

# Low-Energy Theorems and Spectral Density of the Dirac Operator in AdS/QCD

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- ① AdS/QCD Models
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- AdS/QCD – a new approach to studying strong interactions  
[J. Erlich, E. Katz, D. T. Son, M. A. Stephanov, 2005;  
A. Karch, E. Katz, D. T. Son, M. A. Stephanov, 2006].
- In order to understand the underlying structure of the QCD dual two basic types of models have been developed:
  - ten-dimensional "top-down" models  
[[hep-th/0412141](#), [hep-th/0205236](#)],
  - simpler "bottom-up" AdS/QCD models  
[[hep-ph/0501128](#), [hep-ph/0602229](#), [hep-ph/0501218](#), 0902.1998  
[\[hep-ph\]](#)].
- Low-energy theorems (precise equations in QCD) are a good test of holographic models.

# Five-Dimensional Effective Action

$$S_{5D} = \int d^5x \sqrt{g} e^{-\Phi} \text{tr} \left\{ \Lambda^2 \left( |DX|^2 + \frac{3}{R^2} |X|^2 + \frac{\kappa}{R^2} |X|^4 \right) - \frac{1}{4g_5^2} (F_L^2 + F_R^2) \right\}$$

with a metric  $ds^2 = \frac{R^2}{z^2} (-dz^2 + dx_\mu dx^\mu)$ .

- "Hard-wall":  $\Phi(z) \equiv 0$ ,  $\kappa = 0$ ,  $0 \leq z \leq z_m$ ,  
background  $X(z) = \frac{1}{2}(mz + \sigma z^3)$ ,
- "Soft-wall":  $\Phi(z) \sim \lambda z^2 (z \rightarrow \infty)$ ,  $\kappa \neq 0$ ,  $0 \leq z < \infty$ ,  
background  $X(z) \sim \frac{1}{2}(mz + \sigma z^3)$  for  $z \rightarrow 0$ .

$$L_\mu^a(x, z=0) = \text{source of } \bar{q}_L(x) \gamma_\mu t^a q_L(x),$$

$$R_\mu^a(x, z=0) = \text{source of } \bar{q}_R(x) \gamma_\mu t^a q_R(x),$$

$$\lim_{z \rightarrow 0} \frac{2}{z} X^{\alpha\beta}(x, z) = \text{source of } \bar{q}_L^\alpha(x) q_R^\beta(x)$$

$$= m \delta^{\alpha\beta} \text{ in the absence of (pseudo)scalar currents.}$$

There are several free parameters:

- $g_5$ :  $\frac{g_5^2}{R} = \frac{12\pi^2}{N_c}$  from QCD Sum rules,
- $\Lambda$ :  $\Lambda^2 R^3 = \frac{N_c}{4\pi^2}$  from QCD Sum rules,
- $z_m$  is fixed by the  $\rho$ -meson mass,
- $\lambda$  is fixed by the slope of the Regge trajectory,
- $\kappa$  by the mass splitting of the axial and vector mesons.
- Quark condensate:  $\Sigma = N_f C = N_f \sigma \Lambda^2 R^3$ .

Corollaries of the axial Ward identities in terms of:

- Quark currents  $S_i(x)$ ,  $P_j(y)$ ,
- Chiral Lagrangian ( $L_i$  – parameters of the NLO Lagrangian of order of  $\mathcal{O}(p^4)$ ,  $B = G_\pi/F_\pi$ ),
- Spectral density  $\rho(\lambda, m)$ ,
- Topological charge density  $Q(x) \sim \text{tr} F_{\mu\nu}(x) \tilde{F}^{\mu\nu}(x)$ .

$$\begin{aligned}
i \int d^4x \langle \delta_{ij} S_0(x) S_0(0) - P_i(x) P_j(0) \rangle &= -\frac{G_\pi^2 \delta_{ij}}{m_\pi^2} + \delta_{ij} \frac{B^2}{8\pi^2} (L_3 - 4L_4 + 3) \\
&= 2\delta_{ij} \int d\lambda \left( \frac{m \frac{\partial}{\partial m} \rho(\lambda, m)}{(\lambda^2 + m^2)} - \frac{2m^2 \rho(\lambda, m)}{(\lambda^2 + m^2)^2} \right), \tag{1}
\end{aligned}$$

$$\begin{aligned}
i \int d^4x \langle S_i(x) S_j(0) - \delta_{ij} P_0(x) P_0(0) \rangle \\
= \delta_{ij} \int d\lambda \frac{4m^2 \rho(\lambda, m)}{(\lambda^2 + m^2)^2} - 2\delta_{ij} \frac{\int d^4x \langle Q(x) Q(0) \rangle}{m^2 V}, \tag{2}
\end{aligned}$$

$$\begin{aligned}
i \int d^4x \langle P_3(x) P_0(0) \rangle \\
= 2(m_u - m_d) m \int d\lambda \frac{\rho(\lambda, m)}{(\lambda^2 + m^2)^2} - (m_u - m_d) \frac{\int d^4x \langle Q(x) Q(0) \rangle}{m^3 V}. \tag{3}
\end{aligned}$$

[J. Gasser and H. Leutwyler, 1984]

# Zero momentum correlation functions of QCD currents

Correlation functions are calculated via the AdS/CFT prescription:

$$\mathcal{Z}_{QCD}[\mathcal{J}_I(x_\mu)] = \exp(iS_{5D} \text{ classical})|_{\Phi_I(0,x_\mu)=\mathcal{J}_I(x_\mu)} \Rightarrow$$
$$\langle \mathcal{O}_I(x)\mathcal{O}_J(y) \rangle = - e^{-iS_{5D} \text{ classical}} \frac{\delta}{\delta\Phi_I(0,x)} \frac{\delta}{\delta\Phi_J(0,y)} e^{iS_{5D} \text{ classical}} \Big|_{\Phi_I(0,x_\mu)=0}$$

At zero momentum for  $N_f$  quark flavors with equal masses

$$i \langle P_i(0)P_j(0) \rangle = \delta_{ij} \frac{C}{m} = \delta_{ij} \frac{G_\pi^2}{m_\pi^2},$$

where  $\langle \bar{q}^\alpha q^\beta \rangle = C\delta^{\alpha\beta}$  in the chiral limit,  $\Sigma = \langle \bar{q}^\alpha q_\alpha \rangle$ . One can see that we obtain a singularity corresponding to the pion exchange. The pole residue is the same for the middle and left-hand sides of Eqn. (1).



In the particular  $N_f = 2$ ,  $m_u \neq m_d$  case

$$i \langle P_i(0) P_j(0) \rangle = \delta_{ij} \frac{C}{m} - \frac{C \Delta m}{2m^2} (\delta_{i0} \delta_{3j} + \delta_{j0} \delta_{3i} - i \delta_{i1} \delta_{2j} - i \delta_{j1} \delta_{2i}),$$

where  $m = \frac{m_u + m_d}{2}$ ,  $\Delta m = m_u - m_d$ .

Scalar current correlators are calculated analogously and are regular in the chiral limit. Thus for a zero momentum

$$\begin{aligned} i \langle \delta_{ij} S_0(0) S_0(0) - P_i(0) P_j(0) \rangle &\sim i \langle S_i(x) S_j(0) - \delta_{ij} P_0(x) P_0(0) \rangle \\ &\sim -\delta_{ij} \frac{C}{m}, \\ i \langle P_3(0) P_0(0) \rangle &\sim -\frac{C \Delta m}{2m^2}. \end{aligned}$$

Another point of view on the holographic models:

- Five-dimensional fields on the AdS boundary are sources of the QCD currents.
- QCD currents are sources of the mesons.
- Kaluza–Klein modes  $\propto$  meson wavefunctions with corresponding quantum numbers.
- If we integrate out the dynamics along the  $z$  axis, the 5D action will generate an effective chiral Lagrangian.

Similar to the "top-down" models [T. Sakai, S. Sugimoto, 2005].

Gauge fields can be combined into

$$V_\mu^a = L_\mu^a + R_\mu^a, \quad A_\mu^a = L_\mu^a - R_\mu^a$$

One can fix the gauge  $V_z = A_z = \partial^\mu V_\mu = 0$ , so that  $A_\mu$  retains a longitudinal component:  $A_\mu = A_{\perp\mu} + \partial_\mu \phi$ .  $\phi \propto$  the source of the pseudoscalar current.

KK decomposition:

$$\phi^a(z, x) = \sum_n f_\phi^{(n)}(z) \phi^{a(n)}(x),$$

$$f_\phi^{(n)}(z) - \text{E.o.M. solution in AdS}, \quad \phi^{a(0)}(x) \propto \pi^a(x).$$

Having integrated out all  $z$ -dependence in the 5D action of the lowest KK-mode we obtain

$$S_{5D} \rightarrow A_1 \cdot \frac{1}{2} \partial_\mu \phi^{(0)} \partial^\mu \phi^{(0)} + A_2 \cdot [\partial_\mu \phi^{(0)}, \partial_\nu \phi^{(0)}]^2 + A_3 \cdot m \partial_\mu \phi^{(0)} \partial^\mu \phi^{(0)}.$$

This allows to find the parameters explicitly  $L_{1,2,3} \propto \frac{A_2}{A_1^2}$ ,  $L_4 \propto \frac{A_3}{A_1}$ . The following (universal for holographic models) equation holds

$$L_3 = -3L_2 = -6L_1.$$

Parameters  $L_i$  are regular in the chiral limit.

# Spectral Density of the Dirac Operator

The Dirac operator  $\hat{D} \equiv \gamma^\mu(\partial_\mu + ig_s A_\mu)$  has no dual AdS description, and its spectral density  $\rho(\lambda) = \frac{1}{V} \left\langle \sum_n \delta(\lambda - \lambda_n) \right\rangle_A$ , where  $\{\lambda_n\}$  are the eigenvalues of  $i\hat{D}$ , has no direct AdS/QCD interpretation similar to the Chiral Lagrangian and QCD partition function.

However one can express  $\rho(\lambda)$  via a partition function of a QCD-like theory with a dual description

[S. F. Edwards and P. W. Anderson, 1975;  
J. J. M. Verbaarschot and M. R. Zirnbauer, 1984;  
K. B. Efetov, 1983].

$$\begin{aligned} \rho(\lambda) &= \frac{1}{V} \left\langle \sum_n \delta(\lambda - \lambda_n) \right\rangle_A = \frac{1}{\pi V} \left\langle \lim_{\mu \rightarrow 0} \sum_n \frac{\mu}{\mu^2 + (\lambda - \lambda_n)^2} \right\rangle_A \\ &= \frac{1}{2\pi V} \lim_{\mu \rightarrow 0} \frac{\partial}{\partial \mu} \left\langle \log \text{Det}[i\hat{D} - \lambda - i\mu] + \log \text{Det}[i\hat{D} - \lambda + i\mu] \right\rangle_A \end{aligned}$$



Result is the following:

$$\rho(\lambda) = -\frac{1}{\pi} \left( \Sigma(m) + m \frac{d}{dm} \Sigma(m) \right) \Big|_{m=\lambda}.$$

A QCD result [V. A. Novikov, M. A. Shifman, A. I. Vainshtein, V. I. Zakharov, 1981]  $\Sigma(m) = \Sigma(0) \left( 1 - \frac{3m^2 \log m^2 / \mu_{had}^2}{32\pi^2 F_\pi^2} \right)$  leads to a formula

$$\rho(\lambda) = -\frac{1}{\pi} \Sigma(0) \left( 1 - \frac{3\Sigma(0)}{8\pi^2 N_f F_\pi^4} \lambda - \frac{3\Sigma(0)}{4\pi^2 N_f F_\pi^4} \lambda \log \lambda \right), \lambda > 0.$$

So:

- the result agrees with the Casher–Banks identity  $\rho(0) = -\Sigma(0)/\pi$  [T. Banks and A. Casher, 1980],
- up to a  $\propto N_f^2 - 4$  factor reproduces the result of Smilga and Stern [A. Smilga and J. Stern, 1993] for the term linear in  $\lambda$ ,
- has terms  $\propto \lambda \log \lambda$ .

Drawbacks of this result are the following:

- No dependence on mass;
- No terms  $\propto \lambda^2$  and higher.

It seems that we might obtain a more precise result for  $\rho'(0)$  if we either manage to formulate a consistent "soft-wall" model with different flavors or take into account the  $N_f$ -dependence of the 5D metric ( $\sim$  to the flavor brane back-reaction).



# Compatibility of AdS/QCD models with low-energy theorems in the chiral limit

Integrals with  $\rho(\lambda)$  in the right-hand sides of the theorems (1 – 3) yield

$$\int d\lambda \frac{m^2 \rho(\lambda)}{(\lambda^2 + m^2)^2} \sim \frac{C}{m}, \quad \int d\lambda \frac{m \Delta m \rho(\lambda)}{(\lambda^2 + m^2)^2} \sim \frac{C \Delta m}{m^2},$$

and we use a result by [\[Katz, Schwarz\]](#) for the topological charge density 2-point correlator.

In the  $m \rightarrow 0$  limit for each theorem (1, 2, 3) we get equal pole residues on all sides. Thus, AdS/QCD models are compatible with low-energy theorems in the chiral limit.

- We have demonstrated the agreement of the AdS/QCD model in the chiral limit with the low-energy theorems.
- We have proposed a way to calculate the spectral density of the Dirac operator in AdS/QCD.
- Result in the "hard-wall" model agrees with the Casher–Banks identity and up to a numerical  $N_f$ -depending factor reproduces the Smilga and Stern result.
- Testing the theorems beyond the chiral limit might probably bring us closer to understanding the structure of the AdS/QCD models.