

Gravitational Plane-wave Scattering by a Rotating Black Hole

Sam Dolan

University College Dublin
Ireland

Aegean Summer School 07



Outline

1. Introduction

- Plane wave scattering
- Geodesics and approximations
- Schwarzschild scattering

2. Analysis

- Partial wave expansion
- On-axis scattering
- Helicity **non**-conservation

3. New Results

- Absorption
- Scattering cross sections
- Discussion

Outline

1. Introduction

- Plane wave scattering
- Geodesics and approximations
- Schwarzschild scattering

2. Analysis

- Partial wave expansion
- On-axis scattering
- Helicity **non**-conservation

3. New Results

- Absorption
- Scattering cross sections
- Discussion

Outline

1. Introduction

- Plane wave scattering
- Geodesics and approximations
- Schwarzschild scattering

2. Analysis

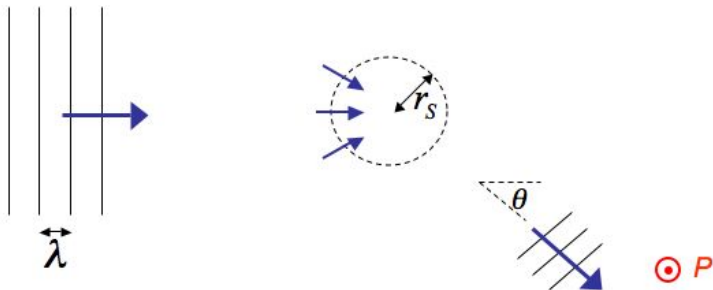
- Partial wave expansion
- On-axis scattering
- Helicity **non**-conservation

3. New Results

- Absorption
- Scattering cross sections
- Discussion

Time-Independent Plane Wave Scattering

An infinite monochromatic **plane wave** impinges on an isolated black hole:



Time-Independent Plane Wave Scattering

Dimensionless Parameters:

- BH **coupling** : $M\omega \sim r_s/\lambda$
- BH **rotation** : $0 \leq a \leq 1$

Physical Observables:

- σ_a : **absorption** cross section.
- $\frac{d\sigma}{d\Omega}$: differential **scattering** cross section.
- $0 < P < 1$: partial **polarisation**.

Time-Independent Plane Wave Scattering

Dimensionless Parameters:

- BH **coupling** : $M\omega \sim r_s/\lambda$
- BH **rotation** : $0 \leq a \leq 1$

Physical Observables:

- σ_a : **absorption** cross section.
- $\frac{d\sigma}{d\Omega}$: differential **scattering** cross section.
- $0 < P < 1$: partial **polarisation**.

Time-Independent Plane Wave Scattering

- | field | spin | equations |
|---------------|-----------|----------------|
| scalar | $s = 0$ | [Klein-Gordon] |
| • neutrino | $s = 1/2$ | [Dirac] |
| photon | $s = 1$ | [Maxwell] |
| gravitational | $s = 2$ | [Teukolsky] |
- Usually massless (neutrino?)
 - Classical fields ('first-quantised')
 - 'Weak' : neglect back-reaction
 - Linear ($s = 0, 1/2, 1$) or,
'Linearised' : $g_{\mu\nu} = g_{\mu\nu}^{(\text{Kerr})} + \epsilon h_{\mu\nu}$

Time-Independent Plane Wave Scattering

- | field | spin | equations |
|---------------|-----------|----------------|
| scalar | $s = 0$ | [Klein-Gordon] |
| • neutrino | $s = 1/2$ | [Dirac] |
| photon | $s = 1$ | [Maxwell] |
| gravitational | $s = 2$ | [Teukolsky] |
- *Usually* massless (neutrino?)
 - Classical fields ('first-quantised')
 - 'Weak' : neglect back-reaction
 - Linear ($s = 0, 1/2, 1$) or,
'Linearised' : $g_{\mu\nu} = g_{\mu\nu}^{(\text{Kerr})} + \epsilon h_{\mu\nu}$

Time-Independent Plane Wave Scattering

- | field | spin | equations |
|---------------|-----------|----------------|
| scalar | $s = 0$ | [Klein-Gordon] |
| • neutrino | $s = 1/2$ | [Dirac] |
| photon | $s = 1$ | [Maxwell] |
| gravitational | $s = 2$ | [Teukolsky] |
- *Usually* massless (neutrino?)
 - Classical fields ('first-quantised')
 - 'Weak' : neglect back-reaction
 - Linear ($s = 0, 1/2, 1$) or,
'Linearised' : $g_{\mu\nu} = g_{\mu\nu}^{(\text{Kerr})} + \epsilon h_{\mu\nu}$

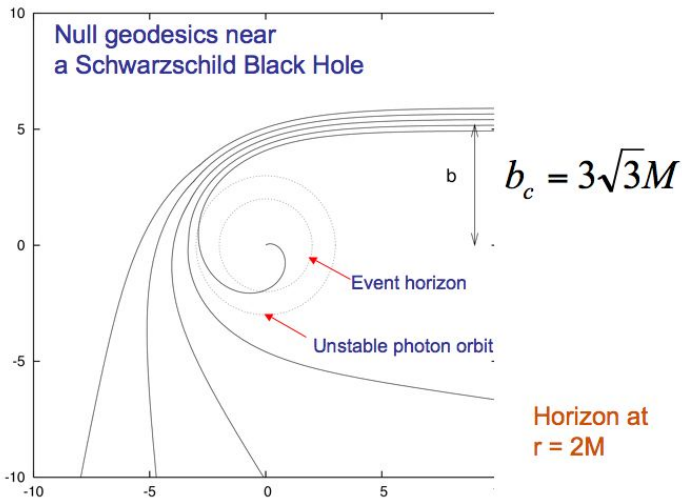
Time-Independent Plane Wave Scattering

- | field | spin | equations |
|---------------|-----------|----------------|
| scalar | $s = 0$ | [Klein-Gordon] |
| • neutrino | $s = 1/2$ | [Dirac] |
| photon | $s = 1$ | [Maxwell] |
| gravitational | $s = 2$ | [Teukolsky] |
- Usually massless (neutrino?)
 - Classical fields ('first-quantised')
 - 'Weak' : neglect back-reaction
 - Linear ($s = 0, 1/2, 1$) or,
'Linearised' : $g_{\mu\nu} = g_{\mu\nu}^{(\text{Kerr})} + \epsilon h_{\mu\nu}$

Time-Independent Plane Wave Scattering

- | field | spin | equations |
|---------------|-----------|----------------|
| scalar | $s = 0$ | [Klein-Gordon] |
| • neutrino | $s = 1/2$ | [Dirac] |
| photon | $s = 1$ | [Maxwell] |
| gravitational | $s = 2$ | [Teukolsky] |
- Usually **massless** (neutrino?)
 - Classical fields ('first-quantised')
 - 'Weak' : neglect back-reaction
 - Linear ($s = 0, 1/2, 1$) or,
'Linearised' : $g_{\mu\nu} = g_{\mu\nu}^{(\text{Kerr})} + \epsilon h_{\mu\nu}$

Non-rotating BH: Null Geodesics



Deflection-angle Approximations:

- Weak-field deflection:

$$\Delta\theta \approx 4M/b$$
$$\Rightarrow \lim_{\theta \rightarrow 0} \frac{d\sigma}{d\Omega} \sim 1/\theta^4$$

- Strong-field deflection: Unstable orbit at $r = 3M$

$$\Delta\theta \sim -\ln [(b - b_c)/3.48M]$$
$$\Rightarrow \text{'spiral' and 'glory' oscillations in } \frac{d\sigma}{d\Omega}$$

Deflection-angle Approximations:

- **Weak-field** deflection:

$$\Delta\theta \approx 4M/b$$
$$\Rightarrow \lim_{\theta \rightarrow 0} \frac{d\sigma}{d\Omega} \sim 1/\theta^4$$

- **Strong-field** deflection: **Unstable orbit** at $r = 3M$

$$\Delta\theta \sim -\ln [(b - b_c)/3.48M]$$
$$\Rightarrow \text{'spiral' and 'glory' oscillations in } \frac{d\sigma}{d\Omega}$$

Weak-Field Approximations: $\lambda \gg r_s$

In the long-wavelength limit (low coupling $M\omega \ll 1$):

scattering cross section: $\frac{1}{M^2} \frac{d\sigma}{d\Omega}$

scalar	$\frac{1}{\sin^4(\theta/2)}$	
neutrino	$\frac{\cos^2(\theta/2)}{\sin^4(\theta/2)}$	
photon	$\frac{\cos^4(\theta/2)}{\sin^4(\theta/2)}$	
gravitational	$\frac{\cos^8(\theta/2) + \sin^8(\theta/2)}{\sin^4(\theta/2)}$: anomalous extra term!

General rule:

$$\lim_{\lambda \rightarrow \infty} \left(\frac{1}{M^2} \frac{d\sigma}{d\Omega} \right) \sim \frac{\cos^{4s}(\theta/2)}{\sin^4(\theta/2)} + \delta_{s,2} \sin^4(\theta/2)$$

Weak-Field Approximations: $\lambda \gg r_s$

In the long-wavelength limit (low coupling $M\omega \ll 1$):

scattering cross section: $\frac{1}{M^2} \frac{d\sigma}{d\Omega}$

scalar	$\frac{1}{\sin^4(\theta/2)}$	
neutrino	$\frac{\cos^2(\theta/2)}{\sin^4(\theta/2)}$	
photon	$\frac{\cos^4(\theta/2)}{\sin^4(\theta/2)}$	
gravitational	$\frac{\cos^8(\theta/2) + \sin^8(\theta/2)}{\sin^4(\theta/2)}$: anomalous extra term!

General rule:

$$\lim_{\lambda \rightarrow \infty} \left(\frac{1}{M^2} \frac{d\sigma}{d\Omega} \right) \sim \frac{\cos^{4s}(\theta/2)}{\sin^4(\theta/2)} + \delta_{s,2} \sin^4(\theta/2)$$

Weak-Field Approximations: $\lambda \gg r_s$

In the long-wavelength limit (low coupling $M\omega \ll 1$):

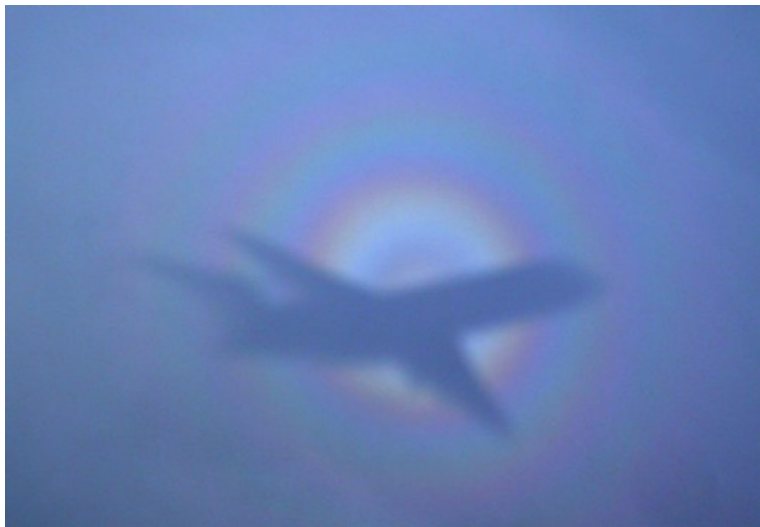
scattering cross section: $\frac{1}{M^2} \frac{d\sigma}{d\Omega}$

scalar	$\frac{1}{\sin^4(\theta/2)}$	
neutrino	$\frac{\cos^2(\theta/2)}{\sin^4(\theta/2)}$	
photon	$\frac{\cos^4(\theta/2)}{\sin^4(\theta/2)}$	
gravitational	$\frac{\cos^8(\theta/2) + \sin^8(\theta/2)}{\sin^4(\theta/2)}$: anomalous extra term!

General rule:

$$\lim_{\lambda \rightarrow \infty} \left(\frac{1}{M^2} \frac{d\sigma}{d\Omega} \right) \sim \frac{\cos^{4s}(\theta/2)}{\sin^4(\theta/2)} + \delta_{s,2} \sin^4(\theta/2)$$

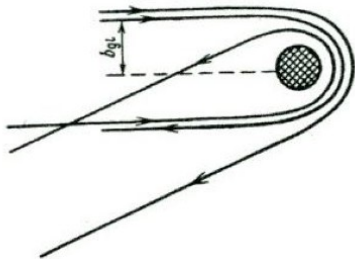
Strong Field: Glory Scattering



Glory Scattering in Optics (II)



Glory Scattering Approximation

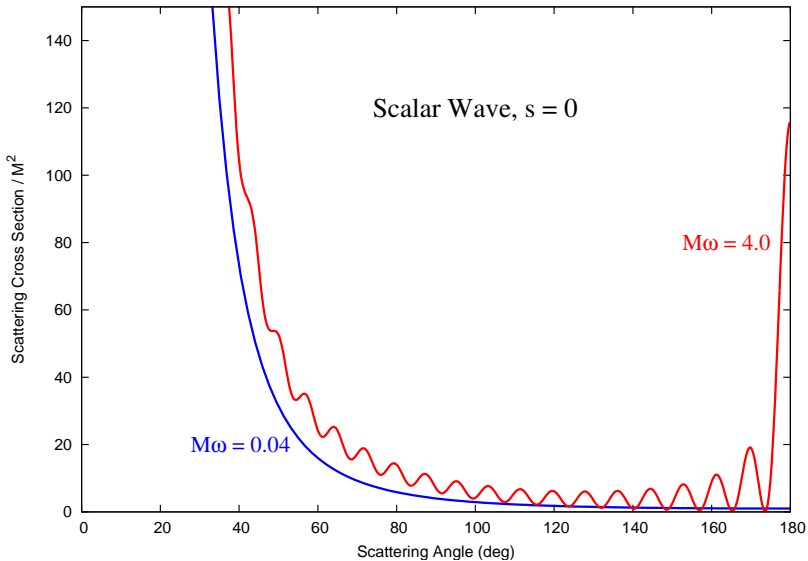


- Semi-classical (WKB) approximation:

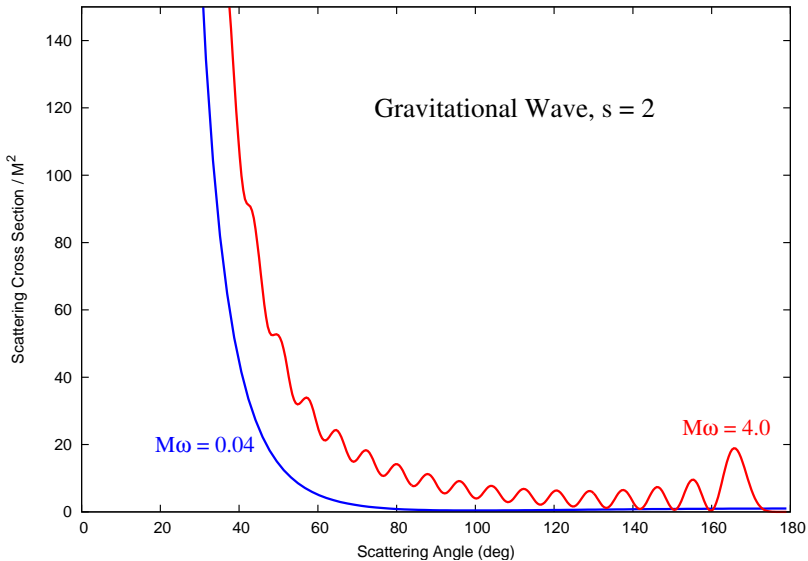
$$\lim_{\theta \rightarrow \pi} \frac{d\sigma}{d\Omega} \sim 2\pi M^2 \times 4.3M\omega \times J_{2|s|}(5.3465 M\omega \sin \theta)$$

- **Zero flux in backward direction** for polarised fields ($s \neq 0$).

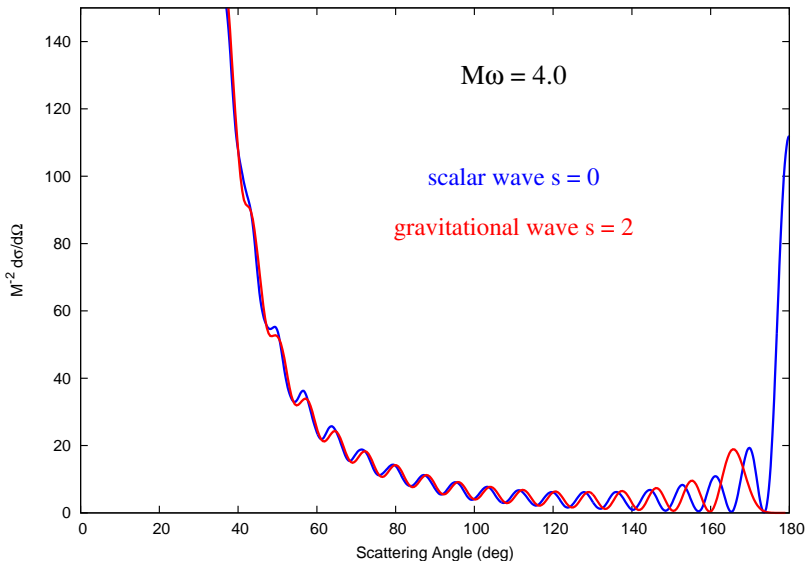
Schwarzschild Scattering Cross Section $\frac{d\sigma}{d\Omega}$



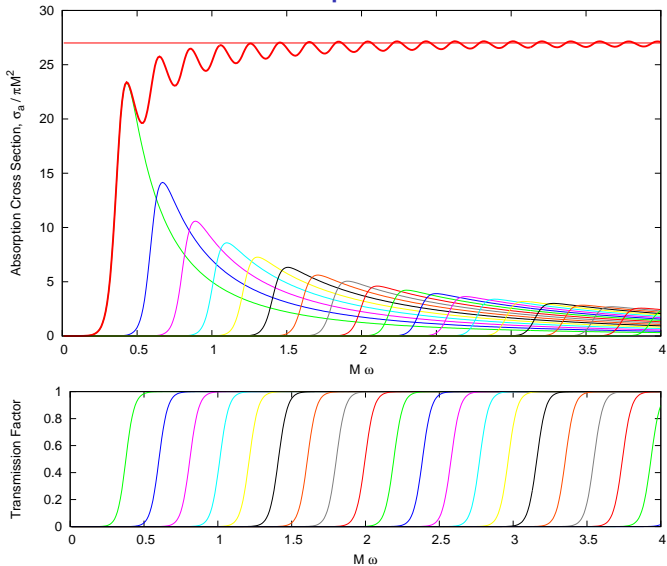
Schwarzschild Scattering Cross Section $\frac{d\sigma}{d\Omega}$



Schwarzschild Scattering: $s = 0$ versus $s = 2$



Schwarzschild Absorption Cross Section σ_a



Q. How do these results change when the black hole is **rotating**?

N.B. Today, I will only consider the case when the wave is **incident along the rotation axis.**)

Q. How do these results change when the black hole is **rotating**?

N.B. Today, I will only consider the case when the wave is **incident along the rotation axis.**)

Rotating BH: On-axis Incidence

Scattering cross section [Futterman, Handler & Matzner 1988] :

$$\frac{d\sigma}{d\Omega} = |f(\theta)|^2 + |g(\theta)|^2$$

$$f(\theta) = \frac{\pi}{i\omega} \sum_{l,P=\pm 1} [\exp(2i\delta_{l2}^P) - 1] {}_{-2}S_l^2(0) {}_{-2}S_l^2(\theta)$$

$$g(\theta) = \frac{\pi}{i\omega} \sum_{l,P=\pm 1} P(-1)^l [\exp(2i\delta_{l2}^P) - 1] {}_{-2}S_l^2(0) {}_{-2}S_l^2(\pi - \theta)$$

- ${}_sS_l^m(\theta)$ are the **spin-weighted spheroidal harmonics**
- $g(\theta)$ is **spin-flip amplitude** (e.g. left-handed \leftrightarrow right-handed).

Rotating BH: On-axis Incidence

Scattering cross section [Futterman, Handler & Matzner 1988] :

$$\frac{d\sigma}{d\Omega} = |f(\theta)|^2 + |g(\theta)|^2$$

$$f(\theta) = \frac{\pi}{i\omega} \sum_{l,P=\pm 1} [\exp(2i\delta_{l2}^P) - 1] {}_{-2}S_l^2(0) {}_{-2}S_l^2(\theta)$$

$$g(\theta) = \frac{\pi}{i\omega} \sum_{l,P=\pm 1} P(-1)^l [\exp(2i\delta_{l2}^P) - 1] {}_{-2}S_l^2(0) {}_{-2}S_l^2(\pi - \theta)$$

- ${}_sS_l^m(\theta)$ are the **spin-weighted spheroidal harmonics**
- $g(\theta)$ is **spin-flip amplitude** (e.g. left-handed \leftrightarrow right-handed).

Rotating BH: On-axis Incidence

Scattering cross section [Futterman, Handler & Matzner 1988] :

$$\frac{d\sigma}{d\Omega} = |f(\theta)|^2 + |g(\theta)|^2$$

$$f(\theta) = \frac{\pi}{i\omega} \sum_{l,P=\pm 1} [\exp(2i\delta_{l2}^P) - 1] {}_{-2}S_l^2(0) {}_{-2}S_l^2(\theta)$$

$$g(\theta) = \frac{\pi}{i\omega} \sum_{l,P=\pm 1} P(-1)^l [\exp(2i\delta_{l2}^P) - 1] {}_{-2}S_l^2(0) {}_{-2}S_l^2(\pi - \theta)$$

- ${}_sS_l^m(\theta)$ are the **spin-weighted spheroidal harmonics**
- $g(\theta)$ is **spin-flip amplitude** (e.g. left-handed \leftrightarrow right-handed).

Parity-Dependence

- The phase shifts δ_{lm}^P are **parity-dependent**:

$$\exp(2i\delta_{lm}^+) = \frac{\text{Re}(C) + 12iM\omega}{\text{Re}(C) - 12iM\omega} \exp(2i\delta_{lm}^-)$$

where

$$|\text{Re}(C)|^2 = \left((\lambda + 2)^2 + 4a\omega m - 4a^2\omega^2 \right) (\lambda^2 + 36a\omega m - 36a^2\omega^2) \\ + (2\lambda + 3)(96a^2\omega^2 - 48a\omega m) - 144a^2\omega^2$$

- Hence $g \neq 0$ and **helicity is not conserved!**
- \Rightarrow Non-zero flux in backward-scattering direction. For $a = 0$,

$$\frac{d\sigma}{d\Omega}(\theta = \pi) = |g(\theta)|^2 = M^2$$

- \Rightarrow (Linearised) grav. field on BH background **does not** behave as pure spin-2 field

Parity-Dependence

- The phase shifts δ_{lm}^P are **parity-dependent**:

$$\exp(2i\delta_{lm}^+) = \frac{\operatorname{Re}(C) + 12iM\omega}{\operatorname{Re}(C) - 12iM\omega} \exp(2i\delta_{lm}^-)$$

where

$$\begin{aligned} |\operatorname{Re}(C)|^2 = & \left((\lambda + 2)^2 + 4a\omega m - 4a^2\omega^2 \right) (\lambda^2 + 36a\omega m - 36a^2\omega^2) \\ & + (2\lambda + 3)(96a^2\omega^2 - 48a\omega m) - 144a^2\omega^2 \end{aligned}$$

- Hence $g \neq 0$ and **helicity is not conserved!**
- \Rightarrow Non-zero flux in backward-scattering direction. For $a = 0$,

$$\frac{d\sigma}{d\Omega}(\theta = \pi) = |g(\theta)|^2 = M^2$$

- \Rightarrow (Linearised) grav. field on BH background **does not** behave as pure spin-2 field

Parity-Dependence

- The phase shifts δ_{lm}^P are **parity-dependent**:

$$\exp(2i\delta_{lm}^+) = \frac{\text{Re}(C) + 12iM\omega}{\text{Re}(C) - 12iM\omega} \exp(2i\delta_{lm}^-)$$

where

$$|\text{Re}(C)|^2 = \left((\lambda + 2)^2 + 4a\omega m - 4a^2\omega^2 \right) (\lambda^2 + 36a\omega m - 36a^2\omega^2) \\ + (2\lambda + 3)(96a^2\omega^2 - 48a\omega m) - 144a^2\omega^2$$

- Hence $g \neq 0$ and **helicity is not conserved!**
- \Rightarrow Non-zero flux in backward-scattering direction. For $a = 0$,

$$\frac{d\sigma}{d\Omega}(\theta = \pi) = |g(\theta)|^2 = M^2$$

- \Rightarrow (Linearised) grav. field on BH background **does not** behave as pure spin-2 field

Parity-Dependence

- The phase shifts δ_{lm}^P are **parity-dependent**:

$$\exp(2i\delta_{lm}^+) = \frac{\operatorname{Re}(C) + 12iM\omega}{\operatorname{Re}(C) - 12iM\omega} \exp(2i\delta_{lm}^-)$$

where

$$\begin{aligned} |\operatorname{Re}(C)|^2 = & \left((\lambda + 2)^2 + 4a\omega m - 4a^2\omega^2 \right) (\lambda^2 + 36a\omega m - 36a^2\omega^2) \\ & + (2\lambda + 3)(96a^2\omega^2 - 48a\omega m) - 144a^2\omega^2 \end{aligned}$$

- Hence $g \neq 0$ and **helicity is not conserved!**
- \Rightarrow Non-zero flux in backward-scattering direction. For $a = 0$,

$$\frac{d\sigma}{d\Omega}(\theta = \pi) = |g(\theta)|^2 = M^2$$

- \Rightarrow (Linearised) grav. field on BH background **does not** behave as pure spin-2 field

Parity-Dependence

- The phase shifts δ_{lm}^P are **parity-dependent**:

$$\exp(2i\delta_{lm}^+) = \frac{\operatorname{Re}(C) + 12iM\omega}{\operatorname{Re}(C) - 12iM\omega} \exp(2i\delta_{lm}^-)$$

where

$$\begin{aligned} |\operatorname{Re}(C)|^2 = & \left((\lambda + 2)^2 + 4a\omega m - 4a^2\omega^2 \right) (\lambda^2 + 36a\omega m - 36a^2\omega^2) \\ & + (2\lambda + 3)(96a^2\omega^2 - 48a\omega m) - 144a^2\omega^2 \end{aligned}$$

- Hence $g \neq 0$ and **helicity is not conserved!**
- \Rightarrow Non-zero flux in backward-scattering direction. For $a = 0$,

$$\frac{d\sigma}{d\Omega}(\theta = \pi) = |g(\theta)|^2 = M^2$$

- \Rightarrow (Linearised) grav. field on BH background **does not** behave as pure spin-2 field

Computing Phase Shifts

Numerical Method:

- Solve radial Teukolsky equation with ingoing boundary condition at (outer) horizon, $R(r) \sim |r - r_+|^{-i\tilde{\omega}r}$.
- 'Peeling' behaviour ($R_{out} \sim r^3 e^{i\omega r^*}$ and $R_{in} \sim r^{-1} e^{-i\omega r^*}$) makes things numerically awkward.
- Use Sasaki and Nakamura transformation, $\chi(R, R')$, so that $\chi_{out} \sim e^{i\omega r^*}$ and $\chi_{in} \sim e^{-i\omega r^*}$
- Numerically integrate outwards a Taylor series expansion to 5th order in $|r - r_+|$, matching onto expansions at $r \rightarrow \infty$ to 10th order in $1/r$.

Computing Phase Shifts

Numerical Method:

- Solve radial Teukolsky equation with ingoing boundary condition at (outer) horizon, $R(r) \sim |r - r_+|^{-i\tilde{\omega}r}$.
- **'Peeling'** behaviour ($R_{out} \sim r^3 e^{i\omega r^*}$ and $R_{in} \sim r^{-1} e^{-i\omega r^*}$) makes things numerically awkward.
- Use **Sasaki and Nakamura** transformation, $\chi(R, R')$, so that $\chi_{out} \sim e^{i\omega r^*}$ and $\chi_{in} \sim e^{-i\omega r^*}$
- Numerically integrate outwards a Taylor series expansion to 5th order in $|r - r_+|$, matching onto expansions at $r \rightarrow \infty$ to 10th order in $1/r$.

Computing Phase Shifts

Numerical Method:

- Solve radial Teukolsky equation with ingoing boundary condition at (outer) horizon, $R(r) \sim |r - r_+|^{-i\tilde{\omega}r}$.
- **'Peeling'** behaviour ($R_{out} \sim r^3 e^{i\omega r^*}$ and $R_{in} \sim r^{-1} e^{-i\omega r^*}$) makes things numerically awkward.
- Use **Sasaki and Nakamura** transformation, $\chi(R, R')$, so that $\chi_{out} \sim e^{i\omega r^*}$ and $\chi_{in} \sim e^{-i\omega r^*}$
- Numerically integrate outwards a Taylor series expansion to 5th order in $|r - r_+|$, matching onto expansions at $r \rightarrow \infty$ to 10th order in $1/r$.

Computing Phase Shifts

Numerical Method:

- Solve radial Teukolsky equation with ingoing boundary condition at (outer) horizon, $R(r) \sim |r - r_+|^{-i\tilde{\omega}r}$.
- 'Peeling' behaviour ($R_{out} \sim r^3 e^{i\omega r^*}$ and $R_{in} \sim r^{-1} e^{-i\omega r^*}$) makes things numerically awkward.
- Use **Sasaki and Nakamura** transformation, $\chi(R, R')$, so that $\chi_{out} \sim e^{i\omega r^*}$ and $\chi_{in} \sim e^{-i\omega r^*}$
- Numerically integrate outwards a Taylor series expansion to 5th order in $|r - r_+|$, matching onto expansions at $r \rightarrow \infty$ to 10th order in $1/r$.

Calculating Spin-Weighted Spheroidal Harmonics

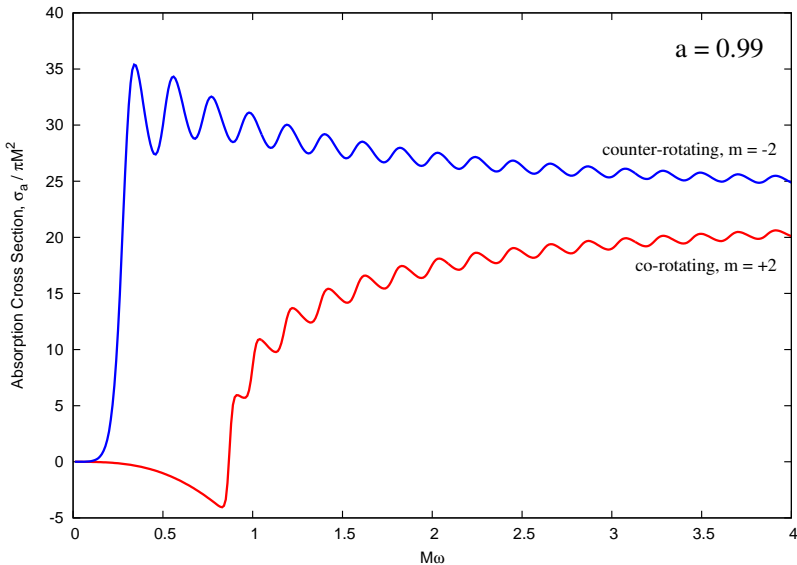
Method:

- Expand spheroidal harmonics $_{-2}S_l^2$ in spherical harmonics $_{-2}Y_l^m$:

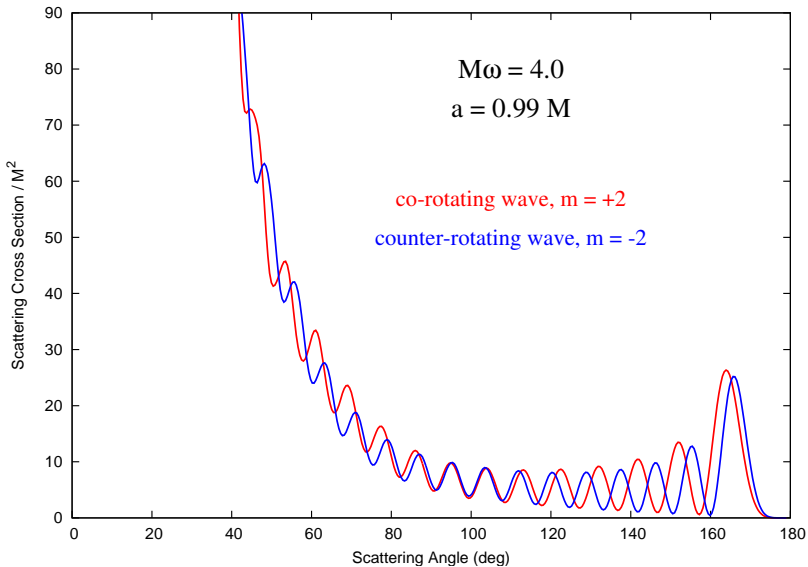
$$_{-2}S_l^m(\theta; a\omega) = \sum_j b_{lj} {}_{-2}Y_j^m(\theta)$$

- Solve eigenvalue equation: $\mathbf{A} \mathbf{b} = -E_{lm} \mathbf{b}$
- \mathbf{A} is quin-diagonal \Rightarrow **fast method**
- Compute eigenfunctions using recurrence relations [S.A. Hughes (2000)] .

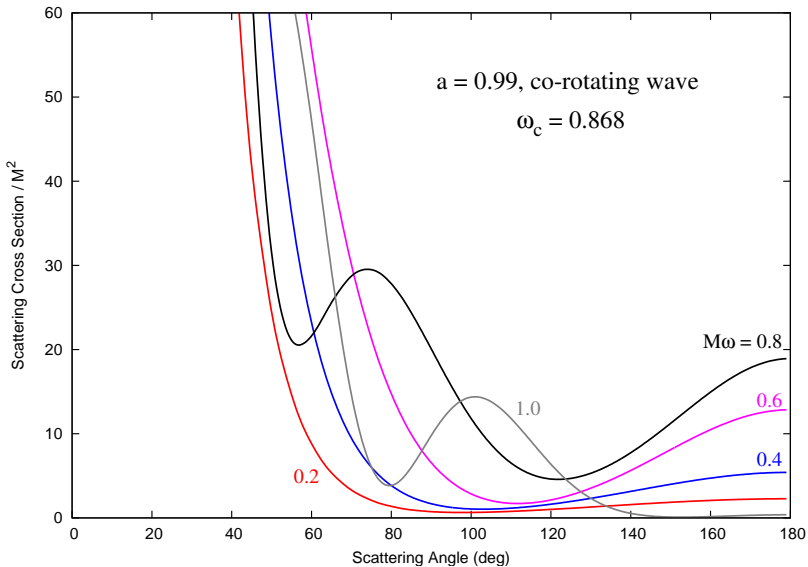
Kerr Absorption Cross Section: $a = 0.99$



Kerr Scattering: $a = 0.99$



Superradiant Kerr Scattering: $a = 0.99$



Flux in Backward Direction

- Back-scattered flux carries ang. momentum with **same sense** as BH spin.
- Backward flux mainly from **superradiant** mode ($l = 2$, $m = 2$)

$$\frac{d\sigma}{d\Omega} \propto M^2 \left| {}_{-2}S_2^2(0) \right|^2 \frac{\mathcal{R}}{|\text{Re}(C)|^2}$$

Flux in Backward Direction

- Back-scattered flux carries ang. momentum with **same sense** as BH spin.
- Backward flux mainly from **superradiant** mode ($l = 2$, $m = 2$)

$$\frac{d\sigma}{d\Omega} \propto M^2 \left| {}_{-2}S_2^2(0) \right|^2 \frac{\mathcal{R}}{|\text{Re}(C)|^2}$$

Conclusions

- BH grav. wave scattering **violates helicity conservation**.
- Long-range force \Rightarrow **divergence on-axis** $d\sigma/d\Omega \sim 1/\theta^4$
- Unstable orbits \Rightarrow **glory scattering** oscillations.
- BH rotation \Rightarrow enhanced **back-scattering** ($\sim \times 20$).
- **Further work:** diffraction patterns from higher-dimensional BHs?

References:

- **Monograph:** *Scattering from black holes*. Futterman, Handler & Matzner (1988) Cambridge.
- **Long-wavelength:** P.J. Westervelt *PRD* **3** (1971) p2319; P.C. Peters *PRD* **13** (1976) p775; W.K. de Logi & S.J. Kovacs *PRD* **16** (1977) p237.
- **Glory scattering:** Zhang & DeWitt-Morette *PRL* **52** (1984) p2313; Anninos *et al.* *PRD* **46** (1992) p4477.
- **Radial & angular eqns:** S.A. Hughes *PRD* **61** (2000) 084004; M. Sasaki & T. Nakamura *Prog. Theor. Phys.* **67** (1982) p1788.
- **Scalar scattering from rotating BH:** K. Glampedakis & N. Andersson. *Class. Q. Grav.* **18** (2001) p1939.