

Weak chaos in string-black hole scattering: from classical to quantum

Mihailo Čubrović
Institute of Physics Belgrade, Serbia



String-black hole scattering

- Black holes, fast scrambling and all that: want to probe black hole dynamics
- Scattering "experiments": true and tried way of inspecting a scatterer (black hole) through its effective potential

Outline

- Classical string motion in black hole geometries: no chaos but thermalization in CFTs [Đukić & Čubrović 2310.15697]
- Chaos from eigenvalue statistics of the string S-matrix [Savić & Čubrović 2401.02211]
- Random matrix theory for the S-matrix – Wishart ensembles [Čubrović 24xx.xxxxx]

Classical diagnostics: open string hanging from boundary to horizon

- Straight static string + small radial and transverse fluctuations
- Integrable subsector of a nonintegrable system
- Probe dynamics in black hole background *can* be integrable despite the fast scrambling of black holes degrees of freedom
- Chaotic cases are known (Basu, Pando-Zayas...) but are not the only possibility!

Open string in D1-D5-p background

- The celebrated 3-charge system, microstates counted by Strominger&Vafa 1996
- Compactified on $T^5 = T^4 \otimes S^1$ with p-modes along the circle
- Angular velocity Σ determines the temperature
- Straight static string + small radial and transverse fluctuations: effective Lagrangian

$$L_{\text{eff}} = \frac{1}{\sqrt{f(R)}} \left[-1 + \frac{r_0^2 \cosh^2 \Sigma}{R^2} - \frac{f(R)}{h(R)} (R)'^2 \left(1 + \frac{r_0^2 \sinh^2 \Sigma}{R^2} \right) (X_5)'^2 \right]$$
$$f(r) = \left(1 + \frac{r_1^2}{r^2} \right) \left(1 + \frac{r_5^2}{r^2} \right), \quad h(r) = 1 - \frac{r_0^2}{r^2}$$

Dynamics of open strings

- Analytic estimate of the Lyapunov exponent

$$\lambda_L^{(\text{rot})} = \frac{r_0}{r_1 r_5} \sqrt{1 + \frac{r_0^2 (r_1^2 + r_5^2)}{2 r_1^2 r_5^2} \cosh 2\Sigma} \approx \frac{r_0}{r_1 r_5} = \frac{2\pi T}{\sqrt{1 - L^2 \Omega^2}} \quad L\Omega \in [0, 1)$$

"dilute gas" approximation
 $R^4 \gg V_{T^4}$

VĐ, Čubrović 2024

- Compare with results from the literature, obtained from OTOC calculations

$$\lambda_{\pm} = \frac{2\pi T}{1 \mp L\Omega}$$

Jahnke, Kim, Yoon 2019

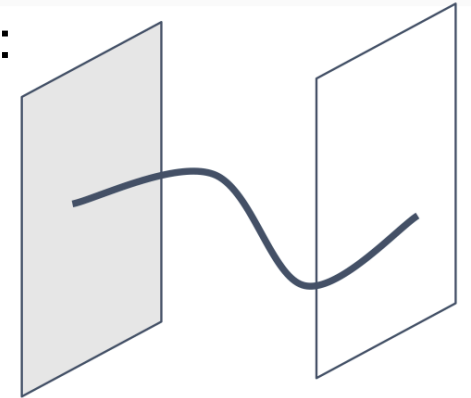
- Our result is an exact geometric mean $\lambda_- < \lambda_L^{(\text{rot})} < \lambda_+$
 – corrections from MSS are non-universal!



Retarded Green's function

- Study the dynamics of transverse fluctuations of the string:
 $t(\tau, \sigma) = \tau, \quad r(\tau, \sigma) = \sigma \equiv r$ (static gauge)

$$x_5 = x(t, r) \quad x(t, r) = \int \frac{d\omega}{2\pi} e^{-i\omega t} x_\omega(r)$$



- 2-point correlation function in the IR-region: open string in $BTZ \times S^3$ (ignore rotation for now, $\lambda = 2\pi T$)

$$\frac{h(r)}{r^4} \frac{d}{dr} \left(h(r) r^4 \frac{dx_\omega(r)}{dr} \right) + \frac{L^4 \omega^2}{r^4} x_\omega(r) = 0$$

$$\Rightarrow x_\omega(r) = \mathcal{A} \xi^{-i\alpha} {}_2F_1(a, b, c; \xi)$$

$$\sim \mathcal{S} r^{-d+\Delta} + \mathcal{F} r^{-\Delta}, \quad r \gg r_0$$

$$d = \Delta = 3$$

$$\xi = h(r) = 1 - r_0^2/r^2$$

$$\mathcal{G}_R^{(T)} \sim \mathcal{F}/\mathcal{S} = \frac{\Gamma\left(1 - i\frac{\omega}{2\lambda}\right) \Gamma\left(\frac{3}{2} - i\frac{\omega}{2\lambda}\right)}{\Gamma\left(-i\frac{\omega}{2\lambda}\right) \Gamma\left(-\frac{1}{2} - i\frac{\omega}{2\lambda}\right)}$$

Signals of instability

- “Poles in the retarded Green’s function give us a spectrum of quasi-normal modes.”

$$\mathcal{G}_R^{(T)} \sim \frac{\Gamma\left(1 - i\frac{\omega}{2\lambda}\right) \Gamma\left(\frac{3}{2} - i\frac{\omega}{2\lambda}\right)}{\Gamma\left(-i\frac{\omega}{2\lambda}\right) \Gamma\left(-\frac{1}{2} - i\frac{\omega}{2\lambda}\right)} \Rightarrow \omega_n = -i(n+1)\lambda \quad n \in \mathbb{Z}^+$$

- The true interpretation of the bulk Lyapunov exponent: the timescale of the decay of instabilities on the fundamental strings
- In dual field theory it gives the thermalisation timescale for heavy quarks in thermal plasma

Bulk Lyapunov exponent \rightarrow thermal instability

- We can always compute the Lyapunov exponent of a bulk probe in black hole background but it has nothing to do with scrambling, black hole chaos etc.
- Instead: bulk Lyapunov exponent \sim QN mode in overdamped regime \sim thermalization
- Can we see actual black hole chaos with a quantum probe?

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Highly excited strings and black holes

- Highly excited string (HES): $N \gg 1$
- Horowitz&Polchinski 1990s: BH/string complementarity

$$M_{\text{BH}} = \frac{r_s^{D-2}}{G_N}, \quad M_{\text{string}} \sim \frac{N}{\alpha'}$$

when string becomes a black hole: $M_{\text{string}} \sim M_{\text{BH}}, l_s = \sqrt{\alpha'} \sim r_s$

- From $G_N = \alpha' g^2$ we get: $N g^4 \sim (\alpha')^{D-3} \Rightarrow N_c \sim 1/g^4$
- HES \rightarrow Horowitz-Polchinski solution \rightarrow black hole
- We **cannot** see the black hole regime – beyond the scope of tree-level HES! But can we see a trend as we approach it?

Highly excited string scattering

- Idea: look at the scattering processes $HES \rightarrow HES + t$, $HES \rightarrow HES + t + t$, $HES \rightarrow HES + \gamma$ etc.
- A single string amplitude contains a lot of structure (infinite series of QFT diagrams): is there any chaos?
- Studied by Gross, Rosenhaus, Firrotta, Bianchi, Sonnenschein et al
- Bottom line from the literature:
 - some indications of RMT statistics for the ***spacing of poles in the amplitude***
 - no signs of fractality in the ***positions*** of poles $\theta'(\theta)$

Detecting chaos in HES scattering

- Wigner-Dyson statistics expected for eigenenergies of the Hamiltonian / eigenphases of the S-matrix – how to understand the "pole repulsion" in amplitudes?
- Robust measure proposed by Sonnenschein, Firrotta, Bianchi, Weissmann in 2207.13112, 2303.17233: level spacing ratio r_n
- Eigenphase spacings:
$$r_n = \frac{\Phi_{n+1} - \Phi_n}{\Phi_n - \Phi_{n-1}}$$
- Average values seems to be robust to symmetries and unfolding: average values $\langle r \rangle$ known for GOE, GUE, GSE
- Outcome for HES scattering in 2303.17233: close for some occupation numbers but not for $N \rightarrow \infty$

Highly excited string construction

- DDF formalism (Di Vecchia, Del Giudice & Fubini): build a highly excited string (HES) in a controlled way
- Start from the tachyon ($N=0$ state) and add to it $J \gg 1$ photons ($N=1$ states) to get a HES with $N \gg 1$
- Outcome: **all possible states** (i.e. all states satisfying the Virasoro constraints) with given occupation N :

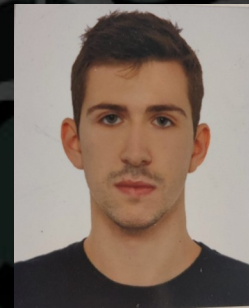
$$|\text{HES}\rangle \propto \xi^{i_1 \dots i_J} P(\partial X, (\partial X)^2, \dots, (\partial X)^N) |0; p\rangle$$

- States enumerated by partitions of N , Hilbert space dimension $\sim \exp(\sqrt{N})$

- State $|\vec{k}\rangle$: $|\vec{k}| = n, 1 \leq n \leq N$ $\sum_{a=1}^n k_a = N$

Highly excited string scattering

- Our idea: consider a $2 \rightarrow 2$ process (analogous to the Shenker-Stanford protocol)
- Look at the S-matrix structure rather than individual amplitudes
- Explore the dependence of dynamics on total occupation number N , spin S , and ensemble averaging in order to understand the *nature* of chaos (if any)

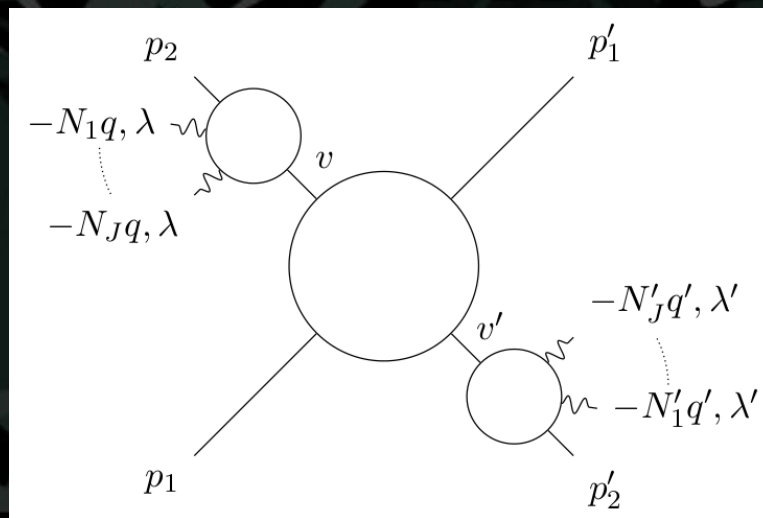


Nikola Savić

Highly excited open string amplitudes

- Setup: HES + tachyon \rightarrow HES + tachyon
- Scattering amplitude at tree-level is found analytically in Hashimoto et al 2208.08380: $A = A_{st} + A_{tu} + A_{us} + A_{ts} + A_{su} + A_{ut}$

$$A_{st} = \frac{1}{\text{Vol SL}(2, R)} \int DX e^{-S_p} \int \prod_i dw_i V_t(w_i, p_i) \int \prod_{a=1}^J dz_a V_y(z_a, -N_a q, \lambda) \int \prod_{b=1}^{J'} dz_b V_y(z_b, -N_b q, -\lambda)$$



Taken over from 2208.08380

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w_i - tachyon insertion points $i \in \{1, 2, 1', 2'\}$

z_a - photon insertion points for incoming HES $a \in \{1, \dots, J\}$

z_b - photon insertion points for incoming HES $b \in \{1, \dots, J'\}$

N_a - incoming photon occupation number

N_b - outgoing photon occupation number

λ - incoming photon polarization

$-\lambda$ - outgoing photon polarization

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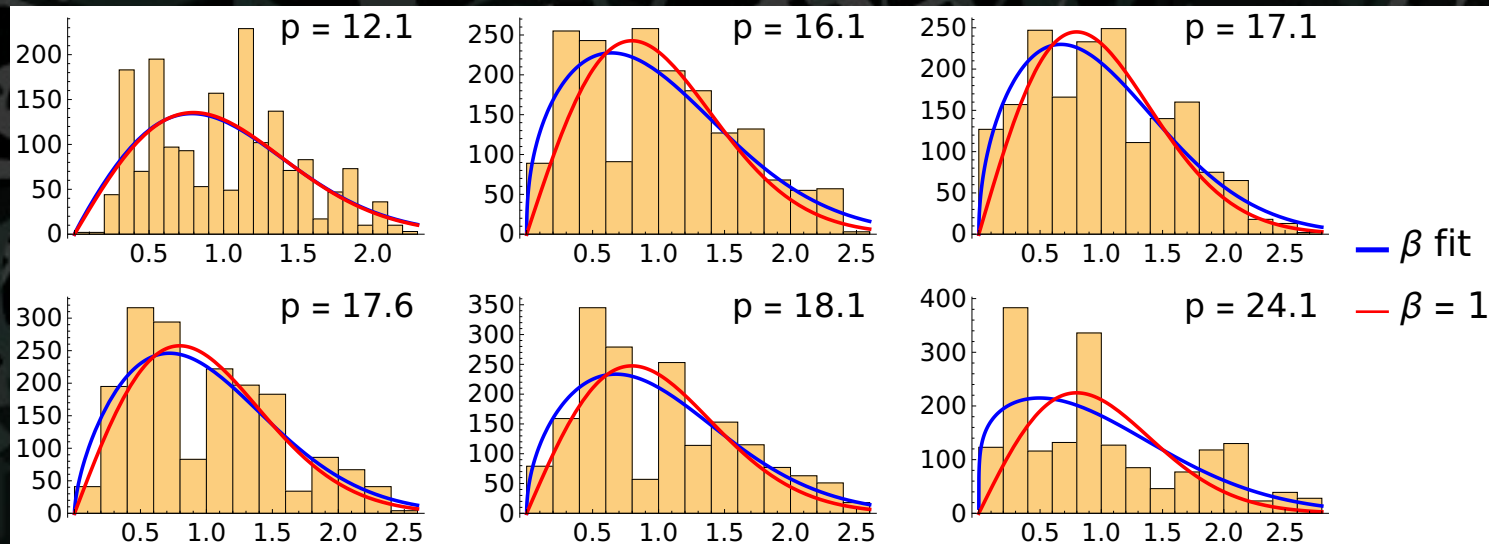
$$A_{st} = \frac{1}{\text{Vol SL}(2, R)} \int DX e^{-S_p} \int \prod_i dw_i V_t(w_i, p_i) \int \prod_{a=1}^J dz_a V_y(z_a, -N_a q, \lambda) \int \prod_{b=1}^{J'} dz_b V_y(z_b, -N_b q, -\lambda)$$

- For the uniform and circular polarization of the DDF photons the worldsheet integrals reduce to gamma and beta functions:

$$A_{st} = 4 \sum_{i, j=2,2'} \sum_{\vec{k}, \vec{l}} \left(\prod_{a=1}^J (p_i \cdot \lambda) c_{k_a}^i \right) \left(\prod_{b=1}^{J'} (-p_j \cdot \lambda) c_{l_b}^j \right) B \left(-1 - \frac{s}{2} + k, -1 - \frac{t}{2} + l \right)$$

$$c_{k_a}^1 = \frac{\Gamma(\alpha_1 + \alpha_2 - k - 1) \Gamma(\alpha'_2 + k)}{\Gamma(\alpha_1) \Gamma(\alpha'_2) \Gamma(\alpha_2 - k) \Gamma(k + 1)}$$

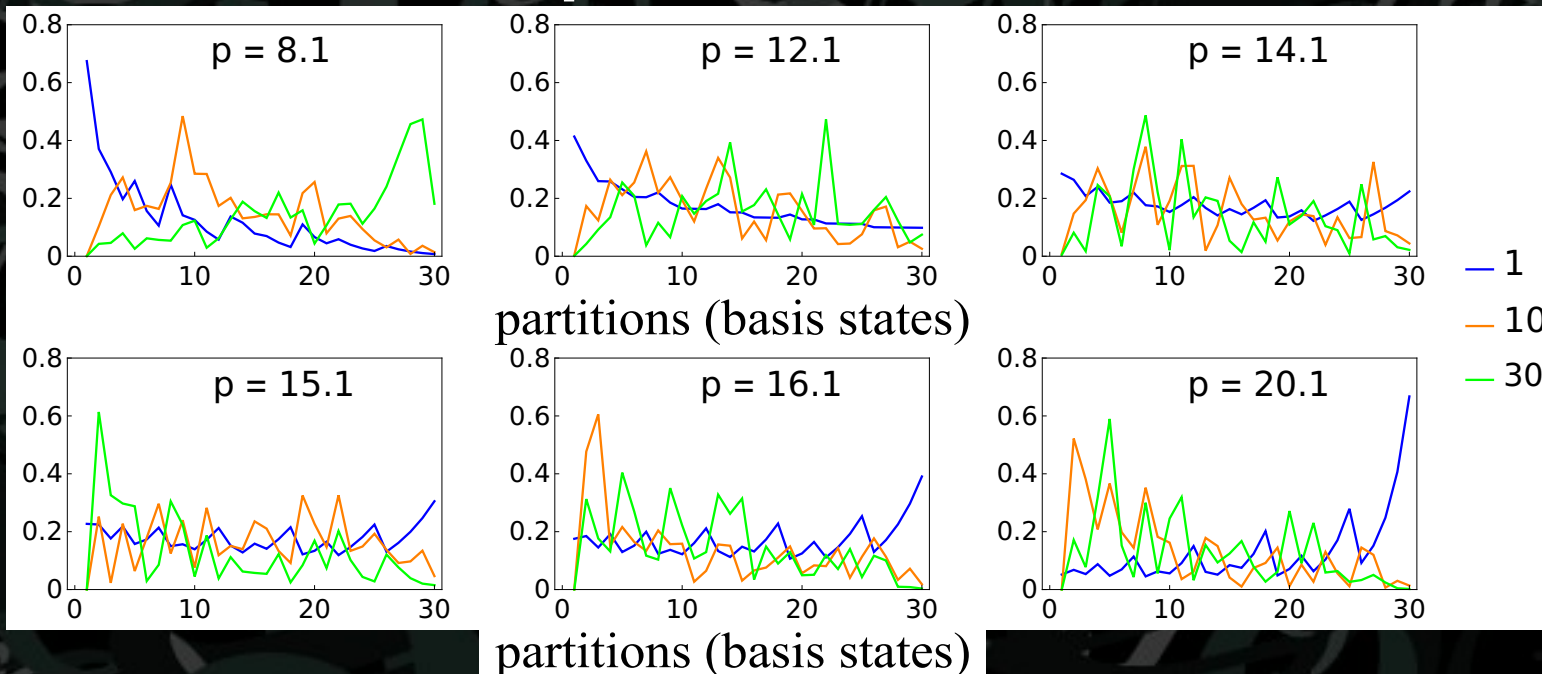
Wigner-Dyson statistics in the S-matrix?



- Textbook test of quantum chaotic scattering: differences between the phases of the S-matrix eigenvalues vs. Random matrix theory (Gaussian orthogonal ensemble)
- There are clear and sometimes large deviations from Wigner-Dyson

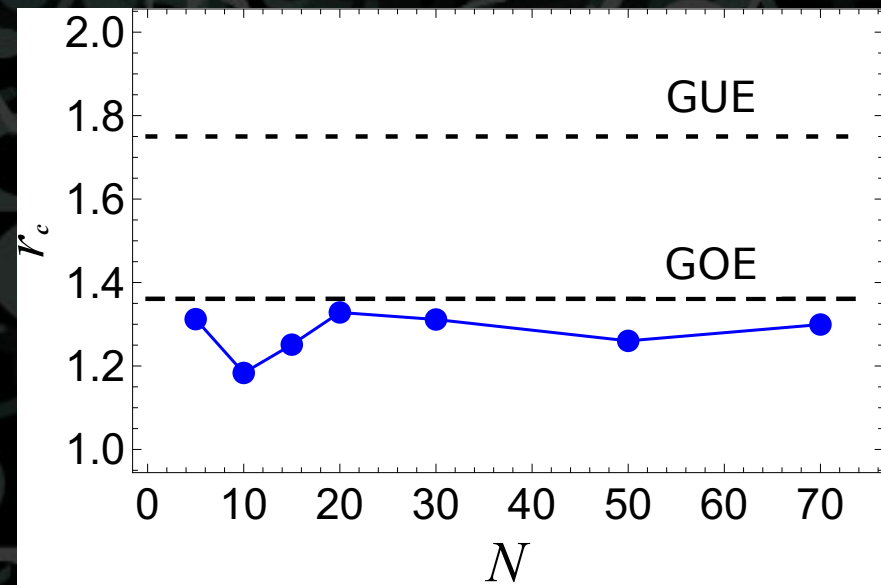
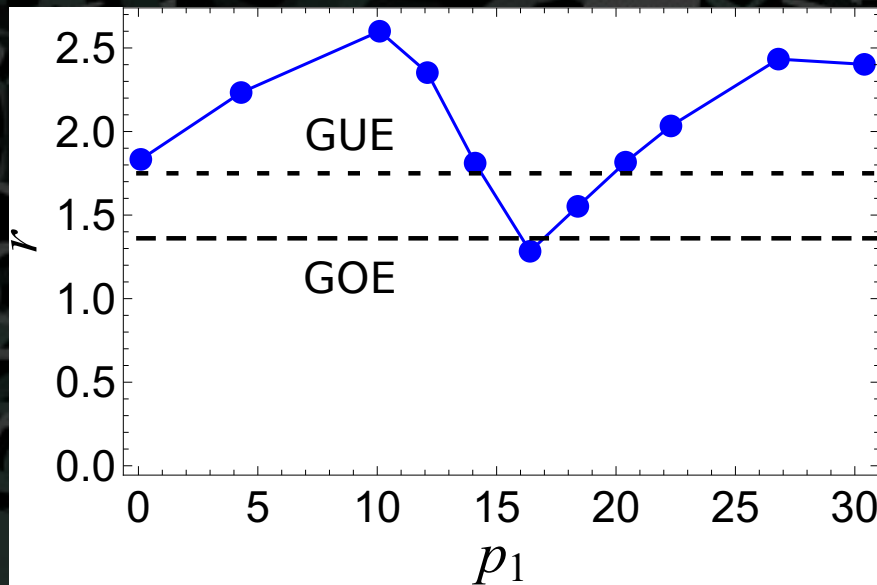
Crossover from sparse to dense partitions

Relative weight of the basis state in the eigenstate



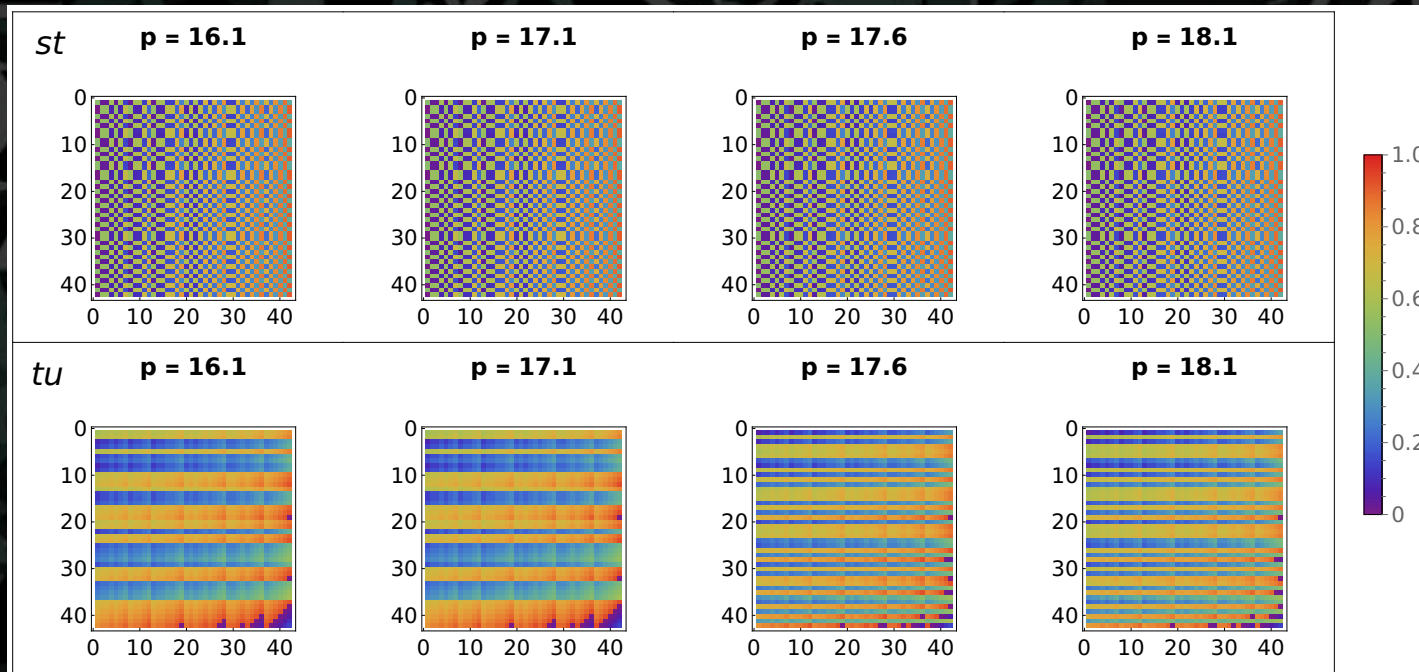
- Eigenvectors of the S-matrix ordered from the largest eigenvalue ($n=1$, blue) toward smaller eigenvalues (here $n=10$, red and $n=30$, green)
- The leading eigenvector (blue) contributes most to the scattering

Level-spacing ratio at the crossover



- In general not very close to the value for GOE (or GUE)...
- ... but right around the crossover it comes very close to GOE!
- Only around p_c it may have a definite limit for $N \rightarrow \infty$
- In general the amplitudes also do not have a clear $N \rightarrow \infty$ limit for $\langle r \rangle$ (discussed in 2303.17233). Makes sense to check for $p = p_c$.

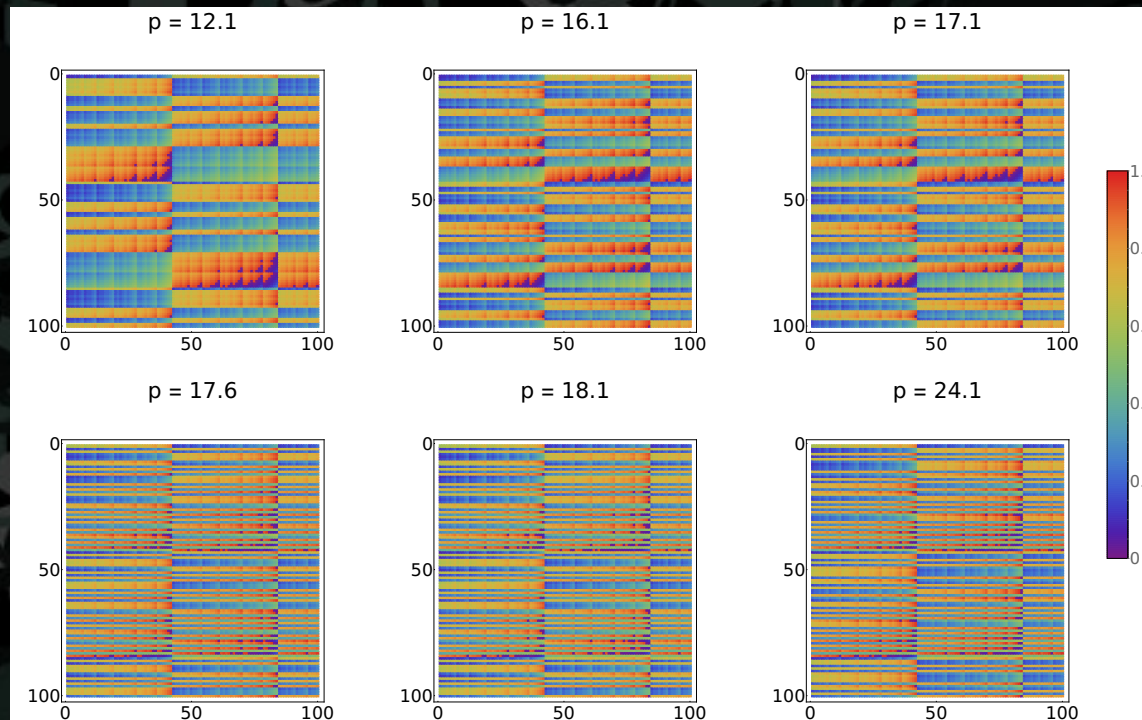
Quasi-invariant states



Phases of the S-matrix in the permutation basis (color code): Wigner-Dyson predicts random structure but in the *tu* channel we see nearly-invariant states

Persists for large N – what about the black hole?

Quasi-invariant states



Same happens in the tu channel for closed strings.
Amplitudes computed either through KLT relations
or directly (brute-force numerics)

Spinful probes and finite-N chaos

- Analytically tractable for circularly polarized photon and graviton. For general polarizations and for $S > 2$ hopeless
- Setup: $\text{HES} + \text{photon} \rightarrow \text{HES} + \text{photon}$, photon polarizations $\pm \zeta$

$$A_{st} = \frac{1}{\text{Vol SL}(2, R)} \int DX e^{-S_p} \int \prod_i dw_i V_t(w_i, p_i) \int \prod_I V_y(w_I, p_I) \int \prod_{a=1}^J dz_a V_y(z_a, -N_a q, \lambda) \int \prod_{b=1}^{J'} dz_b V_y(z_b, -N_b q, -\lambda)$$

$i \in \{2, 2'\}$ - probe photons

$I \in \{1, 1'\}$ HES tachyons

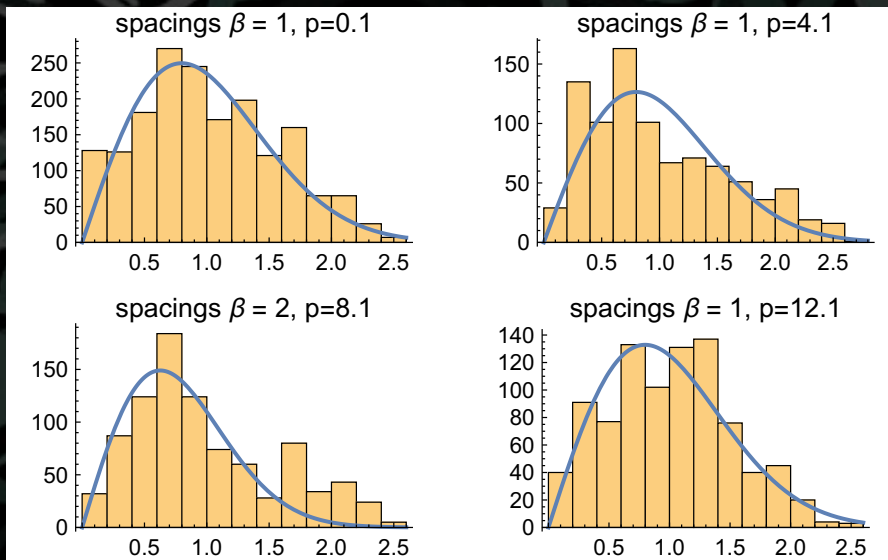
- No permutation symmetry between different channels

$$A_{st} = A_{st}^{(1)} + A_{st}^{(2)}$$

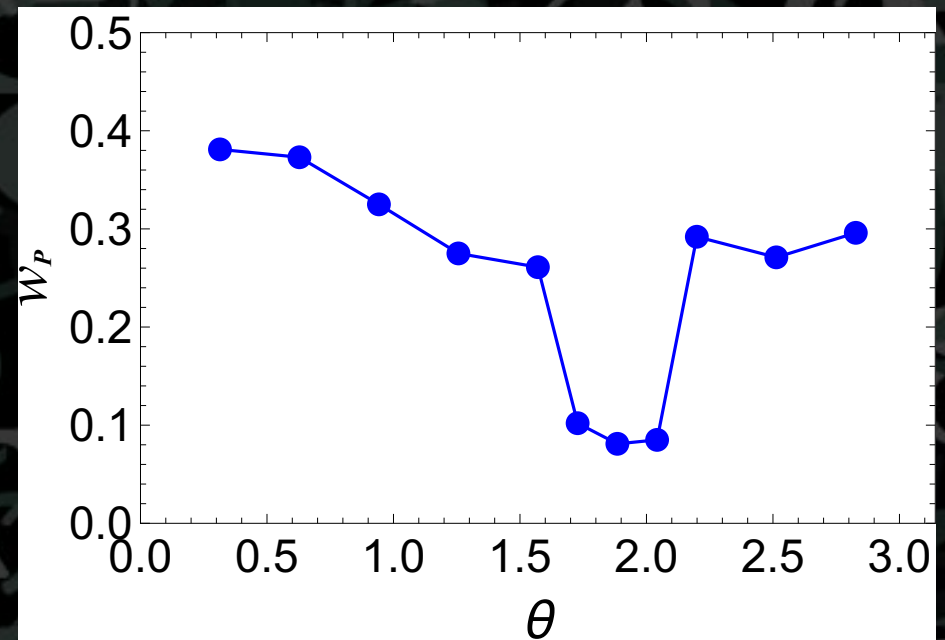
$$A_{st}^{(1)} = 4(-p_2 \cdot \zeta) \sum_{i,j} \sum_{\vec{k}, \vec{l}} \left(\prod_{a=1}^J (p_i \cdot \lambda) c_{k_a}^i \right) \left(\prod_{b=1}^{J'} (-p_j \cdot \lambda) c_{l_b}^j \right) B \left(-1 - \frac{S}{2} + k, -2 - \frac{t}{2} + l \right)$$

$$A_{st}^{(2)} = 4(-p'_2 \cdot \zeta) \sum_{i,j} \sum_{\vec{k}, \vec{l}} \left(\prod_{a=1}^J (p_i \cdot \lambda) c_{k_a}^i \right) \left(\prod_{b=1}^{J'} (-p_j \cdot \lambda) c_{l_b}^j \right) B \left(-2 - \frac{S}{2} + k, -1 - \frac{t}{2} + l \right)$$

Spinful probes and finite-N chaos



Graviton-HES scattering
near the crossover angle θ_c



Mixed Poisson-Wigner-Dyson
(Berry-Robnik) fit – strong chaos
(W_P small) only for near θ_c

Quasi-invariants and random matrix theory

- Berry-Robnik theory '84: the only rigorous RM theory for a system with classically mixed phase space
- Independent regular and chaotic component (no tunnelling \Rightarrow **deep in the semiclassical regime**) hence the gap probabilities multiply (works for $\beta=1,2,4$):

$$E_{\text{BR}}(s) = E_{\text{P}}(w_{\text{P}}s) E_{\text{WD}\beta}((1-w_{\text{P}})s) \Rightarrow P_{\text{BR}\beta}(s) = \frac{d^2 E_{\text{BR}}(s)}{ds^2}$$

$$P_{\text{BR}1}(s) = \frac{\rho}{\sqrt{\pi}} \left(-\pi(1-\rho)^2 s^2 - 4\rho s \right) e^{-\frac{\pi}{4}(1-\rho)^2 s^2 - \rho s} + \frac{\rho^2(1-\rho)}{2\sqrt{\pi}} e^{\frac{\pi}{4}\rho^2 s^2} s \gamma\left(\frac{1}{2}, \frac{\pi\rho^2}{4} s^2\right)$$

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S-matrix from beta-Wishart matrices

- The S-matrix entries are essentially Veneziano/Virasoro-Shapiro amplitudes consisting of ratios of gamma functions
- Tachyonic amplitude (but similar for any spin):

$$A_{st} = 4 \sum_{i, j=2,2'} \sum_{\vec{k}, \vec{l}} \left(\prod_{a=1}^J (p_i \cdot \lambda) c_{k_a}^i \right) \left(\prod_{b=1}^{J'} (-p_j \cdot \lambda) c_{l_b}^j \right) B \left(-1 - \frac{s}{2} + k, -1 - \frac{t}{2} + l \right)$$

$$c_{k_a}^1 = \frac{\Gamma(\alpha_1 + \alpha_2 - k - 1) \Gamma(\alpha'_2 + k)}{\Gamma(\alpha_1) \Gamma(\alpha'_2) \Gamma(\alpha_2 - k) \Gamma(k + 1)}$$

- Gamma-function values the key to statistics – can be found in many places e.g. Online Encyclopedia of Integer Sequences (OEIS)
- Outcome: normal distribution for each partition

Eigenphase statistics of beta-Wishart matrices

- Once we know that each row is normally distributed but with a different variance we can write the S-matrix as a Wishart matrix: $S = T^\dagger T$ with T of size $P(N) \times N$
- The theory of Wishart matrices (Dubbs, Edelman, Koev... e.g. 1305.3561) predicts the distribution of eigenvalues and extreme values but not spacings!
- Eigenvalue distribution:

$$f(\vec{\lambda}) \propto \prod_{m < n} |\lambda_m - \lambda_n|^\beta \prod_n \lambda_n^{-(P(N)/2 - 1)\beta - 1} {}_0F_0^{(\beta)}\left(-\frac{\beta}{2} \vec{\Lambda}, \Sigma^{-1}\right)$$

$$\Lambda = \text{diag}(\lambda_1, \dots, \lambda_{\text{part}(N)}) \quad \Sigma = \left(-|\pi_j(N)| p \cdot q, -|\pi_j(N)|\right)_{\text{part}(N) \times \text{part}(N)}$$

${}_0F_0^{(\beta)}$ – β -confluent hypergeometric function

Fixed points of the beta-Wishart ensemble

- For large N the repulsion from the $|\lambda_m - \lambda_n|^\beta$ term dominated by the exponential limit of the confluent hypergeometric function ${}_0F_0^{(\beta)}$
- Outcome: there are always states with eigenvalues $|\lambda - 1| < \text{const.}/N^2$ i.e. **states that remain almost unchanged (quasi-invariant states!) and accumulate around a fixed point** \Rightarrow no repulsion
- In the limit of infinite N we know that Wishart matrices have the Marchenko-Pastur distribution of eigenvalues. Connection to recent work on "bag-of-gold" microstructure by Empanan et al?