

# The emergence of spacetime in IIB string theory

A study of the complex Langevin method applied on the IKKT model and variants

STRATOS PAPADOUDIS

Physics Department  
School of Applied Mathematics and Physical Sciences  
National Technical University of Athens

2023/10/18 11:30 EEST



In collaboration with

KONSTANTINOS N. ANAGNOSTOPOULOS

Physics Department, School of Applied Mathematical and Physical Sciences, National Technical University of Athens

TAKEHIRO AZUMA

Institute for Fundamental Sciences, Setsunan University

KOHTA HATAKEYAMA

Theory Center, Institute of Particle and Nuclear Studies, High Energy Accelerator Research Organization

MITSUAKI HIRASAWA

Sezione di Milano-Bicocca, Istituto Nazionale di Fisica Nucleare

YUTA ITO

National Institute of Technology, Tokuyama College

JUN NISHIMURA

Theory Center, Institute of Particle and Nuclear Studies, High Energy Accelerator Research Organization

Department of Particle and Nuclear Physics, School of High Energy Accelerator Science, Graduate University for Advanced Studies

ASATO TSUCHIYA

Department of Physics, Shizuoka University



# Introduction

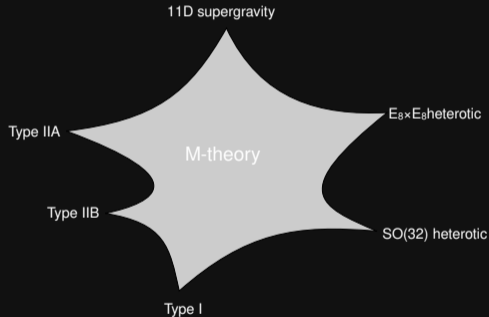
## Standard Model of Elementary Particles

		three generations of matter (fermions)			interactions / force carriers (bosons)	
		I	II	III		
mass		$\approx 2.2 \text{ MeV}/c^2$	$\approx 1.28 \text{ GeV}/c^2$	$\approx 173.1 \text{ GeV}/c^2$	0	$\approx 124.97 \text{ GeV}/c^2$
charge		$\frac{2}{3}$	$\frac{2}{3}$	$\frac{2}{3}$	0	0
spin		$\frac{1}{2}$	$\frac{1}{2}$	$\frac{1}{2}$	1	0
		<b>u</b> up	<b>c</b> charm	<b>t</b> top	<b>g</b> gluon	<b>H</b> higgs
<b>QUARKS</b>		$\approx 4.7 \text{ MeV}/c^2$	$\approx 96 \text{ MeV}/c^2$	$\approx 4.18 \text{ GeV}/c^2$	0	
		$-\frac{1}{3}$	$-\frac{1}{3}$	$-\frac{1}{3}$	0	
		$\frac{1}{2}$	$\frac{1}{2}$	$\frac{1}{2}$	1	
		<b>d</b> down	<b>s</b> strange	<b>b</b> bottom	<b><math>\gamma</math></b> photon	
		$\approx 0.511 \text{ MeV}/c^2$	$\approx 105.66 \text{ MeV}/c^2$	$\approx 1.7768 \text{ GeV}/c^2$	$\approx 91.19 \text{ GeV}/c^2$	
		-1	-1	-1	0	
		$\frac{1}{2}$	$\frac{1}{2}$	$\frac{1}{2}$	1	
		<b>e</b> electron	<b><math>\mu</math></b> muon	<b><math>\tau</math></b> tau	<b>Z</b> Z boson	
<b>LEPTONS</b>		$< 1.0 \text{ eV}/c^2$	$< 0.17 \text{ MeV}/c^2$	$< 18.2 \text{ MeV}/c^2$	$\approx 80.360 \text{ GeV}/c^2$	
		0	0	0	$\pm 1$	
		$\frac{1}{2}$	$\frac{1}{2}$	$\frac{1}{2}$	1	
		<b><math>\nu_e</math></b> electron neutrino	<b><math>\nu_\mu</math></b> muon neutrino	<b><math>\nu_\tau</math></b> tau neutrino	<b>W</b> W boson	

- In the Standard model all interactions are unified in one description but gravity:
- A theory of quantum gravity has several problems:
  - Its classical analog (General Relativity) is different from the other classical field theories.
  - It is much weaker than other interactions, probing prohibitively high energy physics.



# Introduction



- String theory offers a solution:
  - Provides a unified description of all interactions.
  - Different string theories are connected via dualities
- String theory has its own problems
  - The timespace dimensions are 10 contrary to 4.
  - Still probing prohibitively high energy physics.



# Outline

- ① Complex Langevin Method (CLM)
  - Monte Carlo
  - The method
  - Application to (computational) physics
  
- ② Type IIB superstring theory
  - The IKKT matrix model
  - The Euclidean IKKT model
  - Lorentzian IKKT model and variants



# Outline

## 1 Complex Langevin Method (CLM)

- Monte Carlo
- The method
- Application to (computational) physics

## 2 Type IIB superstring theory

- The IKKT matrix model
- The Euclidean IKKT model
- Lorentzian IKKT model and variants



# Baseline

Assume a functional  $O : V \rightarrow \mathbb{R}$  on a measurable real vector space  $V$  and a probability measure  $w : \mathcal{B}(V) \rightarrow \mathbb{R}_+$  on the Borel sets  $\mathcal{B}(V)$ .<sup>1</sup>

Expectation value:

$$\langle O \rangle = \int O dw$$

Sample configurations in  $V$  and measure  $O$  in real time  $T$ , with probability  $w$  to approximate the integral as:

$$\langle O \rangle = \sum_{\tau \in T} O_\tau$$

---

<sup>1</sup>Usually induced from a measure  $\mu : \mathcal{F} \rightarrow \mathbb{R}_+$  on a  $\sigma$ -algebra  $\mathcal{F}$  of a sample space  $\Omega$  via a random variable (measurable function)  $\Omega \rightarrow V$ .



# Problems

## Sign problem

A set function  $w : \mathcal{B}(V) \rightarrow \mathbb{C}$  is ill-defined as a measure.<sup>2</sup>

## Overlap problem

However, the phase-quenched one  $|w| : \mathcal{B}(V) \rightarrow \mathbb{R}_+$  can be (come) a measure:<sup>3</sup>

$$\langle O \rangle_0 = \int O d|w|$$

Re-weighting suggests calculating two well-defined integrals:

$$\langle O \rangle = \frac{\langle O \exp i \arg w \rangle_0}{\langle \exp i \arg w \rangle_0}$$

---

<sup>2</sup> $\mathbb{C}$  is neither well-ordered nor bounded from below like  $\mathbb{R}_+$ .

<sup>3</sup> $\Re w : \mathcal{B}(V) \rightarrow \mathbb{R}$  is not, as it is still unbounded from below.





# Solutions

Monte Carlo simulations rely on probability weight  $w$  for fast convergence.

If  $w$  is complex, two mainstream approaches are popular in the bibliography:

- Make  $\arg w$  static via deforming the integration contours, (Lefshetz Thimbles)<sup>4</sup>
- Map the theory to a (thermalized) stochastic process, with which effective configuration space sampling may be possible. (Complex Langevin)<sup>5</sup>

---

<sup>4</sup>Alexandru, Basar, Bedaque, Ridgway, and Warrington 2016

<sup>5</sup>Aarts, James, Seiler, and Stamatescu 2011; Aarts, Seiler, and Stamatescu 2010; Klauder 1984; Parisi 1983



# Outline

## 1 Complex Langevin Method (CLM)

- Monte Carlo
- **The method**
- Application to (computational) physics

## 2 Type IIB superstring theory

- The IKKT matrix model
- The Euclidean IKKT model
- Lorentzian IKKT model and variants



# $\mathbb{R}$ Langevin

Assume stochastic process  $\phi : T \times V \longrightarrow \mathbb{R}$  is generated by stochastic differential equation

$$d\phi = v d\tau + \sigma d\eta,$$

via standard Wiener (gaussian noise) process  $\eta : T \times V \longrightarrow \mathbb{R}$ , with drift

$$-v = \nabla \log w = \nabla \log |w| \quad ,$$

constant noise variance  $\sigma^2$ , and initial configuration  $\phi_0$ .

This process has well defined probability density given by a corresponding Fokker–Planck equation, equivalent to a corresponding time observable functional  $O$  time evolution

$$\frac{d}{d\tau} \rho = -\mathcal{L}^\dagger \rho \text{ and } \frac{d}{d\tau} \langle O \rangle = \mathcal{L} \langle O \rangle, \mathcal{L}_- = \nabla \cdot (\nabla_- + v_-).$$

The Fokker–Planck hamiltonian  $\mathcal{L}$  spectrum needs to be bounded from below by 0.



## C Langevin

Assume stochastic process  $\phi : T \times V \longrightarrow \mathbb{R}$  is generated by stochastic differential equation

$$d\phi = v d\tau + \sigma d\eta,$$

via standard Wiener (gaussian noise) process  $\eta : T \times V \longrightarrow \mathbb{R}$ , with drift **now complex**

$$-v = \nabla \log w = \nabla \log |w| + i \nabla \arg w,$$

constant noise variance  $\sigma^2$ , and initial configuration  $\phi_0$ .

This process is ill-defined, because the complex drift  $v$  imposes a complex differential  $d\phi$ , while  $\phi$  is a real stochastic process.

$$\frac{d}{d\tau} \rho = -\mathcal{L}^\dagger \rho \text{ and } \frac{d}{d\tau} \langle O \rangle = \mathcal{L} \langle O \rangle, \mathcal{L}_- = \nabla \cdot (\nabla_- + v_-).$$

The Fokker-Planck hamiltonian  $\mathcal{L}$  becomes complex too.



## ℂ Langevin complexified

Assume stochastic process  $\phi : T \times V \rightarrow \mathbb{C}$  is generated by stochastic differential equation

$$d\phi = v d\tau + \sigma d\eta,$$

via standard Wiener (gaussian noise) process  $\eta : T \times V \rightarrow \mathbb{R}$ , with drift **complexified**

$$-v = \nabla \log w,$$

constant noise variance  $\sigma^2$ , and initial configuration  $\phi_0$ .

This process is well-defined. Part of CLM conjecture states that complexified observables evolve according to the original Fokker-Planck hamiltonian

$$\frac{d}{d\tau} \rho = -\mathcal{L}^\dagger \rho \text{ and } \frac{d}{d\tau} \langle O \rangle = \mathcal{L} \langle O \rangle, \mathcal{L}_- = \nabla \cdot (\nabla_- + v_-).$$

The complexification of the degrees of freedom affects  $\nabla$ .



# Complex Langevin validity

## Complex Langevin (strong validity conditions)

$\forall w : \mathcal{B}(V) \rightarrow \mathbb{C}$  theory and  $\forall O : V \rightarrow \mathbb{R}$  observable:

- $\exists O : V \rightarrow \mathbb{C}$  admits a holomorphic analytic continuation by complexification of degrees of freedom,
- The distribution of  $|v| : V \rightarrow \mathbb{R}_+$  falls off at  $|v| \rightarrow \infty$  superexponentially.<sup>6</sup>

## Complex Langevin (strong) theorem

$\forall O : V \rightarrow \mathbb{R}$  satisfying validity conditions, at equilibrium:

$$\langle O \rangle \underbrace{=}_{\text{stochastic quantization}} \int O d\rho \underbrace{=}_{\text{complex langevin}} \int O d\rho = \langle O \rangle$$

<sup>6</sup>Nagata, Jun Nishimura, and Shimasaki 2016a



# Outline

## 1 Complex Langevin Method (CLM)

- Monte Carlo
- The method
- Application to (computational) physics

## 2 Type IIB superstring theory

- The IKKT matrix model
- The Euclidean IKKT model
- Lorentzian IKKT model and variants



# Langevin discretization

Langevin process with time sampling  $\mathbb{N} \rightarrow T$  and  $\Delta\phi_n = \phi_n - \phi_{n-1}, \forall n \in \mathbb{N}$ :

$$d\phi = v d\tau + \sigma d\eta \longrightarrow \Delta\phi_n = v_n \Delta\tau_n + \eta_n \sigma \sqrt{\Delta\tau_n}$$

Expectation over the corresponding Fokker–Planck probability  $\rho$  become sums over the thermalized Langevin process:

$$\int O d\rho \longrightarrow \sum_{n \in \mathbb{N}} O_n$$

Checking drift norm  $|v|$  histogram for large norm fall-off.





# Connection with physics

## Action $S$

The weight density  $w$  stems from an action functional  $S : V \rightarrow \mathbb{K}$  as  $w \propto \exp(-S)$ .

The drift is then  $v \propto -\nabla S$ .

The *sign problem* then becomes a *complex action problem*, as:

$$|w| = \exp(-\Re S) \text{ and } \arg w = -\Im S$$

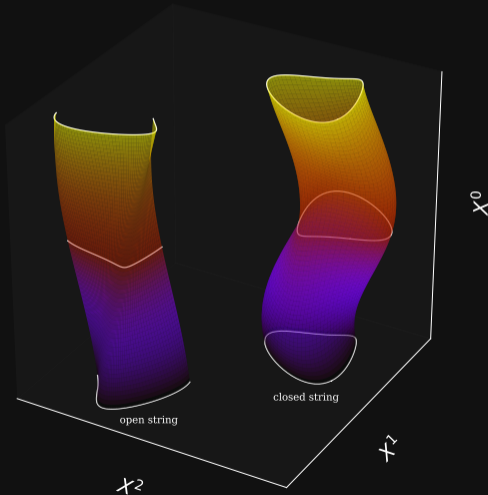


# Outline

- 1 Complex Langevin Method (CLM)
  - Monte Carlo
  - The method
  - Application to (computational) physics
- 2 Type IIB superstring theory
  - The IKKT matrix model
  - The Euclidean IKKT model
  - Lorentzian IKKT model and variants



# The fundamental strings



String theory implies that:

- worldlines  $X : T \rightarrow \mathcal{X}$  become worldsheets  $X : T \times \Sigma \rightarrow \mathcal{X}$ ,
  - point particles become strings with extra degrees of freedom,
  - particle states become string modes,
  - interactions as worldline intersections become worldsheet topologies.

Superstring theory assumes  $\dim \mathcal{X} = 10$  timespace dimensions.



# Type IIB superstring action and the IKKT matrix model

Type IIB is an  $\mathcal{N} = 2$  supersymmetric theory of closed strings with identical chirality.

Type IIB Green–Schwarz action in the Schild gauge <sup>7</sup>:

$$\begin{aligned} g^2 S &= g^2 (S_{\text{boson}} + S_{\text{fermion}}) \\ &= \frac{1}{4} \langle \sqrt{-\det h} \{X^\mu | X^\nu\} \{X_\mu | X_\nu\} \rangle_{T \times \Sigma} - \frac{1}{2} \langle \sqrt{-\det h} \bar{\psi}_\alpha \Gamma^\mu_{\alpha\beta} \{X_\mu | \psi_\beta\} \rangle_{T \times \Sigma} \end{aligned}$$

IKKT matrix model:<sup>8</sup>

$$\begin{aligned} -N^{-1} S &= -N^{-1} (S_{\text{boson}} + S_{\text{fermion}}) \\ &= \frac{1}{4} \text{tr}_{T \times \Sigma} ([A^\mu | A^\nu] [A_\mu | A_\nu]) + \frac{1}{2} \text{tr}_{T \times \Sigma} (\bar{\psi}_\alpha \Gamma^\mu_{\alpha\beta} [A_\mu | \psi_\beta]) \end{aligned}$$

<sup>7</sup>Schild 1977

<sup>8</sup>Ishibashi, Kawai, Kitazawa, and Tsuchiya 1997



# Type IIB superstring and IKKT matrix model correspondence

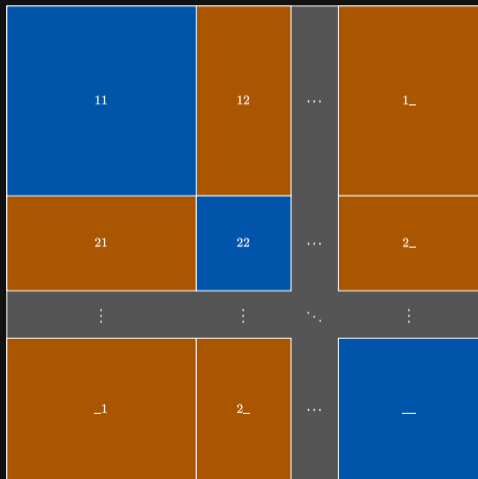
Type IIB string theory	IKKT $N$ -size matrix model
$\partial$	$\rightarrow 0$
$X \in \mathcal{X}^{T \times \Sigma}$	$\rightarrow A \in M_N \mathbb{C}^{\dim \mathcal{X}}$ traceless hermitian
$\psi \in \mathcal{U}^{T \times \Sigma}$	$\rightarrow \psi \in M_N \mathbb{C}^{\dim \mathcal{U}}$ traceless hermitian
$g^{-2}$	$\rightarrow N$
$v\{ \_   \_ \}$	$\rightarrow [ \_   \_ ]$
$\langle \sqrt{-\det h\_} \rangle_{T \times \Sigma}$	$\rightarrow \text{tr}_{T \times \Sigma} \_$

IKKT is also equivalent to zero-volume  $\mathcal{N} = 1$  super Yang-Mills theory.

The model can be defined defined for  $\dim \mathcal{X} = 4, \dim \mathcal{X} = 6, \dim \mathcal{X} = 10$ .



## Second quantization of strings



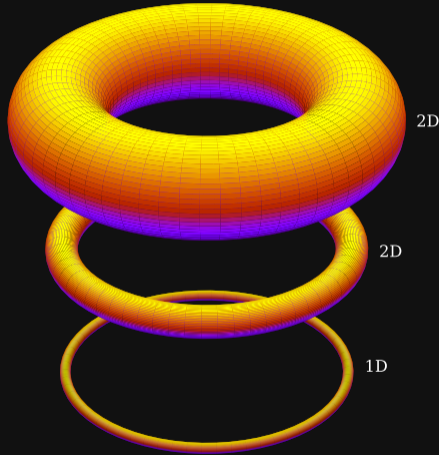
- Long distance  $D$ -brane interactions in type IIB string theory reproduced by 1-loop calculations in IKKT.<sup>a</sup>
- Light cone IIB string field theory derived from Schwinger–Dyson equations for the Wilson loops, identified with string creation/annihilation operators.<sup>b</sup>
- Conjectured to be a nonperturbative definition of the unique superstring theory behind string dualities:
  - reproducible perturbative expansion of type IIB to all orders,
  - timespace coordinates identification with matrix eigenvalues.

<sup>a</sup>Ishibashi, Kawai, Kitazawa, and Tsuchiya 1997

<sup>b</sup>Aoki, Iso, Kawai, Kitazawa, Tsuchiya, and Tada



# Compactification of extra dimensions



- These identifications allow the study of
  - the dynamical emergence of timespace
  - the dynamical compactification of extra dimensions
  - the time evolution of space as an expansion of the universe
- Requirements
  - homogenous and infinite time at  $N \rightarrow \infty$
  - 3-dimensional expanding with timespace at  $t \rightarrow \infty$
  - real timespace with Lorentzian metric signature



# Outline

- 1 Complex Langevin Method (CLM)
  - Monte Carlo
  - The method
  - Application to (computational) physics
- 2 Type IIB superstring theory
  - The IKKT matrix model
  - The Euclidean IKKT model
  - Lorentzian IKKT model and variants

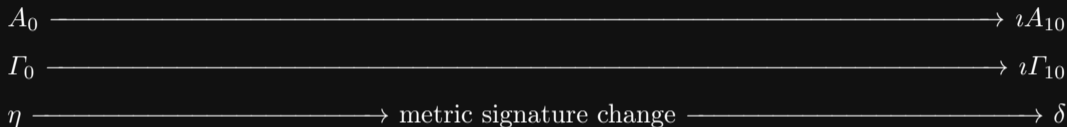




# Wick rotation

Lorentzian

Euclidean



Dynamical compactification is realised as a rotational symmetry breaking:

$$\text{for } D < \dim \mathcal{X}, \text{SO}_{\dim \mathcal{X}} \longrightarrow \text{SO}_D$$

Model well defined in  $\dim \mathcal{X} = 4, 6$  and 10 dimensions.

Corresponding models studied under the analytical/approximate Gaussian Expansion Method.<sup>9</sup>

<sup>9</sup>Aoyama, Jun Nishimura, and Toshiyuki Okubo 2011; J. Nishimura, T. Okubo, and F. Sugino 2005; Jun Nishimura, Toshiyuki Okubo, and Fumihiko Sugino 2011



# Langevin dynamics

Integrating fermions out of expectation integrals, a bosonic-only effective action stems:

$$S_{\text{effective}} = S_{\text{boson}} - \log \text{pf } \mathcal{M}$$

$\mathcal{M}$  is the fermion matrix for which  $(\mathcal{M}\psi)_\alpha = \Gamma_{\mu\alpha\beta}[A_\mu|\psi_\beta]$ .

Bosonic drift:

$$v_{\text{boson}}|_\mu = -\frac{1}{2}N \text{tr}[A_\nu|[A_\nu|A_\mu]]$$

Fermionic drift (singular):

$$v_{\text{fermion}}|_\mu = \frac{1}{2} \text{tr} \left( \frac{\partial \mathcal{M}}{\partial A_\mu^\top} \mathcal{M}^{-1} \right)$$



# Solving problems

## Complexification in the bosonic degrees of freedom

$A_\mu$  traceless hermitian  $\longrightarrow$   $A_\mu$  traceless

## Running away in the imaginary direction

The action has gauge symmetries, which can bring  $A_\mu$  as close to hermitian as possible.<sup>10</sup>

## Singular fermion drift

A deformation is added to shift the spectrum of  $\mathcal{M}$  away from the origin:<sup>11</sup>

$$\Delta S_{\text{fermion}} = \frac{1}{2} N m_{\text{fermion}} \text{tr}_{T \times \Sigma} (\bar{\psi}_\alpha \gamma_{\alpha\beta} (\Gamma) \psi_\beta)$$

---

<sup>10</sup>Nagata, Jun Nishimura, and Shimasaki 2016b

<sup>11</sup>Anagnostopoulos, Azuma, Ito, Jun Nishimura, Toshiyuki Okubo, and Papadoudis 2020;  
Anagnostopoulos, Azuma, Ito, Jun Nishimura, and Papadoudis 2018; Ito and Jun Nishimura 2016



# Spontaneous symmetry breaking

Normally, the ordered eigenvalues provide the length scales for each direction, but after complexification they become non-holomorphic observables!

Use the diagonal elements  $T_{\mu\mu}$  of the moment of inertia instead and manually break the rotational symmetry  $\text{SO}_{\dim \mathcal{X}}$  with a deformation of the form

$$\Delta S_{\text{boson}} = \frac{1}{2} N^2 \varepsilon \sum_{\mu} m_{\text{boson}} |_{\mu} T_{\mu\mu}, \quad T_{\mu\mu} = N^{-1} \text{tr}_{T \times \Sigma} (A_{\mu} A_{\mu}),$$

where anisotropy is controlled by the mass vector  $m_{\text{boson}}$ .<sup>12</sup>

$$\rho_{\mu} = \frac{\langle T_{\mu\mu} \rangle}{\sum_{\mu} \langle T_{\mu\mu} \rangle},$$

with  $\lim_{m_{\text{fermion}} \rightarrow 0} \lim_{\varepsilon \rightarrow 0} \lim_{N \rightarrow 0} \rho_{\mu}$  extrapolated on CLM valid runs.

<sup>12</sup>Anagnostopoulos, Azuma, Ito, Jun Nishimura, Toshiyuki Okubo, and Papadoudis 2020;  
Anagnostopoulos, Azuma, Ito, Jun Nishimura, and Papadoudis 2018



# Simulation

- Choose an initial configuration  $A(0)$ .
- Simulate the Complex Langevin stochastic process with adaptive timestep:

$$\Delta A(\tau_n) = v(A(\tau_n))\Delta\tau_n + \eta(\tau_n)\sigma\sqrt{\Delta\tau_n}.$$

- Evaluate  $\Delta\tau_n$  based on  $|v(A(\tau_{n-1}))|$ .
- At each timestep  $\tau_n$ , gauge-cool the current configuration  $A(\tau_n)$  before the next update.
- Evaluate fermion drift  $v_{\text{fermion}}$  by noisy estimators

$$\text{tr} \left( \frac{\partial \mathcal{M}}{\partial A_{\mu}^{\dagger}} \mathcal{M}^{-1} \right) = \left\langle \eta^* \frac{\partial}{\partial A_{\mu}^{\dagger}} \mathcal{M} \chi \right\rangle, \chi = \mathcal{M}^{-1} \eta \text{ as a solution of } \mathcal{M}^{\dagger} \mathcal{M} \chi = \mathcal{M}^{\dagger} \eta$$

solved with the conjugate-gradient method, with a computation shorthand for  $\mathcal{M}$

$$(\mathcal{M}\psi)_{\alpha} = \Gamma_{\mu\alpha\beta} [A_{\mu} |\psi_{\beta}]$$

- Wait for the stochastic process to thermalize adequately.
- Measure  $T_{\mu\mu}$  diagonal values and order them by  $\Re T_{\mu\mu}, \forall \mu \in \mathbb{N}_{\dim \mathcal{X}}$ .

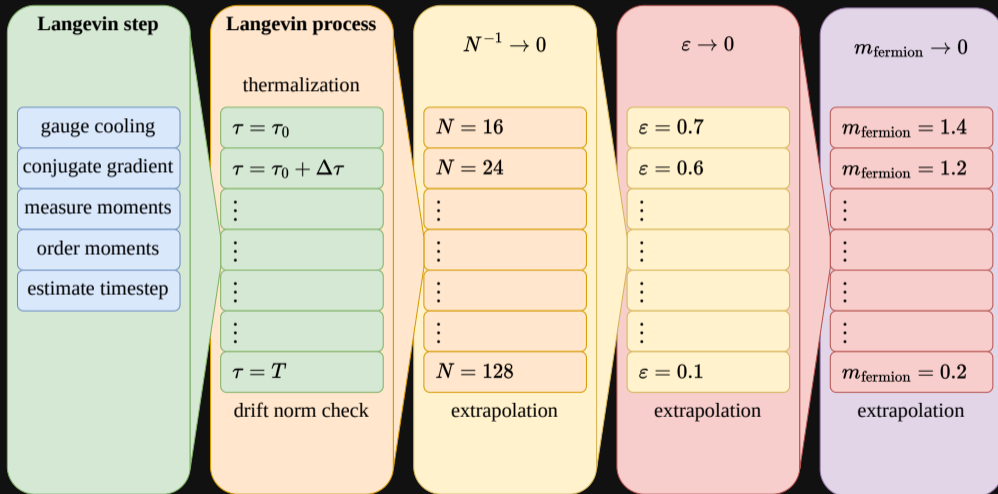


# Simulations

- At the end of each run, discard it or not based on the histogram of the drift norm  $|v|$ .
- Estimate  $\langle T_{\mu\mu} \rangle$ ,  $\forall \mu \in \mathbb{N}_{\dim \mathcal{X}}$ .
- Repeat simulations for several  $N$  sizes to extrapolate at  $N^{-1} \rightarrow 0$ .
- Repeat batches of simulations for several values of  $\varepsilon$  to get  $\varepsilon \rightarrow 0$ .
- Repeat batches of batches of simulations for several values of  $m_{\text{fermion}}$ .



# Simulation recipe



# Resources

## ARIS<sup>13</sup>

6072731 core hours

23277 core hours/week

## Fugaku<sup>14</sup>

3737446 node hours

35814 node hours/week

## Oakbridge<sup>15</sup>

306624 node hours

2938 node hours/week

---

<sup>13</sup><https://www.hpc.grnet.gr/>

<sup>14</sup><https://www.fujitsu.com/global/about/innovation/fugaku/>

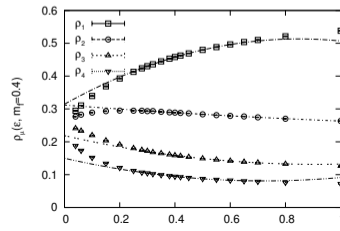
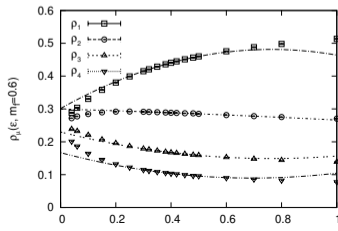
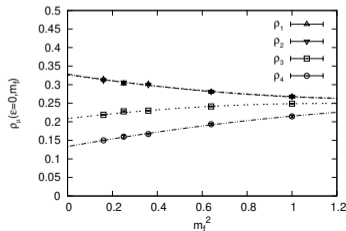
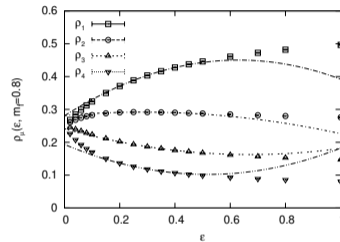
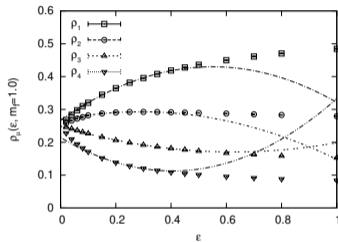
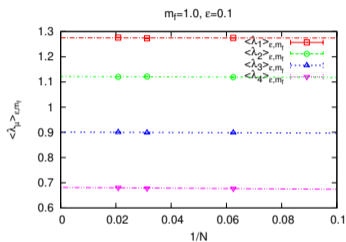
<sup>15</sup><https://www.cc.u-tokyo.ac.jp/en/supercomputer/obcx/service/>





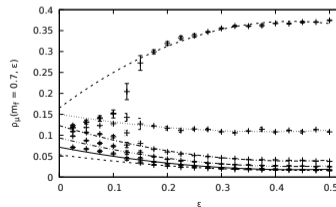
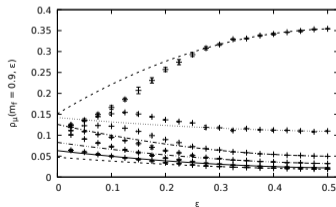
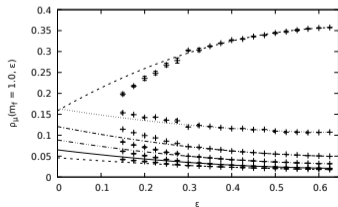
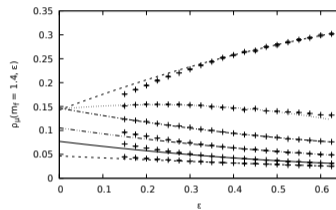
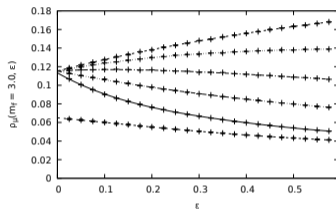
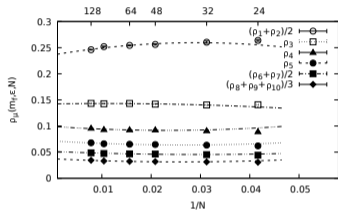
$\dim \mathcal{X} = 6$

Anagnostopoulos, Azuma, Ito, Jun Nishimura, and Papadoudis 2018



$\dim \mathcal{X} = 10$

Anagnostopoulos, Azuma, Ito, Jun Nishimura, Toshiyuki Okubo, and Papadoudis 2020



# Comments

Approximating analytic calculations using the Gaussian Expansion Method have shown:

- $SO_6 \rightarrow SO_3$ ,<sup>16</sup> for  $\dim \mathcal{X} = 6$
- $SO_{10} \rightarrow SO_3$ ,<sup>17</sup> for  $\dim \mathcal{X} = 10$

Both these results are confirmed via the Complex Langevin Method!

Difficulties with the  $SO_{10} \rightarrow SO_3$  result:

- Well,  $SO_3$  is not  $SO_4$ !
- Also, all dimensions are of finite extent.

Are these artifacts of the Euclidean model? Lets go study the Lorentzian model!

---

<sup>16</sup>Aoyama, Jun Nishimura, and Toshiyuki Okubo 2011

<sup>17</sup>Jun Nishimura, Toshiyuki Okubo, and Fumihiko Sugino 2011



# Outline

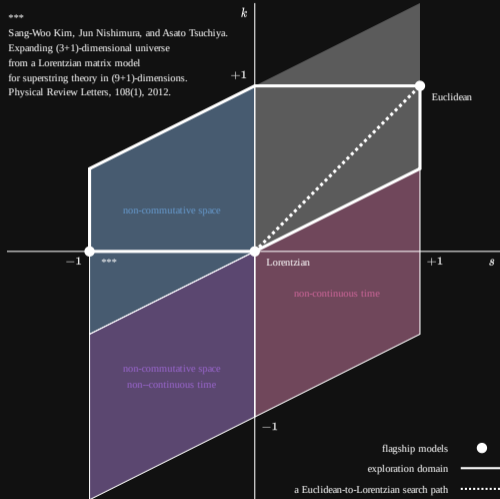
- 1 Complex Langevin Method (CLM)
  - Monte Carlo
  - The method
  - Application to (computational) physics
- 2 Type IIB superstring theory
  - The IKKT matrix model
  - The Euclidean IKKT model
  - Lorentzian IKKT model and variants



# Euclidean IKKT

\*\*\*

Sang-Woo Kim, Jun Nishimura, and Asato Tsuchiya.  
 Expanding (3+1)-dimensional universe  
 from a Lorentzian matrix model  
 for superstring theory in (9+1)-dimensions.  
 Physical Review Letters, 108(1), 2012.



$$N^{-1} S_{\text{boson}}$$

=

$$\frac{1}{4} \text{tr}(F_{ij} F_{ij})$$

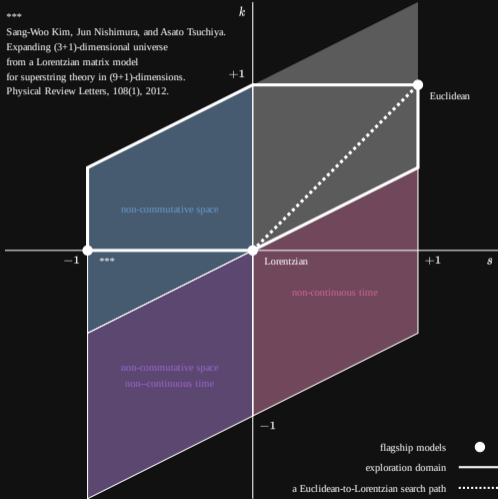
+

$$\frac{1}{2} \text{tr}(F_{0i} F_{0i})$$

with  $F_{\mu\nu} = i[A_\mu, A_\nu]$



# Lorentzian IKKT



$$N^{-1} S_{\text{boson}}$$

=

$$\frac{1}{4} \text{tr}(F_{ij} F_{ij})$$

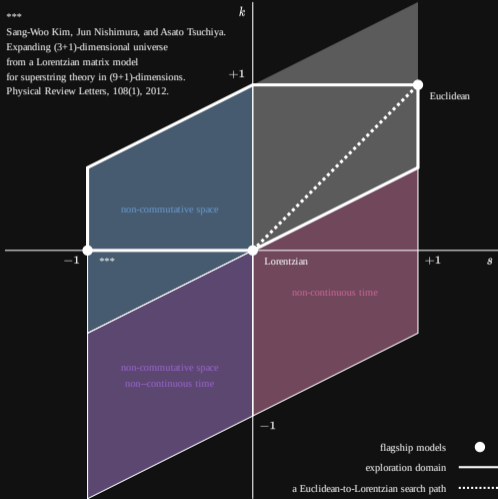
-

$$\frac{1}{2} \text{tr}(F_{0i} F_{0i})$$

with  $F_{\mu\nu} = i[A_\mu, A_\nu]$



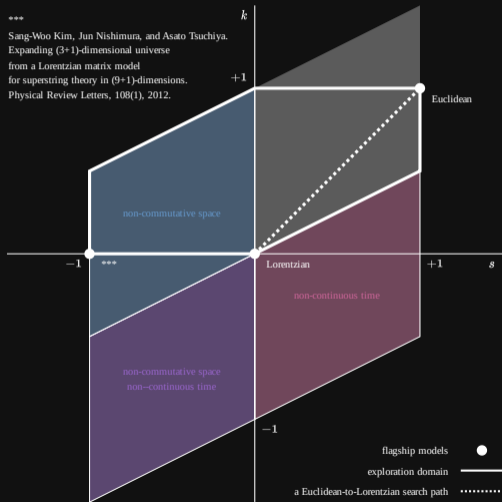
# Lorentzian IKKT theory space



$$\begin{aligned}
 N^{-1}S &= -\imath \exp\left(\imath s \frac{\pi}{2}\right) \left( \frac{1}{4} \text{tr}(F_{ij}F_{ij}) \right. \\
 &\quad \left. - \exp(-\imath k \pi) \frac{1}{2} \text{tr}(F_{0i}F_{0i}) \right) \\
 &\quad \text{with } F_{\mu\nu} = \imath[A_\mu | A_\nu]
 \end{aligned}$$



# Lorentzian IKKT theory space



- The Lorentzian IKKT model is equivalent to the Euclidean model by a contour deformation for  $s = k = u$ :

$$A_0 \rightarrow \exp\left(-\frac{3}{8}vu\right)A_0$$

$$A_i \rightarrow \exp\left(+\frac{1}{8}vu\right)A_i$$

- Wick rotation in target space:

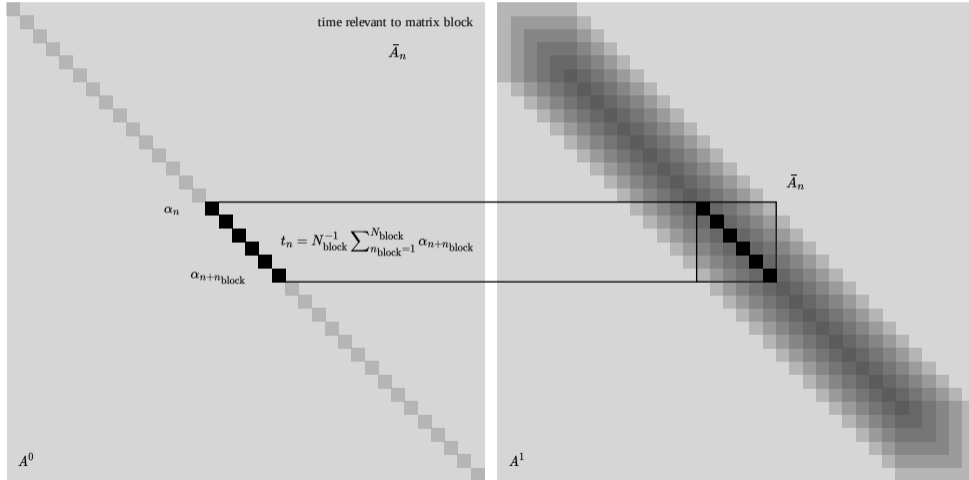
$$A_0 \rightarrow \exp\left(-\frac{1}{2}vu\right)A_0$$

- $\mathcal{M}$  is real but still singular, making the  $m_{\text{fermion}} > 0$  deformation still necessary!





# Lorentzian IKKT time modelling



# Lorentzian–Euclidean IKKT equivalence

Corresponding moment of inertia expectations:

$$\langle T_{00} \rangle_{\text{Lorentzian}} = \langle T_{00} \rangle_{\text{Euclidean}} \exp\left(-i\frac{3}{4}\pi\right) \text{ and } \langle T_{ii} \rangle_{\text{Lorentzian}} = \langle T_{ii} \rangle_{\text{Euclidean}} \exp\left(i\frac{1}{4}\pi\right)$$

where:

$$T_{\mu\nu} = N^{-1} \text{tr } A_{\mu} A_{\nu}$$

The time characteristic slope (phase):

$$\langle \alpha \rangle_{\text{Lorentzian}} = \langle \alpha \rangle_{\text{Euclidean}} \exp\left(-i\frac{3}{8}\pi\right)$$



# Lorentzian IKKT observables

The ordered eigenvalues  $\lambda_i$  of the spatial moment of inertia  $T_{ij}$  are non-holomorphic.

The condition is strong! CLM is still valid, so long an alternative holomorphic computation exists.

It exists! Via Vieta's formula and trace expressions for the characteristic polynomial.

Ordering of the complex(ified) eigenvalues is done via  $\Re\lambda_i$ .

Actual observables are block-averaged like time  $\alpha$ :

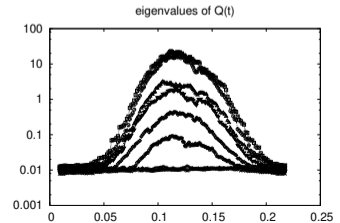
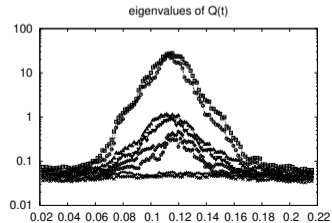
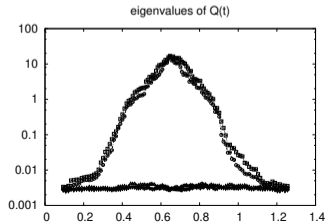
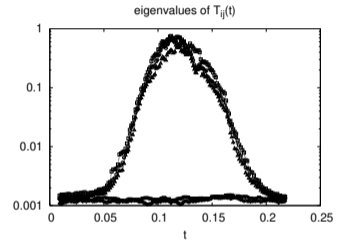
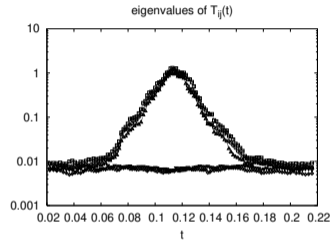
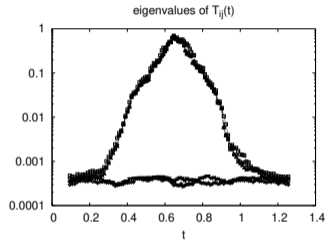
$$\bar{T}_{ij}(t) = n^{-1} \text{tr}_{\text{block}}(\bar{A}_i(t)\bar{A}_j(t)) \text{ and } Q_{ij} = \sum_{i=1}^{\dim \mathcal{X}-1} \bar{A}_i(t)\bar{A}_i(t) \text{ with } t = n^{-1} \text{tr}_{\text{block}} \alpha$$

and  $\bar{A}_i$  denotes the block-submatrices.



# From singular to smoother space

Jun Nishimura and Tsuchiya 2019



# Lorentz-invariant mass term

Introduce a Lorentz-invariant mass term as a regularization:<sup>18</sup>

$$S_{\text{mass}} = -i\frac{1}{2}N\gamma \exp\left(is\frac{\pi}{4}\right) \left( \exp\left(-ik\pi\right) \text{tr}(A_0A_0) - \text{tr}(A_iA_i) \right)$$

- $\gamma \leq 0$  respects Lorentzian-Euclidean equivalence with no *expanding* classical solutions.
- $\gamma > 0$  has interesting classical solutions with *expanding* space!<sup>19</sup>

---

<sup>18</sup>Steinacker 2018

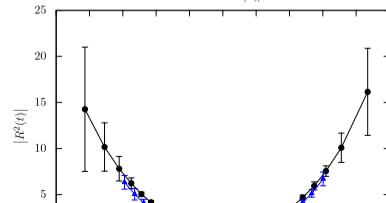
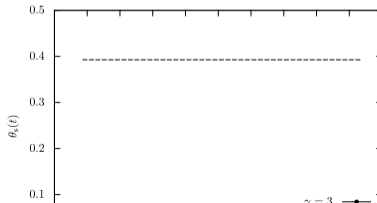
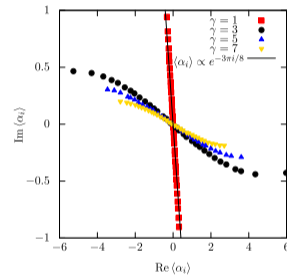
<sup>19</sup>Hatakeyama, Matsumoto, Jun Nishimura, Tsuchiya, and Yosprakob 2020



# $\gamma$ phase transition

Jun Nishimura 2022

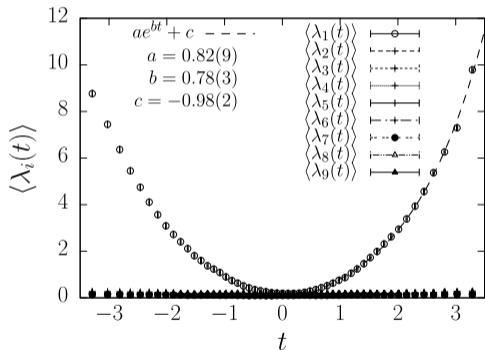
Anagnostopoulos, Azuma, Hatakeyama, Hirasawa, Ito, Jun Nishimura, Papadoudis, and Tsuchiya 2023



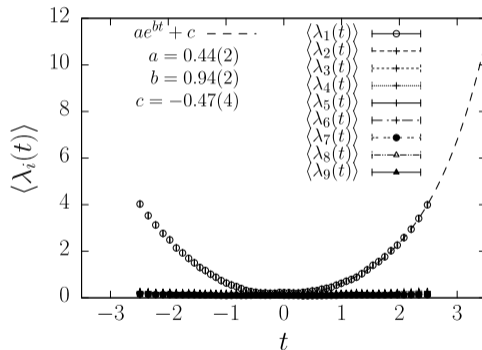
# Towards smaller $\gamma$

Hirasawa, Anagnostopoulos, Azuma, Hatakeyama, Jun Nishimura, Papadoudis, and Tsuchiya 2022

Hirasawa, Anagnostopoulos, Azuma, Hatakeyama, Jun Nishimura, Papadoudis, and Tsuchiya 2023



$\gamma = 2.6$  and  $m_{\text{fermion}} = 10$



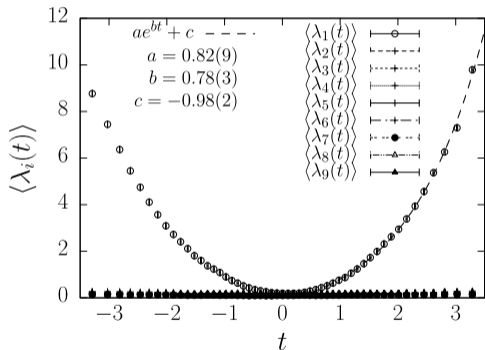
$\gamma = 4.$  and  $m_{\text{fermion}} = 10$



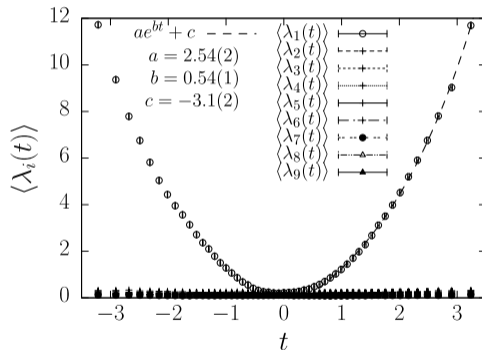
# Towards smaller $m_{\text{fermion}}$

Hirasawa, Anagnostopoulos, Azuma, Hatakeyama, Jun Nishimura, Papadoudis, and Tsuchiya 2022

Hirasawa, Anagnostopoulos, Azuma, Hatakeyama, Jun Nishimura, Papadoudis, and Tsuchiya 2023



$\gamma = 2.6$  and  $m_{\text{fermion}} = 10$



$\gamma = 2.6$  and  $m_{\text{fermion}} = 5$









# Conclusions

- Euclidean IKKT matrix model exhibits  $SO_{10} \longrightarrow SO_3$  spontaneous symmetry breaking:
  - Consistent with the GEM result.
  - Finite spacetime dimensions.
- Lorentzian IKKT matrix model equivalent to Euclidean after all:
  - Not, when adding a Lorentz-invariant mass term with  $\gamma > 0$ .
  - Shows signs of expanding 1 dimensional space (bosonic phase) at  $\gamma \geq 2.6$  and  $m_{\text{fermion}} \geq 5.0$ .
- What is next?
  - 1 dimensional configurations zero pf  $\mathcal{M}$ , so  $m_{\text{fermion}}$  needs to decrease further.
  - Apply techniques that allow reducing  $m_{\text{fermion}}$  further.







## References I

-  Aarts, Gert, Frank A. James, Erhard Seiler, and Ion-Olimpiu Stamatescu (Oct. 2011). “Complex Langevin: etiology and diagnostics of its main problem”. In: *The European Physical Journal C* 71.10. DOI: 10.1140/epjc/s10052-011-1756-5.
-  Aarts, Gert, Erhard Seiler, and Ion-Olimpiu Stamatescu (Mar. 2010). “Complex Langevin method: When can it be trusted?” In: *Physical Review D* 81.5. DOI: 10.1103/physrevd.81.054508.
-  Alexandru, Andrei, Gokce Basar, Paulo F. Bedaque, Gregory W. Ridgway, and Neill C. Warrington (2016). *Sign problem and Monte Carlo calculations beyond Lefschetz thimbles*. arXiv: 1512.08764 [hep-lat].
-  Anagnostopoulos, Konstantinos N., Takehiro Azuma, Kohta Hatakeyama, Mitsuaki Hirasawa, Yuta Ito, Jun Nishimura, Stratos Papadoudis, and Asato Tsuchiya (May 2023). “Progress in the numerical studies of the type IIB matrix model”. In: *The European Physical Journal Special Topics*. DOI: 10.1140/epjs/s11734-023-00849-x.







## References II

-  Anagnostopoulos, Konstantinos N., Takehiro Azuma, Yuta Ito, Jun Nishimura, Toshiyuki Okubo, and Stratos Papadoudis (June 2020). “Complex Langevin analysis of the spontaneous breaking of  $10D$  rotational symmetry in the Euclidean IKKT matrix model”. In: *Journal of High Energy Physics* 2020.6. DOI: 10.1007/jhep06(2020)069.
-  Anagnostopoulos, Konstantinos N., Takehiro Azuma, Yuta Ito, Jun Nishimura, and Stratos Papadoudis (Feb. 2018). “Complex Langevin analysis of the spontaneous symmetry breaking in dimensionally reduced super Yang-Mills models”. In: *Journal of High Energy Physics* 2018.2. DOI: 10.1007/jhep02(2018)151.
-  Aoki, Hajime, Satoshi Iso, Hikaru Kawai, Yoshihisa Kitazawa, Asato Tsuchiya, and Tsukasa Tada (1999). “IIB Matrix Model”. In: *Progress of Theoretical Physics Supplement* 134, pp. 47–83. DOI: 10.1143/ptps.134.47.
-  Aoyama, Tatsumi, Jun Nishimura, and Toshiyuki Okubo (Mar. 2011). “Spontaneous Breaking of the Rotational Symmetry in Dimensionally Reduced Super Yang-Mills Models”. In: *Progress of Theoretical Physics* 125.3, pp. 537–563. DOI: 10.1143/ptp.125.537.








## References III

-  Hatakeyama, Kohta, Akira Matsumoto, Jun Nishimura, Asato Tsuchiya, and Atis Yosprakob (Apr. 2020). “The emergence of expanding space-time and intersecting  $D$ -branes from classical solutions in the Lorentzian type IIB matrix model”. In: *Progress of Theoretical and Experimental Physics* 2020.4. DOI: 10.1093/ptep/ptaa042.
-  Hirasawa, Mitsuaki, Konstantinos N. Anagnostopoulos, Takehiro Azuma, Kohta Hatakeyama, Jun Nishimura, Stratos Papadoudis, and Asato Tsuchiya (2022). *The emergence of expanding space-time in a novel large- $N$  limit of the Lorentzian type IIB matrix model*. arXiv: 2212.10127 [hep-lat].
-  — (2023). *The emergence of expanding space-time in the Lorentzian type IIB matrix model with a novel regularization*. arXiv: 2307.01681 [hep-th].
-  Ishibashi, Nobuyuki, Hikaru Kawai, Yoshihisa Kitazawa, and Asato Tsuchiya (Aug. 1997). “A large- $N$  reduced model as superstring”. In: *Nuclear Physics B* 498.1-2, pp. 467–491. DOI: 10.1016/s0550-3213(97)00290-3.








## References IV

-  Ito, Yuta and Jun Nishimura (Dec. 2016). “The complex Langevin analysis of spontaneous symmetry breaking induced by complex fermion determinant”. In: *Journal of High Energy Physics* 2016.12. DOI: 10.1007/jhep12(2016)009.
-  Klauder, John R. (Apr. 1984). “Coherent-state Langevin equations for canonical quantum systems with applications to the quantized Hall effect”. In: *Phys. Rev. A* 29 (4), pp. 2036–2047. DOI: 10.1103/PhysRevA.29.2036.
-  Nagata, Keitaro, Jun Nishimura, and Shinji Shimasaki (Dec. 2016a). “Argument for justification of the complex Langevin method and the condition for correct convergence”. In: *Physical Review D* 94.11. DOI: 10.1103/physrevd.94.114515.
-  — (July 2016b). “Gauge cooling for the singular-drift problem in the complex Langevin method — a test in Random Matrix Theory for finite density QCD”. In: *Journal of High Energy Physics* 2016.7. DOI: 10.1007/jhep07(2016)073.
-  Nishimura, J., T. Okubo, and F. Sugino (Aug. 2005). “Gaussian Expansion Analysis of a Matrix Model with the Spontaneous Breakdown of Rotational Symmetry”. In: *Progress of Theoretical Physics* 114.2, pp. 487–508. DOI: 10.1143/ptp.114.487.



## References V

-  Nishimura, Jun (2022). *Signature change of the emergent space-time in the IKKT matrix model*. arXiv: 2205.04726 [hep-th].
-  Nishimura, Jun, Toshiyuki Okubo, and Fumihiko Sugino (Oct. 2011). “Systematic study of the SO(10) symmetry breaking vacua in the matrix model for type IIB superstrings”. In: *Journal of High Energy Physics* 2011.10. DOI: 10.1007/jhep10(2011)135.
-  Nishimura, Jun and Asato Tsuchiya (June 2019). “Complex Langevin analysis of the space-time structure in the Lorentzian type IIB matrix model”. In: *Journal of High Energy Physics* 2019.6. DOI: 10.1007/jhep06(2019)077.
-  Parisi, Giorgio (1983). “On complex probabilities”. In: *Physics Letters B* 131.4, pp. 393–395. ISSN: 0370-2693. DOI: [https://doi.org/10.1016/0370-2693\(83\)90525-7](https://doi.org/10.1016/0370-2693(83)90525-7).
-  Schild, Alfred (Sept. 1977). “Classical null strings”. In: *Phys. Rev. D* 16 (6), pp. 1722–1726. DOI: 10.1103/PhysRevD.16.1722.



## References VI

-  Steinacker, Harold C. (Feb. 2018). “Cosmological space-times with resolved Big Bang in Yang-Mills matrix models”. In: *Journal of High Energy Physics* 2018.2. DOI: 10.1007/jhep02(2018)033.

