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# Thermodynamic Constraint on Primordial Black Hole Formation

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- A finite size object with mass  $M$  changes the curvature of the space: Schwarzschild metric for a spherically symmetric case.

- Black hole has additional features:

1. Event Horizon:  $R_{\text{BH}} = 2M$

2. Entropy:  $S_{\text{BH}} = 4\pi M^2$

Temperature:  $T_{\text{BH}} \equiv \frac{1}{(dS/dM)} = \frac{1}{8\pi M}$

→ Thermodynamic identity

$$dM = T dS \quad (\hbar = c = G = k = 1)$$

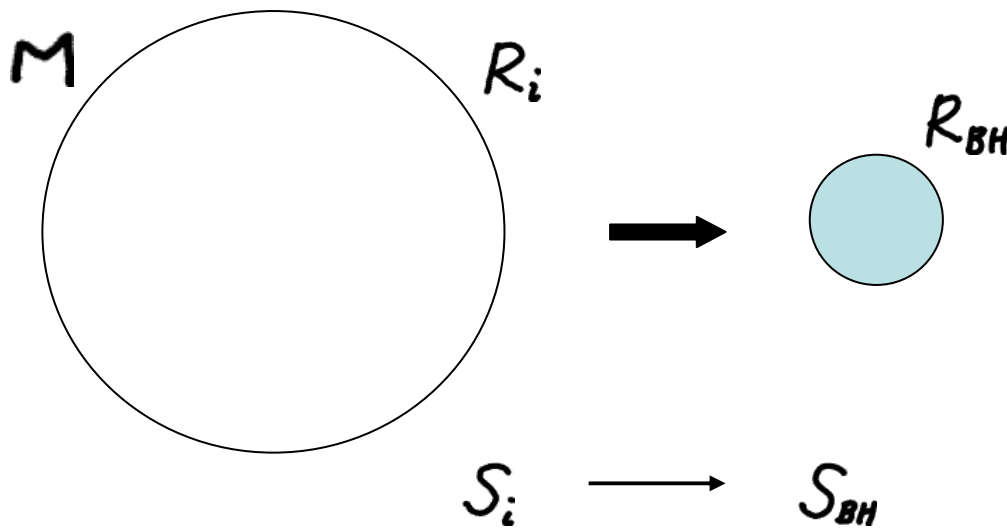
- First law of thermodynamics
- Hawking radiation with temperature  $T$
- Can the second law of thermodynamics,

$$\Delta S = S_f - S_i \geq 0,$$

provide any constraints on the physical processes, which involve black holes ?

**“Formation of primordial black hole  
in early Universe”**

- PBH is supposed to be formed when a overdense region,  $\rho > \bar{\rho}$ , collapses into a black hole.
- Simple minded approach:  
Spherical collapse (isolated) of radiation



Entropy of progenitor depends on the equation of state

- Example: neutron-star-like matter

progenitor:  $M_i \propto m_N N$

$$S_i \propto N \rightarrow S_i = f M_i / m_N$$

black hole:  $M_{BH} = M_i$

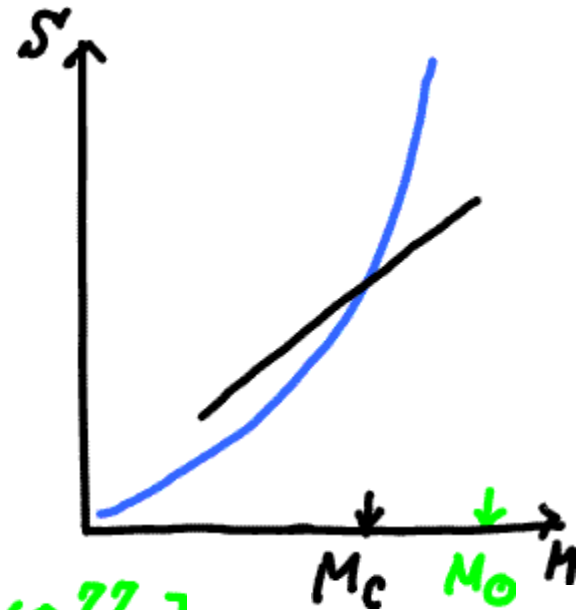
$$S_{BH} = 4\pi (M_i / m_{pl})^2$$

2<sup>nd</sup> law of thermodynamics

$$\Rightarrow M_i \geq M_c$$

$$M_c \sim m_N \left( \frac{m_{pl}}{m_N} \right)^2 \sim 10^{13} \text{ g}$$

[ for  $M_i = M_\odot$ ,  $S_i \sim 10^{54}$  &  $S_{BH} \sim 10^{77}$  ]



# Progenitor in early Universe

- Size of over-dense region:  $R_i$

$$r_{hor} = \frac{0.30}{\sqrt{g_*}} \left( \frac{m_{pl} c^2}{k_B T} \right)^2 l_{pl}, \quad l_{pl} = \hbar / m_{pl} c$$

- energy and entropy density:  
[radiation dominated,  $T \gg m$ ]

$$\rho = \frac{\pi^2}{30} g_* T^4, \quad s = \frac{2\pi^2}{45} g_* T^3$$

- Parameters:  $g_*, T (m_{pl})$       $g_*^{SM} = 106.75$

$$S_{hor} = \frac{0.050}{\sqrt{g_*}} \left( \frac{m_{pl}}{T} \right)^3,$$

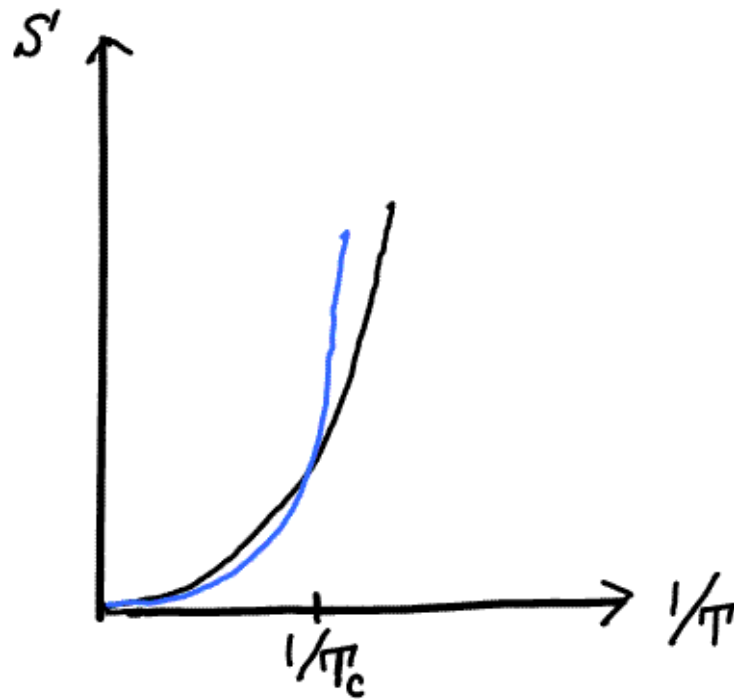
$$M_{hor} = \frac{0.038}{\sqrt{g_*}} \left( \frac{m_{pl}}{T} \right)^2 m_{pl}.$$

$$S_{pbh} = \frac{0.018}{g_*} \left( \frac{m_{pl} c^2}{k_B T} \right)^4$$

$$S_{pbh} \geq S_{hor}$$

$$\Rightarrow T \leq T_c$$

$$T_c = \frac{0.36}{\sqrt{g_*}} m_{pl}$$



$$M_{pbh} = M_{hor} \geq 0.29 \sqrt{g_*} m_{pl}$$

HKL, PRD 66, 063001(02)

- Classical vs Quantum ?

Compton wavelength of PBH at  $T_c$ :

$$\lambda_{pbh} = \frac{1}{2.4\sqrt{g_*}} \lambda_{hor}$$

$$\lambda_{pbh} < \lambda_{hor} \rightsquigarrow \text{classical}$$

- new of freedom, over density:  $g_* \rightarrow \tilde{g}_*$

$$M_{pbh} > 3\kappa m_{pl}, \quad \kappa = \sqrt{\frac{\tilde{g}}{g_*}}$$

$$T_c < \frac{1.2 \times 10^{-2}}{\kappa} m_{pl}$$

$$\lambda_{pbh} < \frac{1.4 \times 10^{-2}}{\kappa} \lambda_{hor}$$



# • Sub-horizon PBH formation

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- initial size,  $R_i < \text{horizon}$
- no heat production

$$M_{pbh} \Rightarrow f M_{hor} (f < 1)$$

$$M_{pbh} \geq \frac{4}{27} \sqrt{\frac{g_*}{5\pi}} m_{pl}$$

→ minimum mass of PBH  $\sim O(m_{pl})$

\*  $\lambda_{pbh} \sim \lambda_{hor}$  :

Quantum effect may be important.

# Summary

- 2<sup>nd</sup> law of thermodynamics on spherical collapse of a overdense region constrains PBH formation only for  $T < T_c$  ( $\sim 10^{-2} m_{pl}$ ).
- Sub-horizon collapse also leads to minimum mass for PBH.
- Possible variations are due to the overdensity, additional degree of freedom, which gives lower  $T_c$  and higher minimum PBH mass.
- Underlying dynamics for the thermodynamical constraint to be investigated: Quantum Gravity?