the equations of motion

Gaillard & Zumino (1981,1997), Gibbons & Rasheed (1995)

- Maxwell electrodynamics in a vacuum is the best known example of a self-dual theory (also conformal in four dimensions)

$$\begin{aligned}\vec{\nabla} \cdot \vec{E} &= 0 & \vec{\nabla} \times \vec{E} + \frac{\partial \vec{B}}{\partial t} &= 0 \\ \vec{\nabla} \cdot \vec{B} &= 0 & \vec{\nabla} \times \vec{B} - \frac{\partial \vec{E}}{\partial t} &= 0\end{aligned}$$

- Invariant under the continuous U(1) deformation

$$\vec{E} + i\vec{B} \longrightarrow e^{i\varphi}(\vec{E} + i\vec{B}), \quad \varphi \in \mathbb{R}$$

- It is an interesting area of research to see what nonlinear U(1) duality invariant systems may be constructed e.g. Born-Infeld theory

Born & Infeld (1934), Schrödinger (1935)

Duality in Maxwell electrodynamics

- Electrodynamics is described by a gauge field A_b

$$\delta_\zeta A_b = \mathcal{D}_b \zeta, \quad [\mathcal{D}_a, \mathcal{D}_b] = \frac{1}{2} \mathcal{R}_{ab}{}^{cd} M_{cd}$$

- The corresponding gauge-invariant field strength is

$$F_{ab} = \mathcal{D}_a A_b - \mathcal{D}_b A_a \quad \longrightarrow \quad \mathcal{L}_{\text{Maxwell}}(F) = -\frac{1}{4} F^{ab} F_{ab}$$

- The Bianchi identity (BI) and the equation of motion (EoM) read

$$\mathcal{D}^b \tilde{F}_{ab} := \mathcal{D}^b \left(\frac{1}{2} \varepsilon_{abcd} F^{cd} \right) = 0, \quad \mathcal{D}^b F_{ab} = 0$$

- BI and EoM are preserved by the U(1) transformations

$$\delta_\varphi F_{ab} = \varphi \tilde{F}_{ab}, \quad \delta_\varphi \tilde{F}_{ab} = -\varphi F_{ab}, \quad \varphi \in \mathbb{R}$$

- Remarkably, the energy-momentum (EM) tensor is **U(1)-invariant**

$$T^{ab} = \frac{1}{2} (F + i\tilde{F})^{ac} (F - i\tilde{F})^{bd} \eta_{cd} = F^{ac} F^{bd} \eta_{cd} - \frac{1}{4} \eta^{ab} F^{cd} F_{cd}$$

- The scalings $\delta_\lambda F_{ab} = \lambda F_{ab}$ preserve EoM and BI but not the EM tensor!

- Consider the nonlinear Lagrangian $\mathcal{L}(F) = -\frac{1}{4}F^{ab}F_{ab} + \mathcal{O}(F^4)$

$$\tilde{G}_{ab}(F) := \frac{1}{2} \varepsilon_{abcd} G^{cd}(F) = 2 \frac{\partial \mathcal{L}(F)}{\partial F^{ab}}, \quad G(F) = \tilde{F} + \mathcal{O}(F^3)$$

- The Bianchi identity and the equation of motion read

$$\mathcal{D}^b \tilde{F}_{ab} = 0, \quad \mathcal{D}^b \tilde{G}_{ab} = 0$$

- Preserved by the U(1) transformations

$$\delta_\varphi F_{ab} = \varphi G_{ab}, \quad \delta_\varphi G_{ab} = -\varphi F_{ab}, \quad \varphi \in \mathbb{R}$$

Duality in nonlinear electrodynamics

- Lagrangian is **not invariant** under U(1) duality rotations, instead

$$\delta_\varphi \left(\mathcal{L}(F) - \frac{1}{4} F^{ab} \tilde{G}_{ab} \right) = 0, \quad \delta_\varphi \mathcal{L}(F) = \delta_\varphi F_{ab} \frac{\partial \mathcal{L}(F)}{\partial F_{ab}}$$

- Duality invariance leads to the fundamental constraint on $\mathcal{L}(F)$

$$G^{ab} \tilde{G}_{ab} + F^{ab} \tilde{F}_{ab} = 0,$$

known as the **self-duality** equation

Gibbons & Rasheed (1995), Gaillard & Zumino (1997)

- Important properties of U(1) duality-invariant models
 - 1 Given an invariant parameter g , $\partial \mathcal{L}(F; g) / \partial g$ is duality-invariant. Implies duality-invariance of energy-momentum tensor!
 - 2 Self-duality under Legendre transformations

$$\mathcal{L}_D(F_D) := \left(\mathcal{L}(F) - \frac{1}{2} F^{ab} \tilde{F}_{ab}^D \right) \Big|_{F=F(F_D)}, \quad F_{ab}^D = \partial_a A_b^D - \partial_b A_a^D$$
$$\mathcal{L}_D(F) = \mathcal{L}(F)$$

Ivanov-Zupnik (auxiliary variable) formulation

Ivanov & Zupnik (2001, 2002)

- In general, the equation $G^{ab}\tilde{G}_{ab} + F^{ab}\tilde{F}_{ab} = 0$ is very difficult to solve!
- Consider instead the model with auxiliary variables $V_{ab} = -V_{ba}$

$$\mathfrak{L}(F, V) = \frac{1}{4}F^{ab}F_{ab} + \frac{1}{2}V^{ab}V_{ab} - V^{ab}F_{ab} + \mathfrak{L}_{\text{int}}(V) .$$

- Equation of motion for V_{ab} is algebraic

$$V_{ab} = F_{ab} - \frac{\partial \mathfrak{L}_{\text{int}}(V)}{\partial V^{ab}} \implies \mathfrak{L}(F, V) \rightarrow \mathcal{L}(F)$$

- Condition of U(1) duality invariance:

$$\begin{aligned} \mathfrak{L}_{\text{int}}(V) &= \mathfrak{L}_{\text{int}}(\nu, \bar{\nu}) , & \nu &:= V_+^{ab}V_{+ab} , \\ V_{\pm}^{ab} &= \frac{1}{2} \left(V^{ab} \pm i\tilde{V}^{ab} \right) , & \tilde{V}_{\pm} &= \mp iV_{\pm} , & V &= V_+ + V_- \\ G^{ab}\tilde{G}_{ab} + F^{ab}\tilde{F}_{ab} = 0 & \implies & \mathfrak{L}_{\text{int}}(\nu, \bar{\nu}) &= \mathfrak{L}_{\text{int}}(\nu\bar{\nu}) \end{aligned}$$

- Maxwell electrodynamics is also conformal; action is Weyl invariant

$$\delta_\sigma \mathcal{D}_a = \sigma \mathcal{D}_a - (\mathcal{D}^b \sigma) M_{ab} , \quad \delta_\sigma F_{ab} = 2\sigma F_{ab}$$

- What conformal & U(1) invariant models for electrodynamics exist beyond the free case?

- **Solution:** Unique one parameter family of models

Bandos, Lechner, Sorokin & Townsend (2020)
Kosyakov (2020)

$$\mathcal{L}_{\text{ModMax}}(F) = -\frac{1}{4} \cosh(\gamma) F^2 + \frac{1}{4} \sinh(\gamma) \sqrt{(F^2)^2 + (F\tilde{F})^2}$$

$\gamma \geq 0$ is necessary as superluminal propagation is possible for $\gamma < 0$

- Auxiliary variable formulation (unique conformal interaction):

Kuzenko (2021)

$$\mathfrak{L}_{\text{int}}(\nu\bar{\nu}) = \kappa\sqrt{\nu\bar{\nu}} , \quad \sinh(\gamma) = \frac{\kappa}{1 - (\kappa/2)^2} , \quad \kappa \in \mathbb{R}$$

Quantum corrections to ModMax theory

$$\mathcal{L}_{\text{ModMax}}(F) = -\frac{1}{4} \cosh(\gamma) F^2 + \frac{1}{4} \sinh(\gamma) \sqrt{(F^2)^2 + (F\tilde{F})^2}$$

- Lagrangian cannot be perturbatively expanded about $F_{\mu\nu} = 0$
- Linearise about a solution to ModMax equations of motion

$$\partial^\nu F_{\mu\nu} - \partial^\nu \left[(\omega\bar{\omega})^{-1/2} \left(\text{Re}(\omega) F_{\mu\nu} + \text{Im}(\omega) \tilde{F}_{\mu\nu} \right) \right] \tanh(\gamma) = 0 .$$

Here $\omega = \alpha + i\beta$, $\alpha = \frac{1}{4} F^{\mu\nu} F_{\mu\nu}$ and $\beta = \frac{1}{4} \tilde{F}^{\mu\nu} F_{\mu\nu}$.

- Quantisation proves to be very difficult about a generic solution
- Significantly simplifies by splitting about constant background $F_{\mu\nu}$

$$F_{\mu\nu} = F_{\mu\nu}^{\text{B}} + F_{\mu\nu}^{\text{Q}} , \quad \mathcal{D}_\rho F_{\mu\nu}^{\text{B}} = 0 .$$

- At the one-loop level no quantum corrections arise!

Pinelli (2021)

- Quantum corrections absent for $\mathcal{D}_\rho F_{\mu\nu}^B = 0$, but higher-derivative corrections are possible
- One-loop log. divergences should respect Weyl and duality invariance
Fradkin & Tseytlin (1985)
Roiban & Tseytlin (2012)
- Computing higher-derivative deformations of ModMax maintaining Weyl and U(1) symmetry is easier than obtaining one-loop corrections
- Such deformations are also valid higher-derivative extensions of the model as they maintain its defining properties/symmetries

Duality rotations for higher-derivative electrodynamics

- Need to generalise the GZGR formalism to higher-derivative models
Kuzenko & Theisen (2001)
 - 1 Work with the action $\mathcal{S}[F]$ instead of $\mathcal{L}(F)$ to simplify expressions
 - 2 Definition of \tilde{G}_{ab}

$$\tilde{G}^{ab}[F] = 2 \frac{\delta \mathcal{S}[F]}{\delta F^{ab}}$$

- 3 Self-duality equation

$$\int d^4x e \left(\tilde{G}^{ab} \tilde{G}_{ab} + \tilde{F}^{ab} F_{ab} \right) = 0$$

where $F_{ab} = -F_{ba}$, but otherwise unconstrained

- Action $\mathcal{S}[F]$ is unambiguously defined as a functional of an unconstrained two-form F_{ab} ; no dependence on $\mathcal{D}_b \tilde{F}^{ab}$

Higher-derivative extension of IZ formulation

- The IZ reformulation is obtained by replacing the $S[F]$ with

$$\mathfrak{G}[F, V] = \int d^4x e \left\{ \frac{1}{4} F^{ab} F_{ab} + \frac{1}{2} V^{ab} V_{ab} - V^{ab} F_{ab} \right\} + \mathfrak{G}_{\text{int}}[V],$$

- Imposing the equation of motion reduces auxiliary action to $S[F]$

$$\frac{\delta}{\delta V_{ab}} \mathfrak{G}[F, V] = 0 \quad \Longrightarrow \quad \mathfrak{G}[F, V] \rightarrow S[F]$$

- The self-duality equation turns into

$$\int d^4x e \tilde{V}_{ab} \frac{\delta \mathfrak{G}_{\text{int}}[V]}{\delta V_{ab}} = 0$$

- **Note:** if interaction takes the form $\mathfrak{G}_{\text{int}}[\nu, \bar{\nu}]$, $\nu = V_+^{ab} V_{+ab}$, then the SD equation reduces to

$$\mathfrak{G}_{\text{int}}[e^{2i\varphi} \nu, e^{-2i\varphi} \bar{\nu}] = \mathfrak{G}_{\text{int}}[\nu, \bar{\nu}], \quad \varphi \in \mathbb{R}$$

- Need to identify Weyl-invariant HD functionals $\mathfrak{G}_{\text{HD}}[V]$

$$\mathfrak{G}[F, V] = \int d^4x e \left\{ \frac{1}{4} F^{ab} F_{ab} + \frac{1}{2} V^{ab} V_{ab} - V^{ab} F_{ab} + \kappa \sqrt{\nu \bar{\nu}} \right\} + \mathfrak{G}_{\text{HD}}[V],$$

which solve the self-duality equation

- The space of solutions is quite large. Specifically:

$$\mathfrak{G}_{\text{HD}}[V] = \int d^4x e \sqrt{\nu \bar{\nu}} \mathfrak{H}(\Sigma, \Upsilon, \bar{\Upsilon}, \Xi_n, \bar{\Xi}_n)$$

where we have defined the Weyl-invariant fields:

$$\Sigma = \frac{\square_c(\nu \bar{\nu})^{1/8}}{(\nu \bar{\nu})^{3/8}}, \quad \Upsilon = \frac{\bar{\nu}^{1/4} \square_c \nu^{1/4}}{\sqrt{\nu \bar{\nu}}}, \quad \Xi_n = \frac{\bar{\Psi}^n \Delta_0 \Psi^n}{\sqrt{\nu \bar{\nu}}}, \quad \Psi = \frac{\nu}{\bar{\nu}},$$
$$\square_c = \left(\mathcal{D}^2 - \frac{1}{6} \mathcal{R} \right), \quad \Delta_0 = (\mathcal{D}^a \mathcal{D}_a)^2 + 2\mathcal{D}^a (\mathcal{R}_{ab} \mathcal{D}^b - \frac{1}{3} \mathcal{R} \mathcal{D}_a).$$

Note that Δ_0 is the Fradkin-Tseytlin operator [Fradkin & Tseytlin \(1982\)](#)

Example of elimination of auxiliary variables

Kuzenko & ER (2024)

- Consider, as an example, the following deformation of ModMax

$$\mathfrak{S}_{\text{int}}[V] = \int d^4x e \left\{ \kappa \sqrt{\nu \bar{\nu}} + g(\nu \bar{\nu})^{-1/4} [\square_c(\nu \bar{\nu})^{1/8}]^2 \right\}, \quad g \in \mathbb{R}$$

- Eliminating the auxiliary fields to quadratic order in g gives

$$\begin{aligned} S = S_{\text{MM}} + \int d^4x e \left\{ g \Omega^{-\frac{1}{2}} (\square_c \Omega^{\frac{1}{4}})^2 + \frac{g^2 \Omega^{-\frac{3}{2}}}{4(1 - (\kappa/2)^2)(1 + (\kappa/2)^2)^2} (\square_c(\Omega^{-\frac{1}{2}} \square_c \Omega^{\frac{1}{4}}) - \Omega^{-\frac{3}{4}} (\square_c \Omega^{\frac{1}{4}})^2)^2 \right. \\ \left. \times \left\{ (3 - 12(\kappa/2)^2 + 20(\kappa/2)^4)(\omega + \bar{\omega}) - 4(\kappa/2)(2 + \kappa/2 - 5(\kappa/2)^2 + 2(\kappa/2)^3 \right. \right. \\ \left. \left. + 9(\kappa/2)^4 + (\kappa/2)^5) \Omega \right\} \right\} + \mathcal{O}(g^3) \end{aligned}$$

where we have defined

$$\Omega = \frac{(1 + (\kappa/2)^2)(\omega \bar{\omega})^{\frac{1}{2}} - (\kappa/2)(\omega + \bar{\omega})}{(1 - (\kappa/2)^2)^2} = \frac{1}{2}(\cosh \gamma + 1) \frac{\partial L_{\text{MM}}}{\partial \gamma}.$$

- Note that Ω is **manifestly invariant** under ModMax duality rotations!

Duality-invariant observables

- The leading contribution to the deformation was manifestly invariant under ModMax duality rotations

$$\Omega = \frac{(1 + (\kappa/2)^2)(\omega\bar{\omega})^{\frac{1}{2}} - (\kappa/2)(\omega + \bar{\omega})}{(1 - (\kappa/2)^2)^2} \implies \delta_\varphi \Omega = 0$$

- In perturbation theory, the leading contribution to the deformation of any self-dual theory must be duality-invariant!
- **Theorem:** Any two duality-invariant local observables are functionally dependent

Ferko, Smith, Kuzenko & Tartaglino-Mazzucchelli (2024)

- **Way out:** Consider functionals involving derivatives of F_{ab}

$$\begin{aligned} \mathcal{I} &= \sqrt{\omega}(1 + \cosh \gamma) - \sqrt{\bar{\omega}} \sinh \gamma, & \delta_\varphi \mathcal{I} &= i\varphi \mathcal{I}, \\ \mathcal{J} &= \mathcal{I} \left(\square_c \sqrt{\bar{\mathcal{I}}} \right)^2 \implies \delta_\varphi \mathcal{J} = 0 \end{aligned}$$

Kuzenko, ER (2024)

In-out vacuum amplitude for ModMax

- The family of higher-derivative deformations of ModMax is very big!
- Want to single out those deformations of ModMax which may contribute to a **low-energy effective action** of the theory
- Consider the in-out vacuum amplitude

$$Z = \int [\mathcal{D}A_a][\mathcal{D}V_{ab}] \delta[\nabla_a A^a - \xi] \text{Det}(\nabla^2) \exp \left\{ \frac{i}{\hbar} \mathfrak{G}_{\text{MM}}[F, V] \right\} ,$$
$$\mathfrak{G}_{\text{MM}}[F, V] = \int d^4x e \left\{ \frac{1}{4} F^{ab} F_{ab} + \frac{1}{2} V^{ab} V_{ab} - V^{ab} F_{ab} + \kappa \sqrt{\nu \bar{\nu}} \right\}$$

- The functional $\hbar^{-1} \mathfrak{G}_{\text{MM}}[F, V]$ is **invariant under rescalings**

$$\hbar \rightarrow \lambda^2 \hbar , \quad F_{ab}(x) \rightarrow \lambda F_{ab}(x) , \quad V_{ab}(x) \rightarrow \lambda V_{ab}(x)$$

- The effective action $\Gamma_{\text{MM}}[F, V]$ is expected to share this symmetry

- Posit that (a local part of) the effective action has the form

$$\Gamma_{\text{MM}}[F, V] = \mathfrak{G}_{\text{MM}}[F, V] + \sum_{n=1}^{\infty} \hbar^n \Gamma^{(n)}[V]$$

and possesses the following properties:

- 1 $\hbar^{-1} \Gamma_{\text{MM}}[F, V]$ is invariant under the rescalings
- 2 each functional $\Gamma^{(n)}[V]$ is Weyl invariant
- 3 each functional $\Gamma^{(n)}[V]$ obeys the self-duality equation

$$\int d^4x e \tilde{V}_{ab} \frac{\delta \Gamma^{(n)}[V]}{\delta V_{ab}} = 0$$

- Implies that the ModMax coupling $\int d^4x e \sqrt{\nu \bar{\nu}}$ **cannot** be generated as a one loop quantum correction!
- Possible solution for general n

$$\Gamma^{(n)}[V] = g_n \int d^4x e \frac{[\square_c(\nu \bar{\nu})^{1/8}]^{2n}}{(\nu \bar{\nu})^{(3n-2)/4}}, \quad g_n \in \mathbb{R}$$

Kuzenko & ER (2024)

- Keeping in mind these arguments, our ansatz for $\Gamma^{(1)}[V]$ is:

$$\begin{aligned} \Gamma^{(1)}[V] &= \int d^4x e^{\sqrt{\nu\bar{\nu}}} \left\{ g_1 \Upsilon^2 + \bar{g}_1 \bar{\Upsilon}^2 + g_2 \Upsilon \bar{\Upsilon} + \sum_{n=1}^4 g_3^{(n)} \Xi_n + g_4 \Sigma^2 \right\} \\ &= \hbar \int d^4x e^{\left\{ \frac{g_1 \bar{\nu}^{\frac{1}{2}} (\square_c \nu^{\frac{1}{4}})^2 + \bar{g}_1 \nu^{\frac{1}{2}} (\square_c \bar{\nu}^{\frac{1}{4}})^2}{(\nu\bar{\nu})^{\frac{1}{2}}} + g_2 \frac{\square_c \nu^{\frac{1}{4}} \square_c \bar{\nu}^{\frac{1}{4}}}{(\nu\bar{\nu})^{\frac{1}{4}}} \right.} \\ &\quad \left. + \sum_{n=1}^4 g_3^{(n)} \bar{\Psi}^n \square_0 \Psi^n + g_4 \frac{(\square_c (\nu\bar{\nu})^{\frac{1}{8}})^2}{(\nu\bar{\nu})^{\frac{1}{4}}} \right\}, \end{aligned}$$

where $g_1 \in \mathbb{C}$ and $g_2, g_3^{(n)}, g_4 \in \mathbb{R}$.

- Rescaling symmetry has led to **significant restrictions** on the structure of the one-loop deformation!

Kuzenko & ER (2024)

- Eliminating the auxiliary fields to leading order in \hbar leads to the higher-derivative action

$$\Gamma_{\text{MM}}[F] = S_{\text{MM}}[F] + \hbar \int d^4x e \left\{ \frac{g_1 \bar{\mathcal{I}} (\square_c \sqrt{\mathcal{I}})^2 + \bar{g}_1 \mathcal{I} (\square_c \sqrt{\bar{\mathcal{I}}})^2}{2\Omega} + g_2 \frac{\square_c \sqrt{\mathcal{I}} \square_c \sqrt{\bar{\mathcal{I}}}}{\sqrt{2\Omega}} + \sum_{n=1}^4 g_3^{(n)} \frac{\mathcal{I}^{2n}}{\bar{\mathcal{I}}^{2n}} \Delta_0 \frac{\bar{\mathcal{I}}^{2n}}{\mathcal{I}^{2n}} + g_4 \Omega^{-\frac{1}{2}} (\square_c \Omega^{\frac{1}{4}})^2 \right\} + \mathcal{O}(\hbar^2)$$

- Should be emphasised that the sector linear in \hbar is **duality invariant!**
- All structures may contribute to the one-loop effective action for ModMax, but explicit calculations remain to be completed

On-shell vanishing structures

- We excluded a priori structures containing the primary vector fields

$$\chi_a^{(1)} = \mathcal{D}^b V_{ab} , \quad \chi_a^{(2)} = \mathcal{D}^b \tilde{V}_{ab}$$

- Considering these contributions as deformations to Maxwell theory, they lead to trivial contributions once auxiliaries are eliminated

$$\chi_a^{(1)} = \mathcal{D}^b F_{ab} + \dots , \quad \chi_a^{(2)} = \cancel{\mathcal{D}^b \tilde{F}_{ab}} + \dots$$


Recall that $\mathcal{D}^b F_{ab} = 0$ on-shell for Maxwell electrodynamics

- These structures could potentially arise at the one-loop level, but further analysis is required

- **Outcomes:**

- Classified consistent higher-derivative deformations of ModMax
- Identified new duality-invariant local observables
- Provided general ansatz for one-loop deformation

- **Future work:**

- Study of on-shell vanishing structures
- Extension to $\mathcal{N} = 1$ super ModMax

Bandos, Lechner, Sorokin & Townsend (2021)

Kuzenko (2021)

- Existence of $\mathcal{N} = 2$ super ModMax?

Kuzenko & ER (2021)

- Explicit computation of one-loop effective action (Bosonic and $\mathcal{N} = 1$)