# Phenomenological implication of modular symmetry

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Based on arXiv2204.12325, 2112.00493 Tatsuo Kobayashi (Hokkaido U.) Hajime Otsuka (Kyushu U.) Morimitsu Tanimoto (Niigata U.)

#### Introduction



Flavor symmetry would play an important role both in the SM and NP

e.g. Discrete flavor symmetry

well studied to describe large mixing angle in neutrino

Modular flavor symmetry



see also talk by Sin Kyu Kang

Modular group often appears in the superstring theory

Compactification of the superstring theory

2D torus  $(T^2)$  is equivalent parallelogram with identification





$$S: \tau \to -\frac{1}{\tau} \qquad T: \tau \to \tau + 1$$

 $\mathsf{Modular\ group}\ \Gamma \quad \Gamma \simeq \{S, T | S^2 = \mathbb{I}, (ST)^3 = \mathbb{I}\}$ 

Quotient group  $\Gamma_N \equiv \Gamma/\Gamma(N)$   $\Gamma(N) = \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in SL(2,\mathbb{Z}), \begin{pmatrix} a & b \\ c & d \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \pmod{N} \right\}$ 

$$\Gamma_N \equiv \frac{\Gamma}{\Gamma(N)} \simeq \{S, T | S^2 = \mathbb{I}, (ST)^3 = \mathbb{I}, T^N = \mathbb{I}\}$$

isomorphic Modular symmetry  $\simeq$  Discrete symmetry

$$N = 2$$
 $\Gamma_2 \simeq S_3$  $N = 3$  $\Gamma_3 \simeq A_4$  $\leftarrow$  focus on in this work $N = 4$  $\Gamma_4 \simeq S_4$  $N = 5$  $\Gamma_5 \simeq A_5$ 

Superstring theory in 10 dimensions



4 dimensional theory (SUSY)  $\Gamma_N$  symmetry (modular)



Expectation value of modulus  $\tau$  breaks the symmetry

 $\Gamma_N$  and SUSY breaking scales are not determined

Low scale phenomenology

T. Kobayashi, H. Otsuka [2108.02700]

SUSY breaking terms are invariant (covariant) under modular transformation in moduli-mediated SUSY breaking scenario

We can consider modular invariant SMEFT by supposing modular forms to be **spurion** 

### A4 modular symmetry

Non-Abelian discrete symmetry  $A_4$  group could be adjusted to family symmetry: The minimum group containing triplet

Irreducible representations: I, I'', I', 3  $\leftarrow e_R$ ,  $\mu_R$ ,  $\tau_R$ ,  $(e_L, \mu_L, \tau_L)$ 

	$L_L$	$\left(e_R^c,\mu_R^c, au_R^c ight)$	$H_d$	$Y(\tau_e)$
SU(2)	2	1	2	1
$A_4$	3	(1,1'',1')	1	3
k	2	(0,  0,  0)	0	2

modular form

Effective theories with I <sub>N</sub> symmetry

Modular transformation ular for the Fester Physeleters, States Physeleters 223,24(198989)

 $\mathscr{L}_{\phi \phi} \xrightarrow{} (c \notin \mathcal{A}, u) \xrightarrow{} H \phi^{(I)} \phi^{(J)} \qquad \gamma \notin \mathfrak{F}_{N}^{\Gamma_{N}}$ 

chiral superfield with modular weight k transforms as

 $\phi^{(I)}, f(\tau)^{\tau}$ :représentation of  $\Gamma_N$ 

 $\phi^{(I)} \to (c\tau + d)^{-k_I} \rho^{(I)}(\gamma) \phi^{k_I(I)} \qquad \rho(\varphi(\gamma) \rho^{(I)}(\gamma)_{I_I}, \text{ unitary rep. matrix}$ 

Holomorphic functions which transform trans., are called  $\Gamma_N \Gamma_N$  modular form with weight k k

$$Y(\tau) \to (c\tau + d)^k \rho(\gamma) Y(\tau) \rho(\gamma) \rho(\gamma), \rho^{(I)}(\gamma)$$

$$f(\tau) \to (c\tau + d)^k \rho(\gamma) f(\tau) \rho(\gamma) \rho(\gamma)$$

### A<sub>4</sub> modular symmetry

Non-Abelian discrete symmetry  $A_4$  group could be adjusted to family symmetry: The minimum group containing triplet Irreducible representations: I, I'', I', 3  $\triangleleft e_R$ ,  $\mu_R$ ,  $\tau_R$ ,  $(e_I, \mu_I, \tau_I)$ 

> $H_d$  $(e_R^c, \mu_R^c, \tau_R^c)$  $L_L$  $Y(\tau_e)$ modular form 1

3

2

Effective theories with  $\Gamma_{\rm M}$  symmetry

 $f_{i}(\tau) \phi^{(I)} \phi^{(J)} H \qquad \text{modular form} \\ \mathscr{L}_{\text{eff}} \supset Y(\tau)_{ij} H \phi^{(I)} \phi^{(J)}$ 

Automorphy factor  $(c\tau+d)^k(c\tau+d)^{-k_I}(c\tau+d)^{-k_J} = (c\tau+d)^{k-k_I-k_J}$ vanishes if  $\mathbf{k} = \mathbf{k} \mathbf{l} + \mathbf{k} \mathbf{j}$ 

Modular forms are explicitly given if weight k is fixed.

On the other hand, chiral superfields are not modular forms and we have no restriction on the possible value of weight kl, a priori. 8

### A4 modular symmetry

The holomorphic and anti-holomorphic modular forms with weight 2 compose the  $A_4$  triplet

$$Y_{\mathbf{3}}^{(2)}(\tau) = \begin{pmatrix} Y_1(\tau) \\ Y_2(\tau) \\ Y_3(\tau) \end{pmatrix}, \qquad \overline{Y_{\mathbf{3}}^{(2)}(\tau)} \equiv Y_{\mathbf{3}}^{(2)*}(\tau) = \begin{pmatrix} Y_1^*(\tau) \\ Y_3^*(\tau) \\ Y_2^*(\tau) \end{pmatrix}$$

Y<sub>i</sub> (*i*=1,2,3) is a function of the modulus  $\tau$ 

$$\begin{pmatrix} Y_1(\tau) \\ Y_2(\tau) \\ Y_3(\tau) \end{pmatrix} = \begin{pmatrix} 1+12q+36q^2+12q^3+\dots \\ -6q^{1/3}(1+7q+8q^2+\dots) \\ -18q^{2/3}(1+2q+5q^2+\dots) \end{pmatrix} \qquad q = e^{2\pi i \tau}$$

Once  $\tau$  is determined, the Yukawa is fixed

Modular forms with higher weights k=4, 6 ... are constructed by them

$$- (0, 1 | 0 - 1, (01) - 1, 1 - 1)$$

#### A<sub>4</sub> modular symmetry



At exact fixed point, CP is not violated

 $\rightarrow$  need small deviation from these point :  $\tau = (\text{fixed point}) + \epsilon$ 

phenomenologically  $\mathcal{O}(\epsilon) \sim 10^{-2}$ 

### Modular symmetry in the SMEFT

#### String Ansatz

T. Kobayashi, H. Otsuka [2108.02700]

String compactifications leads to 4-dim low energy field theories with the specific structure

Through String Ansatz, higher-dimensional operators are related with 3-point couplings

$$y_{ijk\ell}^{(4)} = \sum_{m} y_{ijm}^{(3)} y_{mk\ell}^{(3)}$$

m is virtual mode H

SMEFT operator  
e.g. 
$$Q_{qq}^{(1)}$$
  $(\bar{q}_p \gamma_\mu q_r)(\bar{q}_s \gamma^\mu q_t)$   
 $Q_{\ell q}^{(1)}$   $(\bar{\ell}_p \gamma_\mu \ell_r)(\bar{q}_s \gamma^\mu q_t)$ 

#### Strategy

•  $\tau = i\infty$  (*T* symmetry)

write down fermionic SMEFT operator so as to be invariant at  $A_4$  and modular symmetry

focus on  $(\bar{L}R)$  bilinear structure in lepton sector

expand modular forms  $Y(\tau)$  at three fixed point, and then include small deviation :  $\tau = (\text{fixed point}) + \epsilon$   $\tau = \omega \quad (ST \text{ symmetry})$   $\tau = i \quad (S \text{ symmetry})$ 

focus on  $\tau = i$  case

diagonalize the mass matrix and move to mass eigenstate basis

pheno. study

 $(g-2)_{\mu}$  & Lepton flavor violation

 $(\overline{L}R)$  structure in the modular symmetry

	$L_L$	$(e_R^c,\mu_R^c, au_R^c)$	$H_d$	$Y(\tau_e)$
SU(2)	2	1	2	1
$A_4$	3	(1, 1'', 1')	1	3
k	2	(0, 0, 0)	0	2

\*  $\gamma_{\mu}$  structure  $\Gamma$  is omitted

 $\begin{bmatrix} \bar{L}_R L_L \end{bmatrix}$   $A_4: \quad \{1,1'',1'\} \otimes 3$   $k_I: \quad 0 \quad -2$ 

not invariant both  $A_{\rm 4}$  and modular

	$L_L$	$(e_R^c,\mu_R^c, au_R^c)$	$H_d$	$Y(\tau_e)$
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 $\begin{array}{c} \text{modular form} \\ [\bar{L}_R L_L] & & [\bar{L}_R Y(\tau_q) L_L] \\ A_4: & \{1,1'',1'\} \otimes 3 & \{1,1'',1'\} \otimes 3 \otimes 3 \\ k_I: & 0 & -2 & 0 & 2 & -2 \end{array}$ 

\*  $\gamma_{\mu}$  structure  $\Gamma$  is omitted

not invariant both  $A_{\rm 4}$  and modular

invariant



$$\begin{split} [\bar{L}_R \otimes Y(\tau) \otimes L_L]_1 &= \alpha_e \ \bar{e}_R \otimes (Y_1 e_L + Y_2 \tau_L + Y_3 \mu_L)_1 + \beta_e \ \bar{\mu}_R \otimes (Y_2 \mu_L + Y_1 \tau_L + Y_3 e_L)_{1''} \\ &+ \gamma_e \ \bar{\tau}_R \otimes (Y_3 \tau_L + Y_1 \mu_L + Y_2 e_L)_{1'} \\ &= (\bar{e}_R, \bar{\mu}_R, \bar{\tau}_R) \begin{pmatrix} \alpha_e & 0 & 0 \\ 0 & \beta_e & 0 \\ 0 & 0 & \gamma_e \end{pmatrix} \begin{pmatrix} Y_1(\tau) & Y_3(\tau) & Y_2(\tau) \\ Y_2(\tau) & Y_1(\tau) & Y_3(\tau) \\ Y_3(\tau) & Y_2(\tau) & Y_1(\tau) \end{pmatrix} \begin{pmatrix} e_L \\ \mu_L \\ \tau_L \end{pmatrix} \end{split}$$

Same structure with mass matrix :

$$M_{e} = v_{d} \begin{pmatrix} \alpha_{e(m)} & 0 & 0 \\ 0 & \beta_{e(m)} & 0 \\ 0 & 0 & \gamma_{e(m)} \end{pmatrix} \begin{pmatrix} Y_{1}(\tau) & Y_{3}(\tau) & Y_{2}(\tau) \\ Y_{2}(\tau) & Y_{1}(\tau) & Y_{3}(\tau) \\ Y_{3}(\tau) & Y_{2}(\tau) & Y_{1}(\tau) \end{pmatrix}_{RL}$$

if mode m is only higgs

$$\alpha_d = c\alpha_{d(m)}, \qquad \beta_d = c\beta_{d(m)}, \qquad \gamma_d = c\gamma_{d(m)}$$

 $\rightarrow$  flavor changing like  $\mu \rightarrow e$  never happen

$$\begin{split} [\bar{L}_R \otimes Y(\tau) \otimes L_L]_1 &= \alpha_e \ \bar{e}_R \otimes (Y_1 e_L + Y_2 \tau_L + Y_3 \mu_L)_1 + \beta_e \ \bar{\mu}_R \otimes (Y_2 \mu_L + Y_1 \tau_L + Y_3 e_L)_{1''} \\ &+ \gamma_e \ \bar{\tau}_R \otimes (Y_3 \tau_L + Y_1 \mu_L + Y_2 e_L)_{1'} \\ &= (\bar{e}_R, \bar{\mu}_R, \bar{\tau}_R) \begin{pmatrix} \alpha_e & 0 & 0 \\ 0 & \beta_e & 0 \\ 0 & 0 & \gamma_e \end{pmatrix} \begin{pmatrix} Y_1(\tau) & Y_3(\tau) & Y_2(\tau) \\ Y_2(\tau) & Y_1(\tau) & Y_3(\tau) \\ Y_3(\tau) & Y_2(\tau) & Y_1(\tau) \end{pmatrix} \begin{pmatrix} e_L \\ \mu_L \\ \tau_L \end{pmatrix} \end{split}$$

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if there are additional unknown modes (e.g. multi Higgs modes), it causes flavor violations Suppose unknown mode contribution being small and couplings are Higgs-like

$$\alpha_d - \alpha_{d(m)} \ll \alpha_d, \qquad \beta_d - \beta_{d(m)} \ll \beta_d, \qquad \gamma_d - \gamma_{d(m)} \ll \gamma_d$$

$$\begin{bmatrix} L_R \otimes Y(\tau) \otimes L_L \end{bmatrix}_1 = \alpha_e \ \bar{e}_R \otimes (Y_1 e_L + Y_2 \tau_L + Y_3 \mu_L)_1 + \beta_e \ \bar{\mu}_R \otimes (Y_2 \mu_L + Y_1 \tau_L + Y_3 e_L)_1 + \gamma_e \ \bar{\tau}_R \otimes (Y_3 \tau_L + Y_1 \mu_L + Y_2 e_L)_1 + \gamma_e \ \bar{\tau}_R \otimes (Y_3 \tau_L + Y_1 \mu_L + Y_2 e_L)_1 + \gamma_e \ \bar{\tau}_R \otimes (Y_1 e_L + Y_1 e_L + Y_2 e_L)_1 + \gamma_e \ \bar{\tau}_R \otimes (Y_1 e_L + Y_1 e_L + Y_2 e_L)_1 + \gamma_e \ \bar{\tau}_R \otimes (Y_1 e_L + Y_1 e_L + Y_2 e_L)_1 + \gamma_e \ \bar{\tau}_R \otimes (Y_1 e_L + Y_1 e_L + Y_2 e_L)_1 + \gamma_e \ \bar{\tau}_R \otimes (Y_1 e_L + Y_1 e_L + Y_2 e_L)_1 + \gamma_e \ \bar{\tau}_R \otimes (Y_1 e_L + Y_1 e_L + Y_2 e_L)_1 + \gamma_e \ \bar{\tau}_R \otimes (Y_1 e_L + Y_1 e_L + Y_2 e_L)_1 + \gamma_e \ \bar{\tau}_R \otimes (Y_1 e_L + Y_1 e_L + Y_2 e_L)_1 + \gamma_e \ \bar{\tau}_R \otimes (Y_1 e_L + Y_1 e_L + Y_2 e_L)_1 + \gamma_e \ \bar{\tau}_R \otimes (Y_1 e_L + Y_1 e_L + Y_2 e_L)_1 + \gamma_e \ \bar{\tau}_R \otimes (Y_1 e_L + Y_1 e_L + Y_2 e_L)_1 + \gamma_e \ \bar{\tau}_R \otimes (Y_1 e_L + Y_1 e_L + Y_2 e_L)_1 + \gamma_e \ \bar{\tau}_R \otimes (Y_1 e_L + Y_1 e_L + Y_2 e_L)_1 + \gamma_e \ \bar{\tau}_R \otimes (Y_1 e_L + Y_1 e_L + Y_2 e_L)_1 + \gamma_e \ \bar{\tau}_R \otimes (Y_1 e_L + Y_1 e_L + Y_2 e_L)_1 + \gamma_e \ \bar{\tau}_R \otimes (Y_1 e_L + Y_1 e_L + Y_2 e_L)_1 + \gamma_e \ \bar{\tau}_R \otimes (Y_1 e_L + Y_1 e_L + Y_2 e_L)_1 + \gamma_e \ \bar{\tau}_R \otimes (Y_1 e_L + Y_1 e_L + Y_2 e_L)_1 + \gamma_e \ \bar{\tau}_R \otimes (Y_1 e_L + Y_1 e_L + Y_2 e_L)_1 + \gamma_e \ \bar{\tau}_R \otimes (Y_1 e_L + Y_1 e_L + Y_2 e_L)_1 + \gamma_e \ \bar{\tau}_R \otimes (Y_1 e_L + Y_1 e_L + Y_2 e_L)_1 + \gamma_e \ \bar{\tau}_R \otimes (Y_1 e_L + Y_1 e_L + Y_2 e_L)_1 + \gamma_e \ \bar{\tau}_R \otimes (Y_1 e_L + Y_1 e_L + Y_2 e_L)_1 + \gamma_e \ \bar{\tau}_R \otimes (Y_1 e_L + Y_1 e_L + Y_2 e_L)_1 + \gamma_e \ \bar{\tau}_R \otimes (Y_1 e_L + Y_1 e_L + Y_2 e_L)_1 + \gamma_e \ \bar{\tau}_R \otimes (Y_1 e_L + Y_1 e_L + Y_2 e_L)_1 + \gamma_e \ \bar{\tau}_R \otimes (Y_1 e_L + Y_1 e_L + Y_2 e_L)_1 + \gamma_e \ \bar{\tau}_R \otimes (Y_1 e_L + Y_1 e_L + \gamma_e \ \bar{\tau}_R \otimes (Y_1 e_L + Y_1 e_L + \gamma_e \ \bar{\tau}_R \otimes (Y_1 e_L + Y_1 e_L + Y_1 e_L + Y_1 e_L + Y_1 e_L + \gamma_e \ \bar{\tau}_R \otimes (Y_1 e_L + Y_1 e_L + Y_1 e_L + \gamma_e \ \bar{\tau}_R \otimes (Y_1 e_L + Y_1 e_L + Y_1 e_L + \gamma_e \ \bar{\tau}_R \otimes (Y_1 e_L + Y_1 e_L + Y_1 e_L + \gamma_e \ \bar{\tau}_R \otimes (Y_1 e_L + Y_1 e_L + Y_1 e_L + \gamma_e \ \bar{\tau}_R \otimes (Y_1 e_L + Y_1 e_L + Y_1 e_L + \gamma_e \ \bar{\tau}_R \otimes (Y_1 e_L + Y_1 e_L + Y_1 e_$$

if there are additional unknown modes (e.g. multi Higgs modes), it causes flavor violations Suppose unknown mode contribution being small and couplings are Higgs-like

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# Strategy

•  $\tau = i\infty$  (*T* symmetry)

write down fermionic SMEFT operator so as to be invariant at  $A_4$  and modular symmetry

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b diagonalize the mass matrix and move to mass eigenstate basis

pheno. study

 $(g-2)_{e,\mu}$  & Lepton flavor violation

# at $\tau = i$ (S symmetry); Diagonalization

Results of  $(\overline{L}R)$  structure in interaction basis

$$\begin{split} & \begin{array}{c|c} \hline RL & \overline{\mu}_R \Gamma \tau_L & \overline{e}_R \Gamma \tau_L & \overline{e}_R \Gamma \mu_L \\ \hline LR & \overline{\mu}_L \Gamma \tau_R & \overline{e}_L \Gamma \tau_R & \overline{e}_L \Gamma \mu_R \\ \hline \theta_L \Gamma \tau_R & \overline{e}_L \Gamma \mu_R \\ \hline \theta_L \Gamma \tau_R & \overline{e}_L \Gamma \mu_R \\ \hline \theta_L \Gamma \tau_R & \overline{e}_L \Gamma \mu_R \\ \hline \theta_L \Gamma \tau_R & \overline{e}_L \Gamma \mu_R \\ \hline \theta_L \Gamma \tau_R & \overline{e}_L \Gamma \mu_R \\ \hline \theta_L \Gamma \tau_R & \overline{e}_L \Gamma \mu_R \\ \hline \theta_L \Gamma \tau_R & \overline{e}_L \Gamma \mu_R \\ \hline \theta_L \Gamma \tau_R & \overline{e}_L \Gamma \mu_R \\ \hline \theta_L \Gamma \tau_R & \overline{e}_L \Gamma \mu_R \\ \hline \theta_L \Gamma \tau_R & \overline{e}_L \Gamma \mu_R \\ \hline \theta_L \Gamma \tau_R & \overline{e}_L \Gamma \mu_R \\ \hline \theta_L \Gamma \tau_R & \overline{e}_L \Gamma \mu_R \\ \hline \theta_L \tau_R & \overline{e}_L \tau_R \\ \hline \theta_L \tau_L & \overline{e}_L \tau_R \\ \hline \theta_L \tau_R & \overline{e}_L \tau_R \\ \hline \theta_L$$

. These approximate forms are agreement with exact numerical values within 0.1~% for  $|\epsilon| \le 0.05$ 

Mass eigenstate basis at  $\tau=i$  and  $\tau=i+\epsilon$ 

## at $\tau = i$ (S symmetry); Diagonalization

Mass eigenstate basis at  $\tau = i$  and  $\tau = i + \epsilon$ 

$\bar{\mu}_R \Gamma \tau_L$	$ar{e}_R \Gamma  au_L$	$\bar{e}_R \Gamma \mu_L$
$ar{\mu}_L \Gamma  au_R$	$ar{e}_L\Gamma au_R$	$\bar{e}_L\Gamma\mu_R$
$\frac{\sqrt{3}}{2}(\tilde{\alpha}_e + 2s^e_{R23}\tilde{\gamma}_e)$	$\frac{\sqrt{3}}{2}(\tilde{\beta}_{e} - s^{e}_{12R}\tilde{\alpha}_{e} + 2(s^{e}_{R13} - s^{e}_{R12}s^{e}_{R23})\tilde{\gamma}_{e})$	$\frac{\frac{3}{2}(\tilde{\beta}_e + s^e_{12R}\tilde{\alpha}_e)}{\mathbf{V}}$
$\left(\sqrt{3}s_{23L}^{e} + s_{12L}^{e} \epsilon_{1}^{*} )\tilde{\gamma}_{e} - \frac{3}{2}s_{R23}^{e}\tilde{\alpha}_{e}\right)$	$(\sqrt{3}s^e_{13L} +  \epsilon^*_1 )\tilde{\gamma}_e$	$\frac{1}{2}(3s_{12L}^e - \sqrt{3}s_{13L}^e + 2 \epsilon_1^* )\tilde{\alpha}_e$

$$s_{L12}^{e} \simeq -|\epsilon_{1}^{*}|, \qquad s_{L23}^{e} \simeq -\frac{\sqrt{3}}{4} \frac{\tilde{\alpha}_{e(m)}^{2}}{\tilde{\gamma}_{e(m)}^{2}}, \qquad s_{L13}^{e} \simeq -\frac{\sqrt{3}}{3} |\epsilon_{1}^{*}|, \\ s_{R12}^{e} \simeq -\frac{\tilde{\beta}_{e(m)}}{\tilde{\alpha}_{e(m)}}, \qquad s_{R23}^{e} \simeq -\frac{1}{2} \frac{\tilde{\alpha}_{e(m)}}{\tilde{\gamma}_{e(m)}}, \qquad s_{R13}^{e} \simeq -\frac{1}{2} \frac{\tilde{\beta}_{e(m)}}{\tilde{\gamma}_{e(m)}},$$

 $\tilde{\alpha}_{e(m)} = (6 - 3\sqrt{3})Y_1(i)\alpha_{e(m)}, \ \tilde{\beta}_{e(m)} = (6 - 3\sqrt{3})Y_1(i)\beta_{e(m)} \ \text{and} \ \tilde{\gamma}_{e(m)} = (6 - 3\sqrt{3})Y_1(i)\gamma_{e(m)}$ 

 $\tau, \alpha_e, \beta_e, \gamma_e$ : Best fit values of parameters in A4 modular invariant model Okada and Tanimoto to realize lepton mass matrix, neutrino data [2012.01688]

$$\tau = -0.080 + 1.007 \, i \,, \quad |\epsilon_1| = 0.165 \,, \quad \frac{\tilde{\alpha}_{e(m)}}{\tilde{\gamma}_{e(m)}} \simeq \frac{\tilde{\alpha}_e}{\tilde{\gamma}_e} = 6.82 \times 10^{-2} \,, \quad \frac{\tilde{\beta}_{e(m)}}{\tilde{\alpha}_{e(m)}} \simeq \frac{\tilde{\beta}_e}{\tilde{\alpha}_e} = 1.50 \times 10^{-2} \,.$$

 $\rightarrow$  predict flavor observables

# Strategy

write down fermionic SMEFT operator so as to be invariant at  $A_4$  and modular symmetry

focus on  $(\bar{L}R)$  bilinear structure in lepton sector

• expand modular forms  $Y(\tau)$  at three fixed point, and then include small deviation :  $\tau = ($ fixed point $) + \epsilon$ 

focus on  $\tau = i$  case

diagonalize the mass matrix and move to mass eigenstate basis

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pheno. study
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 $(g-2)_{\mu}$  & Lepton flavor violation

 $(g-2)_{\mu} \& \mu \to e\gamma$ 



$$(g-2)_{\mu} \& \mu \to e\gamma$$

Wilson coefficients in A4 modular symmetry in mass basis

$$\begin{aligned} \sum_{\substack{c_{e_{\tau}}\\e_{e_{\tau$$

without tuning between  $\delta_{\alpha,\beta}$ ,  $|\delta_{\alpha}| < \mathcal{O}(10^{-3})$ ,  $|\delta_{\beta}| < \mathcal{O}(10^{-3})$ 

$$\tau \to \mu \gamma \& \tau \to e \gamma \& \mu \to e \gamma$$

Wilson coefficients in A4 modular symmetry in mass basis

$$\begin{aligned} \text{coefficients in A4 modular symmetry in mass basis} \\ \mathcal{C}'_{e\gamma} &= 3 (1 - \sqrt{3}) \tilde{\beta}_{e} |\epsilon_{1}^{*}|, \qquad \mathcal{C}'_{e\gamma} &= \frac{3}{2} (1 - \sqrt{3}) \tilde{\alpha}_{e}, \qquad \mathcal{C}'_{e\gamma} &= \sqrt{3} (1 - \sqrt{3}) \tilde{\gamma}_{e} \qquad \mathcal{C}'_{e\gamma} &= \begin{pmatrix} \mathcal{C}'_{e\gamma} & \mathcal{C}'_{e\gamma} & \mathcal{C}'_{e\gamma} \\ \mathcal{C}'_{e\gamma} &= \frac{3}{2} (1 - \sqrt{3}) \tilde{\beta}_{e} |\epsilon_{1}^{*}|, \qquad \mathcal{C}'_{e\gamma} &= \frac{3}{2} (1 - \sqrt{3}) \tilde{\alpha}_{e}, \qquad \mathcal{C}'_{e\gamma} &= \sqrt{3} (1 - \sqrt{3}) \tilde{\gamma}_{e} \qquad \mathcal{C}'_{e\gamma} &= \begin{pmatrix} \mathcal{C}'_{e\gamma} & \mathcal{C}'_{e\gamma} & \mathcal{C}'_{e\gamma} \\ \mathcal{C}'_{e\gamma} &= \frac{3}{2} (1 - \sqrt{3}) \tilde{\alpha}_{e} \left( 1 - \frac{\tilde{\gamma}_{e}}{\tilde{\gamma}_{e(m)}} \frac{\tilde{\alpha}_{e(m)}}{\tilde{\alpha}_{e}} \right), \\ \mathcal{C}'_{e\gamma} &= \frac{\sqrt{3}}{2} (1 - \sqrt{3}) \tilde{\beta}_{e} \left( 1 + \frac{\tilde{\alpha}_{e}}{\tilde{\alpha}_{e(m)}} \frac{\tilde{\beta}_{e(m)}}{\tilde{\beta}_{e}} - 2 \frac{\tilde{\beta}_{e(m)}}{\tilde{\beta}_{e}} \frac{\tilde{\gamma}_{e}}{\tilde{\gamma}_{e(m)}} \right) \\ \mathcal{C}'_{e\gamma} &= \frac{3}{2} (1 - \sqrt{3}) \tilde{\beta}_{e} \left( 1 - \frac{\tilde{\alpha}_{e}}{\tilde{\alpha}_{e(m)}} \frac{\tilde{\beta}_{e(m)}}{\tilde{\beta}_{e}} \right), \end{aligned}$$

the case that the additional unknown mode of m is the Higgs-like mode  $(\delta_{\alpha} \sim \delta_{\beta} \sim \delta_{\gamma})$ 

$$\frac{\mathcal{C}'_{\frac{e\gamma}{\tau_e}}}{\mathcal{C}'_{\frac{\mu}{\mu_e}}} = \frac{1}{\sqrt{3}} \times \mathcal{O}(1) \qquad \qquad \frac{\mathcal{C}'_{\frac{e\gamma}{\tau_e}}}{\mathcal{C}'_{\frac{e\gamma}{\tau_\mu}}} = \frac{\tilde{\beta}_e}{\tilde{\alpha}_e} \times \mathcal{O}(1) \sim 10^{-2}$$

 $\mathcal{B}(\tau \to \mu \gamma) : \mathcal{B}(\tau \to e \gamma) : \mathcal{B}(\mu \to e \gamma) \sim 10^4 : 1 : 10$ 

Since the present upper bounds of  $B(\tau \rightarrow e\gamma)$  and  $B(\tau \rightarrow \mu\gamma)$  are 3.3 × 10<sup>-8</sup> and 4.4 × 10<sup>-8</sup>, respectively, we expect the experimental test of this prediction for  $\tau \rightarrow \mu \gamma$  in the future

#### Summary

We discuss Modular flavor symmetry in the SMEFT

predictions for  $(g - 2)_{\mu}$  & Lepton flavor violation

we have also studied lepton EDM

We should check whether our results is model dependent other models with  $S_4, A_5 \dots$ 

Approach to other flavor phenomena in the quark sector  $b \rightarrow s \gamma \dots$ 



	$L_L$	$(e_R^c,\mu_R^c,\tau_R^c)$	$H_d$	$Y(\tau_e)$
SU(2)	2	1	2	1
$A_4$	3	(1, 1'', 1')	1	3
k	2	(0, 0, 0)	0	2

Representation of down-type quark and charged leptons

Left

Right

$$E_L = \begin{pmatrix} e_L \\ \mu_L \\ \tau_L \end{pmatrix}, \quad \bar{E}_L = \begin{pmatrix} \bar{e}_L \\ \bar{\tau}_L \\ \bar{\mu}_L \end{pmatrix}$$

$$(e_R^c, \mu_R^c, \tau_R^c) = (1, 1'', 1')$$
  
 $(e_R, \mu_R, \tau_R) = (1, 1', 1'')$ 

#### Lepton flavor violation : Modular vs. U(2)

#### U(2) flavor symmetry

Faroughy, Isidori, Wilsch, Yamamoto '20

 $U(2)^3 = U(2)^q \times U(2)^u \times U(2)^d$  flavor symmetry is good approximation in the SM Yukawa

acting on 1st & 2nd generations only exact symmetry for  $m_u, m_d, m_c, m_s = 0$ 

$$\psi = (\psi_1, \psi_2, \psi_3)$$

U(2) doublet singlet

Yukawa in U(2)  

$$\begin{aligned} & \text{Spurions}: V_q \sim (2,1,1), \ \Delta_u \sim (2,\bar{2},1), \ \Delta_d \sim (2,1,\bar{2}) \\ & Y_e = y_\tau \begin{pmatrix} \Delta_e & x_\tau V_\ell \\ 0 & 1 \end{pmatrix}, \qquad Y_u = y_t \begin{pmatrix} \Delta_u & x_t V_q \\ 0 & 1 \end{pmatrix}, \qquad Y_d = y_b \begin{pmatrix} \Delta_d & x_b V_q \\ 0 & 1 \end{pmatrix} \\ & y_{\tau,t,b} \text{ and } x_{\tau,t,b}: \mathcal{O}(1) \text{ free complex parameters} \end{aligned}$$

Transformation for spurions

$$V_{q(\ell)} = e^{i\bar{\phi}_{q(\ell)}} \begin{pmatrix} 0\\\epsilon_{q(\ell)} \end{pmatrix} , \quad \Delta_e = O_e^{\mathsf{T}} \begin{pmatrix} \delta'_e & 0\\0 & \delta_e \end{pmatrix} , \quad \Delta_u = U_u^{\dagger} \begin{pmatrix} \delta'_u & 0\\0 & \delta_u \end{pmatrix} , \quad \Delta_d = U_d^{\dagger} \begin{pmatrix} \delta'_d & 0\\0 & \delta_d \end{pmatrix}$$

$$\epsilon_{i} = \mathcal{O}(y_{t}|V_{ts}|) = \mathcal{O}(10^{-1})$$
$$\delta_{i} = \mathcal{O}\left(\frac{y_{c}}{y_{t}}, \frac{y_{s}}{y_{b}}, \frac{y_{\mu}}{y_{\tau}}\right) = \mathcal{O}(10^{-2})$$
$$\delta_{i}' = \mathcal{O}\left(\frac{y_{u}}{y_{t}}, \frac{y_{d}}{y_{b}}, \frac{y_{e}}{y_{\tau}}\right) = \mathcal{O}(10^{-3})$$

 $O_e = \begin{pmatrix} c_e & s_e \\ -s_e & c_e \end{pmatrix}, \qquad U_q = \begin{pmatrix} c_q & s_q e^{i\alpha_q} \\ -s_q e^{-i\alpha_q} & c_q \end{pmatrix}$ 

Spurion order count

 $1 \gg \epsilon_i \gg \delta_i \gg \delta'_i > 0$ 

#### Lepton flavor violation : Modular vs. U(2)

 $\mu \rightarrow e\gamma$  etc. in U(2)

Faroughy, Isidori, Wilsch, Yamamoto '20

	$\mu \to e \gamma$	$\tau  ightarrow \mu \gamma$	$\tau \to e \gamma$
$O_{RL}^D$	$(\rho_1 s_e \delta'_e)^* [\bar{e}_R \sigma^{\mu\nu} \mu_L]$	$(\sigma_1 \epsilon_\ell \delta_e)^* [\bar{\mu}_R \sigma^{\mu\nu} \tau_L]$	$(\sigma_1 \epsilon_\ell s_e \delta'_e)^* [\bar{e}_R \sigma^{\mu\nu} \tau_L]$
$O_{LR}^D$	$-\rho_1 s_e \delta_e [\bar{e}_L \sigma^{\mu\nu} \mu_R]$	$\beta_1 \epsilon_\ell [\bar{\mu}_L \sigma^{\mu u} \tau_R]$	-

Spurion order count  $1 \gg \epsilon_i \gg \delta_i \gg \delta'_i > 0$ 

Predictions at U(2) case

 $BR(\tau \to \mu \gamma) \gg BR(\mu \to e \gamma) \gg BR(\tau \to e \gamma)$ 

Predictions at au = i case

$$\mathcal{B}(\tau \to \mu \gamma) : \mathcal{B}(\tau \to e \gamma) : \mathcal{B}(\mu \to e \gamma) \sim 10^4 : 1 : 10$$

#### **Class 5–7: Fermion Bilinears operators** $(\bar{\psi}\psi)$

	$(\bar{L}R)$				
	5:	$\psi^2 H^3$ + h.c.	6	S: $\psi^2 X H$ + h.c.	
$(\bar{\ell}e)$	$Q_{eH}$	$(H^{\dagger}H)(\bar{\ell}_{p}e_{r}H)$	$Q_{eW}$	$(\bar{\ell}_p \sigma^{\mu\nu} e_r) \tau^I H W^I_{\mu\nu}$	
			$Q_{eB}$	$(\bar{\ell}_p \sigma^{\mu\nu} e_r) H B_{\mu\nu}$	
$(\bar{q}u)$	$Q_{uH}$	$(H^{\dagger}H)(\bar{q}_{p}u_{r}\tilde{H})$	$Q_{uG}$	$(\bar{q}_p \sigma^{\mu\nu} T^A u_r) \tilde{H} G^A_{\mu\nu}$	
			$Q_{uW}$	$(\bar{q}_p \sigma^{\mu\nu} u_r) \tau^I \tilde{H} W^I_{\mu\nu}$	
			$Q_{uB}$	$(\bar{q}_p \sigma^{\mu\nu} u_r) \tilde{H} B_{\mu\nu}$	
$(\bar{q}d)$	$Q_{dH}$	$(H^{\dagger}H)(\bar{q}_{p}d_{r}H)$	$Q_{dG}$	$(\bar{q}_p \sigma^{\mu\nu} T^A d_r) H G^A_{\mu\nu}$	
			$Q_{dW}$	$(\bar{q}_p \sigma^{\mu\nu} d_r) \tau^I H W^I_{\mu\nu}$	
			$Q_{dB}$	$(\bar{q}_p \sigma^{\mu\nu} d_r) H B_{\mu\nu}$	

$$\tau \rightarrow \mu \gamma \& \tau \rightarrow e \gamma \& \mu \rightarrow e \gamma$$

Wilson coefficients in A4 modular symmetry in mass basis

$$\begin{aligned} \mathcal{C}_{e\gamma}'_{ee} &= 3\left(1-\sqrt{3}\right)\tilde{\beta}_{e}|\epsilon_{1}^{*}|\,, \qquad \mathcal{C}_{e\gamma}' = \frac{3}{2}\left(1-\sqrt{3}\right)\tilde{\alpha}_{e}\,, \qquad \mathcal{C}_{e\gamma}' = \sqrt{3}\left(1-\sqrt{3}\right)\tilde{\gamma}_{e} \qquad \mathcal{C}_{e\gamma}'_{LR} \\ \mathcal{C}_{e\gamma}'_{\tau\mu} &= \frac{\sqrt{3}}{2}\left(1-\sqrt{3}\right)\tilde{\alpha}_{e}\left(1-\frac{\tilde{\gamma}_{e}}{\tilde{\gamma}_{e(m)}}\frac{\tilde{\alpha}_{e(m)}}{\tilde{\alpha}_{e}}\right)\,, \\ \mathcal{C}_{e\gamma}'_{\tau e} &= \frac{\sqrt{3}}{2}\left(1-\sqrt{3}\right)\tilde{\beta}_{e}\left(1+\frac{\tilde{\alpha}_{e}}{\tilde{\alpha}_{e(m)}}\frac{\tilde{\beta}_{e(m)}}{\tilde{\beta}_{e}}-2\frac{\tilde{\beta}_{e(m)}}{\tilde{\beta}_{e}}\frac{\tilde{\gamma}_{e}}{\tilde{\gamma}_{e(m)}}\right) \\ \mathcal{C}_{e\gamma}'_{\mu e} &= \frac{3}{2}\left(1-\sqrt{3}\right)\tilde{\beta}_{e}\left(1-\frac{\tilde{\alpha}_{e}}{\tilde{\alpha}_{e(m)}}\frac{\tilde{\beta}_{e(m)}}{\tilde{\beta}_{e}}\right)\,, \end{aligned}$$

$$=\begin{pmatrix} \mathcal{C}'_{e\gamma} & \mathcal{C}'_{e\gamma} & \mathcal{C}'_{e\gamma} \\ ee & e\mu & e\tau \\ \mathcal{C}'_{e\gamma} & \mathcal{C}'_{e\gamma} & \mathcal{C}'_{e\gamma} \\ \mu e & \mu \mu & \mu \tau \\ \mathcal{C}'_{e\gamma} & \mathcal{C}'_{e\gamma} & \mathcal{C}'_{e\gamma} \\ \tau e & \tau \mu & \tau \tau \end{pmatrix}$$

the case is that unknown mode of m is the flavor blind one (  $c_{\alpha} = c_{\beta} = c_{\gamma} = c$  )

$$\frac{\mathcal{C}'_{e\gamma}}{\frac{\tau_e}{\tau_e}} \simeq \frac{1}{\sqrt{3}}, \qquad \qquad \frac{\mathcal{C}'_{e\gamma}}{\frac{\tau_e}{\tau_e}} \simeq \frac{\tilde{\beta}_e \delta_\beta}{\tilde{\alpha}_e \delta_\alpha} \simeq \frac{\tilde{\beta}_e \frac{c}{\tilde{\beta}_{e(m)}}}{\tilde{\alpha}_e \frac{c}{\tilde{\alpha}_{e(m)}}} \simeq 1$$

 $\mathcal{B}(\tau \to \mu \gamma) : \mathcal{B}(\tau \to e \gamma) : \mathcal{B}(\mu \to e \gamma) \sim 1 : 1 : 10$ 

 $(g - 2)_e$ 

$$\begin{split} \mathcal{C}_{e\gamma}' &= 3\left(1 - \sqrt{3}\right)\tilde{\beta}_{e}|\epsilon_{1}^{*}|, \qquad \mathcal{C}_{e\gamma}' = \frac{3}{2}\left(1 - \sqrt{3}\right)\tilde{\alpha}_{e}, \qquad \mathcal{C}_{e\gamma}' = \sqrt{3}\left(1 - \sqrt{3}\right)\tilde{\gamma}_{e} \\ \frac{\mathcal{C}_{e\gamma}'}{\mathcal{C}_{e\gamma}'} &= 2\frac{\tilde{\beta}_{e}}{\tilde{\alpha}_{e}}|\epsilon_{1}^{*}| \simeq 4.9 \times 10^{-3}, \qquad \qquad \frac{\mathcal{C}_{e\gamma}'}{\mathcal{C}_{e\gamma}'} = \frac{\sqrt{3}}{2}\frac{\tilde{\alpha}_{e}}{\tilde{\gamma}_{e}} \simeq 5.9 \times 10^{-2}, \\ \boxed{\Delta a_{e} = \frac{4m_{e}}{e}\frac{v}{\sqrt{2}}\frac{1}{\Lambda^{2}}\mathrm{Re}\left[\mathcal{C}_{e\gamma}'\right] \simeq 5.8 \times 10^{-14}} \end{split}$$

This result is agreement with the naive mass scaling  $~~\Delta a_\ell \propto m_\ell^2$ 

observations

$$\Delta a_e^{Cs} = a_e^{\text{Exp}} - a_e^{\text{SM,CS}} = (-8.8 \pm 3.6) \times 10^{-13} ,$$
  
$$\Delta a_e^{Rb} = a_e^{\text{Exp}} - a_e^{\text{SM,Rb}} = (4.8 \pm 3.0) \times 10^{-13} .$$

#### Wait for future measurements !