The Weak Gravity Conjecture with Scalar Fields in AdS

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- Binding energy from effective potentials
- Contribution for scalar fields
 - Tests in special cases

Summary

Introduction: The Positive Binding Conjecture

The Weak Gravity Conjecture

- The Swampland program is motivated by the identification and verification of universal features of quantum gravity.
- A prominent example is the *Weak Gravity Conjecture* [Akani-Hamed, Motl, Nicolis, Vafa '06]

 $\sqrt{2}gqM_P \ge m$

- An equivalent formulation is the *Repulsive-Force Conjecture* [Palti '17; Heidenreich, Reece, Rudelius '19], which requires a consistent gravitational theory, with a U(1) gauge symmetry, must have a self-repulsive charged particle.
- The presents of an additional scalar field leads to a modification in the presence of additional massless scalar fields:

$$2g^2q^2M_p^2 \ge m^2 + \mu^2 M_p^2.$$

Positive Binding Conjecture

• A more appropriate formulation in AdS is the

Positive Binding Conjecture [Aharony, Palti '21]

For a (weakly coupled) gravitational theory with a U(1) gauge field, there should exist at leat one charged particle in the theory, with charge of order one, to have a non-negative self-binding energy.

- Maps to certain convexity properties of charged operators in the holographically dual CFTs
- Demanding positive self-binding energy places constraints on the effective theory

Goal

We determine what those constraints are by calculating the self-binding energy for a charged particle in terms of its couplings.

Setup

 As a prototype model we consider following theory consisting of a charged scalar φ, a set of photons A_i, a graviton h_{µν}:

$$\begin{split} S[\phi,A^i,h] &= \int \mathrm{d}^5 x \sqrt{-g} \bigg[\frac{1}{\kappa^2} \left(\frac{R}{2} + \frac{6}{L^2} \right) - \sum_{i=1}^N \frac{F_i^2}{4} \\ -|D\phi|^2 - m^2 |\phi|^2 - V(\phi) \bigg], \quad \text{with} \quad V = a |\phi|^4 + b |\phi|^2 |D\phi|^2. \end{split}$$

 \bullet We use global AdS coordinates to describe our background.

$$ds^{2} = \frac{L^{2}}{y^{2}} \left(-dt^{2} + \frac{dy^{2}}{1 - y^{2}} + (1 - y^{2})d\Omega_{3}^{2} \right) \,.$$

• Under the assumption that

$$\frac{g_i}{L^{1/2}} \ll 1\,, \quad \frac{\kappa}{L^{3/2}} \ll 1\,, \quad \frac{a}{L} \ll 1\,, \quad \frac{b}{L^3} \ll 1\,,$$

we will find that $\mathcal{O}(\kappa^2), \mathcal{O}(g_i^2), \mathcal{O}(a), \mathcal{O}(b)$ are the leading contributions to the binding energy. In the context of AdS supergravity: $g_i \propto \frac{\kappa}{L}, a \propto \frac{\kappa^2}{L}, b \propto \kappa^2$, and thus this is ensured by $\frac{\kappa}{L^{3/2}} \ll 1$.

Binding energy from effective potentials

Binding energy

• The binding energy is defined as the difference between the energy of the bound state and twice the energy of the single (free) state $|\phi\rangle$:

$$\gamma \equiv E_{\phi\phi} - 2E_{\phi} = \langle \phi\phi | H | \phi\phi \rangle - 2 \langle \phi | H | \phi \rangle .$$

- States can be either $\gamma > 0$ self-repulsive or $\gamma < 0$ self-attractive. A state is BPS if in an interacting theory $\gamma = 0$.
- We are interested in computing the binding energy at tree level, in which case γ is merely determined by quartic interactions ϕ^4 .
- In order to avoid going to second order perturbation theory we first integrating out classically all additional fields [Fitzpatrick, Shih '11].



Basic scalar field in AdS

• Field decomposition in creation and annihilation operators:

$$\phi = \sum_{n,l,J} (a_{n,l,J} \psi_{n,l,J}^*(x) + b_{n,l,J}^{\dagger} \psi_{n,l,J}(x)) \,,$$

• Free Hamiltonian is then given by

$$H_{free} = \sum_{n,l,J} E_{n,l} (a^{\dagger}_{n,l,J} a_{n,l,J} + b^{\dagger}_{n,l,J} b_{n,l,J}) \,,$$

$$E_{n,l} = \Delta + 2n + l$$
, $n, l = 0, 1, 2, \dots$ $\Delta = 2 \pm \sqrt{4 + m^2 L^2}$

• We are interested in the state with lowest energy n = l = J = 0,

$$|\phi\rangle = b_0^{\dagger} |0\rangle , \quad |\phi\phi\rangle = \frac{1}{\sqrt{2}} b_0^{\dagger} b_0^{\dagger} |0\rangle , \quad b_0 \equiv b_{0,0,0} ,$$

corresponding to the eigenfunction

$$\psi_0(x) \equiv \psi_{0,0,0}(x) = L^{-3/2} N_\Delta e^{it\Delta} y^\Delta, \quad N_\Delta = \sqrt{\frac{\Delta - 1}{2\pi^2}}.$$

Integrating out additional fields

Expand

$$A_i = A_i^{(0)} + g_i A_i^{(1)} \quad g_{\mu\nu} = g_{\mu\nu}^{(0)} + \kappa h_{\mu\nu}$$

• Integrating out additional field (photon and graviton) classically by using their equations of motion:

$$\frac{1}{\sqrt{-g}}\partial_{\mu}(\sqrt{-g}F_{i}^{\mu\nu}) = g_{i}q_{i}J^{\nu}, \qquad \Delta^{\rho\sigma}_{\mu\nu}h_{\rho\sigma} = \frac{\kappa}{2}T_{\mu\nu},$$

with sources

$$J_{\mu}[\phi, \phi^{\dagger}] = i\phi^{\dagger}\partial_{\mu}\phi + h.c.,$$

$$T_{\mu\nu}[\phi, \phi^{\dagger}] = g_{\mu\nu}\left(-|\partial\phi|^{2}\right) - m^{2}|\phi|^{2}\right) + \left(\partial_{\mu}\phi^{\dagger}\partial_{\nu}\phi + h.c.\right).$$

• Resulting in an non-local effective potential that only depends on ϕ :

$$S_{\text{eff}} = \int d^5 x \sqrt{-g} \left[-|\partial \phi|^2 - m^2 \phi^2 - V_{\text{eff}}[\phi, \phi^{\dagger}] \right] ,$$
$$V_{\text{eff}}[\phi, \phi^{\dagger}] = V[\phi, \phi^{\dagger}] + \frac{1}{2} \sum_i A_{i\mu}^{(1)}[\phi, \phi^{\dagger}] J_i^{\mu}[\phi, \phi^{\dagger}] - \frac{\kappa}{4} h_{\mu\nu}[\phi, \phi^{\dagger}] T^{\mu\nu}[\phi, \phi^{\dagger}]$$

Contributions to binding energy

• With the Hamiltonian

$$H = H_{\text{free}} + \delta H_{\text{eff}} , \qquad \delta H_{\text{eff}} = \int d^4 x \sqrt{-g} \ V_{\text{eff}} .$$

• Now we can use first order perturbation theory:

$$\begin{split} E_{\phi\phi} &\equiv \langle \phi\phi | \, H \, |\phi\phi\rangle = E_{\phi\phi}^{\rm free} + \langle \phi\phi | \, \delta H_{\rm eff} \, |\phi\phi\rangle \\ &= 2(E_{\phi}^{\rm free} + \langle \phi | \, \delta H_{\rm eff}^{\rm quadratic} \, |\phi\rangle) + \langle \phi\phi | \, \delta H_{\rm eff}^{\rm quartic} \, |\phi\phi\rangle \;, \end{split}$$

• The binding energy is therefore

$$\gamma = \int \mathrm{d}^4 x \sqrt{-g} \left\langle \phi \phi \right| V_{\text{eff}} \left| \phi \phi \right\rangle = 2 \int \mathrm{d}^4 x \sqrt{-g} V_{\text{eff}} [\phi(x)^{(\dagger)} = \psi_0(x)^{(\dagger)}] \,,$$

• Contribution to binding energy [Fitzpatrick, Shih '11] :

$$\gamma^{(a,b)} = \frac{\pi^2 N_{\Delta}^4 \left(aL^2 - b(\Delta - 2)\Delta \right)}{(\Delta - 1)(2\Delta - 1)L^3} \,,$$

$$\gamma_i^{(A_i)} = \frac{\pi^2 g_i^2 q_i^2 N_{\Delta}^4}{L(2\Delta - 1)} \,, \quad \gamma^{(h)} = -\frac{2\pi^2 (\Delta - 2) \Delta^2 \kappa^2 N_{\Delta}^4}{3(\Delta - 1)(2\Delta - 1)L^3} \,.$$

Contribution for scalar fields

Additional neutral scalar field

• Next we add an additional neutral scalar field χ .

$$S[\phi, A^{i}, h, \chi] = S[\phi, A, h] - \int d^{5}x \sqrt{-g} \left[\frac{1}{2} (\partial \chi)^{2} + \frac{M^{2}}{2} \chi^{2} + Y \chi |\phi|^{2} \right] \,,$$

 ${\, {\rm \bullet} \,}$ The equation of motion for χ is

$$\Box \chi - M^2 \chi = Y |\phi|^2 \quad \text{with} \quad Y L^{1/2} \ll 1$$

Expand

$$\chi = \chi_0 + Y\chi_1$$

• χ contributes to the effective potential with

$$V^{(\chi)}[\phi, \phi^{\dagger}] = \frac{Y^2}{2} \chi_1[\phi, \phi^{\dagger}] |\phi|^2 ,$$

- Note, requiring boundary terms to vanish leads to restrictions of the solution. Alternatively, start with modified action [Klebanov, Witten '99].
- For ϕ in the ground state :

$$N_{\Delta}^2 y^{2\Delta} + M^2 \chi_1 + y((y^2 + 3)\chi_1' + y(y^2 - 1)\chi_1'') = 0,$$

Massless case

• For M = 0, we can solve the eom analytically:

$$\chi_1 = \frac{N_{\Delta}^2}{4(\Delta - 2)(\Delta - 1)} \left[\frac{y^2}{y^2 - 1} - \log(1 - y^2) + f_{\Delta}(y) \right],$$

where the integration constants are fixed by requiring that the solution is smooth at y = 1 and vanishes at y = 0.

$$\begin{split} f_{\Delta}(y) &= \frac{\Delta - 1}{\Delta} y^{2\Delta} + B_{y^2}(\Delta + 1, -1) + (\Delta - 1) B_{y^2}(\Delta + 1, 0) \,, \\ B_x(a, b) &\equiv \int_0^x \mathrm{d}t \, t^{a-1} (1 - t)^{b-1} \,, \end{split}$$

where $B_x(a, b)$ are incomplete Beta functions.

• Contributing to the binding energy with

$$\gamma^{(\chi)} = \frac{Y^2 \pi^2 N_{\Delta}^4}{8(\Delta - 2)^2 (\Delta - 1)^2} \left[1 - \Delta + \frac{1}{\Delta} + \frac{4}{\Delta - 1} + \frac{2}{2\Delta - 1} + 4H_{\Delta - 2} - 2H_{2\Delta} \right],$$

where H_n are the harmonic numbers.

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Breitenlohner-Freedman bound saturation - $M^2 = -4$

• For M = -4, we can solve the eom analytically:

$$\chi_1 = \frac{N_{\Delta}^2}{4L(\Delta - 1)^2} \left(\frac{-y^{2\Delta} + y^2}{y^2 - 1} \right) \,.$$

- Note that here, in contrast to the M = 0 case, the second integration constant is fixed by requiring a sufficiently fast fall off towards the boundary.
- Computing the binding energy one finds

$$\gamma^{(\chi)} = -\frac{Y^2 \pi^2 N_{\Delta}^4}{8(\Delta - 1)^3} \,.$$

Numerical result - general case

• In case for general mass M and scaling dimension Δ an analytic solution is not available and one has to rely on numerical methods.



Figure: Binding energy for different scaling dimensions Δ and mass M^2 .

Figure: Binding energy for different scaling dimensions Δ and mass M^2 .

3.0

3.5 A

-0.05

-0.10

4.5 4.0

Tests in special cases

Flat Space Limit and BPS State

- $\bullet\,$ In the case of massless χ we can compare to the flat space case.
- Taking $L\to\infty, \Delta\to\infty$ while keeping $m=\frac{\sqrt{\Delta(\Delta-4)}}{L}$ fixed, reproduces the WGC bound:

$$\sum_{i} q_{i}^{2} g_{i}^{2} - \frac{2}{3} m^{2} \kappa^{2} - \frac{Y^{2}}{4m^{2}} + \frac{1}{L} \left(\frac{a}{m} - bm\right) \ge 0.$$

¹Analysed in detail in [Ceresole, Dall'Agata, Kallosh, Van Proeyen '01]

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- We consider a $\mathcal{N} = 2$ 5d gauged supergravity ¹ with a gravity multiplet, an hypermultiplet and a vector multiplet.
- $\bullet~$ The moduli space is given by $\frac{SU(2,1)}{SU(2)\times U(1)}\times O(1,1)$
- Using relations between the couplings:

$$\gamma^{(A_0)}+\gamma^{(A_1)}+\gamma^{(h)}+\gamma^{(a,b)}=-\gamma^{(\chi)}$$

and thus the total self-binding energy $\gamma_{z_1z_1} = 0$ as expected for a BPS state.

¹Analysed in detail in [Ceresole, Dall'Agata, Kallosh, Van Proeyen '01]

Summary

Summary

- Positive binding energy conjecture as a natural formulation of the WGC applicable to AdS.
- Requirement of the existence of at least one particle with non-negative binding energy

$$\gamma \ge 0$$

leads to constraints on couplings for effective theories.

- Our results contribute towards sharpening quantum gravity constraints on effective theories in Anti-de Sitter spacetime.
- Outlook: Extending analysis to AdS_4 and AdS_3 , fermions, ...

Thank you!