# New Physics in Neutrino Oscillations

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#### Workshop on the Standard Model & Beyond | CORFU 6 August 2022











# Minimal model: Seesaw Model

• Simplest extension of SM able to account for neutrino masses. Consists in the addition of heavy fermion singlets ( $N_R$ ) to the SM field content:

Minkowski 77; Gell-Mann, Ramond, Slansky 79 Yanagida 79; Mohapatra, Senjanovic 80

# Minimal model: Seesaw Model

• Simplest extension of SM able to account for neutrino masses. Consists in the addition of heavy fermion singlets ( $N_R$ ) to the SM field content:

$$\mathcal{L} = \mathcal{L}_{SM} + \mathcal{L}_{K} - \frac{1}{2}\overline{N_{i}^{c}}M_{ij}N_{j} - Y_{i\alpha}\overline{N_{i}}\widetilde{H}^{\dagger}L_{\alpha} + h.c.$$

$$\begin{array}{c} 0\nu\beta\beta\\ \text{decay!}\\ \text{New}\\ \text{Physics}\\ \text{Scale}\\ \text{Violation}\\ \text{Leptogenesis!}\\ \text{Fukujita, Yanagida 1986} \end{array}$$

### The New Physics Scale



### The New Physics Scale



P. Hernandez, M. Kekic, JLP 1311.2614; 1406.2961 Bondarenko, Boyarsky,Klaric, Mikulenko, Ruchayskiy Syvolap, Timiryasov 2101.09255

### The New Physics Scale



 $0\nu\beta\beta$  decay, CLFV, Colliders, Beam-dump...

Are Long Baseline Neutrino Oscillation experiments sensitive to New Physics beyond  $3\nu$  framework





# Neutrino Oscillations vs NP scale



# Both limits can be studied in a unified & model independent way

Blennow, Coloma, Fernandez-Martinez, Hernandez-Garcia, JLP 1609.08637 Coloma, JLP, Rosauro-Alcaraz, **Urrea** 2105.11466.

# Model Independent Approach

$$U = \left(\begin{array}{cc} N & \Theta \\ R & S \end{array}\right)$$

# Model Independent Approach



Model Independent Approach  $N_i - \nu_{\alpha}$  mixing  $U = \begin{pmatrix} N & \Theta \\ R & S \end{pmatrix}$ 

#### Deviation from unitarity of the PMNS matrix

Langacker, London 1988 Antusch, Biggio, Fernandez-Martinez, Gavela, JLP 2006

## General Parameterizations

Triangular parameterization

$$N = (I - T)U$$

Deviation from unitarity

$$T = \begin{pmatrix} \alpha_{ee} & 0 & 0\\ \alpha_{\mu e} & \alpha_{\mu \mu} & 0\\ \alpha_{\tau e} & \alpha_{\tau \mu} & \alpha_{\tau \tau} \end{pmatrix}$$

Unitary matrix (standard unitary PMNS matrix up to small corrections)

Z.-z. Xing 2008, 2012 Escrihuela, Forero, Miranda, Tortola 2015

# Far Detector vs Near detector



$$N_{\nu_{\alpha} \to \nu_{\beta}} \sim \frac{\Phi_{\alpha}(E)}{L^2} P_{\alpha\beta}(L/E) \sigma_{\beta}(E) \epsilon_{\beta}(E)$$

- - Cross sections
  - Neutrino flux
- Sources of systematics Near detector measurements reduce far detector systematic uncertainties
  - New Physics at near detector (strongly) affected by systematic uncertainties)

# Far Detector

# Far Detector

• What is measured in neutrino oscillation experiments







• What is measured in neutrino oscillation experiments

$$\mathcal{P}_{\alpha\beta} = \frac{\left| (N \exp(-iHL)N^{\dagger})_{\beta\alpha} \right|^2}{\left[ (NN^{\dagger})_{\alpha\alpha} \right]^2}.$$

• When  $NN^{\dagger} = I \implies \mathcal{P}_{\alpha\beta} = P_{\alpha\beta}$  (SM limit recovered)



# (2) Kinematically accessible Sterile $\nu$

1. The light-heavy oscillations averaged out at the near detector. Identical to the heavy non-unitarity case Blennow, Coloma, Fernandez-Martinez, Hernandez-Garcia, JLP 1609.08637

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2. The oscillation frequency dictated by the light-heavy frequency matches the near detector distance. Oscillations could be observed at the near detector Coloma, JLP, Rosauro-Alcaraz, **Urrea** 2105.11466

# (2) Kinematically accessible Sterile $\nu$

1. The light-heavy oscillations averaged out at the near detector. Identical to the heavy non-unitarity case

Low Scale Non-Unitarity

Blennow, Coloma, Fernandez-Martinez, Hernandez-Garcia, JLP 1609.08637 Coloma, JLP, Rosauro-Alcaraz, **Urrea** 2105.11466

## Present Bounds

	Non-Unitarity $(M > EW)$
$\alpha_{ee}$	$1.3 \cdot 10^{-3}$
$\alpha_{\mu\mu}$	$2.2 \cdot 10^{-4}$
$\alpha_{\tau\tau}$	$2.8 \cdot 10^{-3}$
$ \alpha_{\mu e} $	$6.8 \cdot 10^{-4} \ (2.4 \cdot 10^{-5})$
$ \alpha_{\tau e} $	$2.7\cdot 10^{-3}$
$ \alpha_{\tau\mu} $	$1.2 \cdot 10^{-3}$

Fernandez-Martinez, Hernandez-Garcia, JLP 1605.08774 Blennow, Coloma, Fernandez-Martinez, Hernandez-Garcia, JLP 1609.08637



# Present Bounds

	Non-Unitarity $(M > EW)$	Non-Unitarity $(M \gtrsim 1 \mathrm{eV})$
$\alpha_{ee}$	$1.3 \cdot 10^{-3}$	<b>BUGEY</b> $2.4 \cdot 10^{-2}$
$\alpha_{\mu\mu}$	$2.2 \cdot 10^{-4}$	$2.2 \cdot 10^{-2}$ SK
$\alpha_{\tau\tau}$	$2.8 \cdot 10^{-3}$	SK $1.0 \cdot 10^{-1}$
$ \alpha_{\mu e} $	$6.8 \cdot 10^{-4} (2.4 \cdot 10^{-5})$	$2.5 \cdot 10^{-2}$ Nomad
$ \alpha_{\tau e} $	$2.7 \cdot 10^{-3}$	$6.9 \cdot 10^{-2}$
$ \alpha_{\tau\mu} $	$1.2 \cdot 10^{-3}$	$1.2 \cdot 10^{-2}$ Nomad
Fernandez 1605.0877	z-Martinez, Hernandez-Garcia, JLP 74	$\alpha_{\alpha\beta} \le 2\sqrt{\alpha_{\alpha\alpha}\alpha_{\beta\beta}}$

### Present Bounds











Blennow, Coloma, Fernandez-Martinez, Hernandez-Garcia, JLP 1609.08637. DUNE CDR configuration 1606.09550

# Near Detector

Coloma, JLP, Rosauro-Alcaraz, Urrea 2105.11466.

See also Escrihuela, Forero, Miranda, Tortola, Valle arXiv:1503.08879 for other Near Detector configurations (without including tau detection).

# High Scale Non-Unitarity

• What is measured in Near Detector

$$\mathcal{P}_{\alpha\beta} = \left| (NN^{\dagger})_{\beta\alpha} \right|^2 = |\alpha_{\alpha\beta}|^2 \qquad \begin{array}{c} \text{zero} \\ \text{distance} \\ \text{effect:} \end{array}$$

$$\mathcal{P}_{\alpha\alpha} = \left| (NN^{\dagger})_{\alpha\alpha} \right|^2 = 1 - 4 \,\alpha_{\alpha\alpha}$$

# Sterile Neutrinos: 3+1

• What is measured in Near Detector

$$\mathcal{P}_{\alpha\beta} = 4|U_{\alpha4}|^2|U_{\beta4}|^2\sin^2\frac{\Delta m_{41}^2L}{4E}$$

$$\mathcal{P}_{\alpha\alpha} = 1 - 4|\boldsymbol{U}_{\alpha4}|^2 \sin^2 \frac{\Delta m_{41}^2 L}{4E}$$

Averaged-out regime

• What is measured in Near Detector  $\Delta m^2_{41}\gtrsim 100\,{\rm eV^2}$ 

$$\langle \mathcal{P}_{\alpha\beta} \rangle = 2 |U_{\alpha4}|^2 |U_{\beta4}|^2$$

$$\langle \mathcal{P}_{\alpha\alpha} \rangle = 1 - 2 |U_{\alpha4}|^2$$

Averaged-out regime

• What is measured in Near Detector  $\Delta m^2_{41}\gtrsim 100\,{\rm eV^2}$ 

$$\langle \mathcal{P}_{\alpha\beta} \rangle = 2 |\alpha_{\alpha\beta}|^2$$

zero distance effect:

 $\langle \mathcal{P}_{\alpha\alpha} \rangle = 1 - 4 |\alpha_{\alpha\alpha}|$ 

Low Scale Non-Unitarity

# Low Scale Non-Unitarity





# Light Neutrino mass generation

 Generation of light neutrino masses imposes constraints on mixing between HNLs and active neutrinos from light neutrino sector









Abdullahi et al arXiv:2203.08039 Caputo, Hernandez, JLP, Salvado arXiv:1704.08721



DUNE forecast assuming  $\delta=-\pi/2$ 

Abdullahi et al arXiv:2203.08039 Drewes, Klaric, JLP arXiv: 2207.02742

# PMNS CP-phases from HNLs searches



Hernandez, Kekic, JLP, Racker, Salvado 1606.06719 Caputo, Hernandez, Kekic, JLP, Salvado 1611.05000

PMNS CP-phases from HNLs searches



Measurement of mixing with tau neutrinos would allow to break degeneracies.
 Hernandez, Kekic, JLP, Racker, Salvado 1606.06719

Caputo, Hernandez, Kekic, JLP, Salvado 1611.05000

PMNS CP-phases from HNLs searches



Potential determination of the PMNS Majorana phase!

Hernandez, Kekic, JLP, Racker, Salvado 1606.06719 Caputo, Hernandez, Kekic, JLP, Salvado 1611.05000



See talk by Apostolos Pilaftsis

Low Scale Leptogenesis: Flavor FCC SHiP 0.0 0.0 0.2 0.2 - 0.8 0.8 0.4 0.4 - 0.6 - 0.6  $| heta_{ au}|^2/\overline{ heta}^2$  $| heta_{ au}|^2/\overline{ heta}^2$  $| heta_{\mu}|^2/\overline{ heta}^2$  $| heta_{\mu}|^2/\overline{ heta}^2$ 0.4 0.4 0.8 0.8 - 0.2 0.2 1.0 1.0 0.0 0.0 0.0 0.2 0.4 0.8 1.0 0.0 0.2 0.4 0.6 0.8 1.0 0.6  $|\theta_e|^2/\overline{\theta}^2$  $|\theta_e|^2/\overline{\theta}^2$  $\Delta M/M = 10^{-2}$ 

Hernandez, JLP, Rius, **Sandner**, arXiv: 2207.01651

# Low Scale Leptogenesis: CP phases



Hernandez, JLP, Rius, Sandner, arXiv: 2207.01651

## Low Scale Leptogenesis: $0\nu\beta\beta$ decay



 $\Delta M/M = 10^{-2}$ 

 $N_{R}=2$ 

Hernandez, JLP, Rius, Sandner, arXiv: 2207.01651

# Conclusions

 Near detectors in future neutrino oscillation experiments can play a relevant role in testing the robustness of the 3-neutrino picture
 Low scale Non-Unitarity, sterile neutrino oscillations, NSI (Non-Unitarity results can be easily mapped to NSI framework, see 2105.11466)

• Keeping under control shape uncertainties is a key issue. Joint experimental and theoretical effort required to reduce systematics. Independent measurements of the cross sections would give very relevant information of the energy dependence (see  $\nu$ STORM proposal)

#### • Minimal neutrino mass model

measurement of HNLs mass & mixing would allow to test the mechanisms generating neutrino masses and Baryon asymmetry and to indirectly measure the PMNS phases.



#### FIPs 2022

Workshop on Feebly-Interacting Particles

17-21 October 2022 CERN

#### FIPs in colliders

extracted beams / fixed-target experiments

neutrino experiments

astroparticle physics / cosmology

direct and indirect dark matter detectors

axion / ALP experiments

ultra-light particle searches

and beyond

#### Organizers:

James Beacham Albert De Roeck Marco Drewes Bertrand Echenard Torben Ferber Maurizio Giannotti Gian Francesco Giudice Stefania Gori Pilar Hernandez Igor Irastorza Joerg Jaeckel Felix Kahlhoefer Gaia Lanfranchi Jacobo Lopez Pavon locelvn Monroe

Silvia Pascoli Maxim Pospelov Philip Schuster Aikhail Shaposhnikov Jessie Shelton Yevgeny Stadnik Stefan Ulmer





#### indico.cern.ch/e/FIPs2022

# Approximated LNC

$$M_{\nu} = \begin{pmatrix} \overline{\nu}^{c} & \overline{N}_{1} & \overline{N}_{2} \\ 1 & -1 & 1 & L \\ 0 & Y_{1}^{T} v / \sqrt{2} & \epsilon Y_{2}^{T} v / \sqrt{2} \\ Y_{1} v / \sqrt{2} & \mu' & \Lambda \\ \epsilon Y_{2} v / \sqrt{2} & \Lambda & \mu \end{pmatrix} \begin{pmatrix} 1 & \nu \\ -1 & N_{1}^{c} \\ 1 & N_{2}^{c} \end{pmatrix}$$

• Light nu masses suppressed with LNV parameters

$$m_{\nu} = \mu \frac{v^2}{2\Lambda^2} Y_1^T Y_1 + \frac{v^2}{2\Lambda} \epsilon Y_2^T Y_1 + \frac{v^2}{2\Lambda} Y_1^T \epsilon Y_2$$

• Quasi-Dirac heavy neutrinos with large mixings:

$$M_2 \approx M_1 \approx \Lambda$$
  $\Delta M \approx \mu' + \mu$   $\theta \sim Y_1 v / \Lambda$ 

# Direct searches of HNLs

• Direct detection requires:

$$N_{R}=2$$

$$heta_{lpha i} \gg \sqrt{m/M} ~~~ R_{ij} \gg 1$$

$$\bigoplus \theta_{\alpha i}^2 \propto e^{-2\theta i} e^{2\gamma} f\left(\delta, \phi_1, M_j\right)$$



# Predicting YB in minimal model NR=2

• Neutrinoless double beta decay effective mass in the IH case



Mitra, Senjanovic, Vissani 2011 JLP, Pascoli, Wong 2012



Role of shape uncertainty



- · Sensitivity driven by spectral information.
- Marginal impact of global normalization error.

Coloma, JLP, Rosauro-Alcaraz, Urrea 2105.11466

# Low Scale Leptogenesis (ARS)



# Sterile Neutrinos: 3+1

$$\mathcal{P}_{\mu e} = 4|U_{\mu 4}|^2 |U_{e4}|^2 \sin^2 \frac{\Delta m_{41}^2 L}{4E}$$

$$\mathcal{P}_{\mu\mu} = 1 - 4|U_{\mu4}|^2 \sin^2 \frac{\Delta m_{41}^2 L}{4E}$$

$$\mathcal{P}_{ee} = 1 - 4|U_{e4}|^2 \sin^2 \frac{\Delta m_{41}^2 L}{4E}$$

# 3+1 Sterile Neutrinos: $P_{\mu\mu} + P_{\mu e} + P_{ee}$



Coloma, JLP, Rosauro-Alcaraz, Urrea 2105.11466



Coloma, JLP, Rosauro-Alcaraz, Urrea 2105.11466

#### For instance...



# $5\sigma$ discovery PMNS CP-violation



Caputo, Hernandez, Kekic, JLP, Salvado arXiv:1611.05000

# Flavor pattern vs sensitivity



- Interpretation of ATLAS data depends on assumptions about "flavor mixing pattern" Tastet, Ruchayskiya, Timiryasov 2107.12980 See talks by Tastet and Xabier Marcano
- Same conclusion applies to other experimental searches.

# $\nu_{\tau}$ appearance channel

#### $\mathcal{V}_{\mathcal{T}}$ detection:

- Energy threshold of au production 3.2 GeV.
- Short lifetime of  $\tau$ , indirect measurement via hadronic decays (~ 65% branching ratio).
- NC background. We have considered a sample in which 30% of the hadronic events are identified keeping 0.5% of NC background.

de Gouvêa, Kelly, Stenico, Pasquini 1904.07265

See talks by Pedro Machado & Adam Aurisano

# $\nu_{\tau}$ appearance channel

• Energy threshold of  $\tau$  production 3.2 GeV.



•  $\mathcal{V}_{\mathcal{T}}$  detection: we follow de Gouvêa, Kelly, Stenico, Pasquini 1904.07265

# DUNE set up

#### Globes files

DUNE Collaboration, arXiv:2103.04797 [hep] 8 Mar 2021.

Flux configuration							
	Beam configuration	Power	$E_p$	PoT/yr	$t_{\nu}$ (yr)	$t_{\bar{\nu}} (\mathrm{yr})$	$M_{ m det}$
	Nominal	$1.2 \ \mathrm{MW}$	$120  {\rm GeV}$	$1.1 \times 10^{21}$	3.5	3.5	67.2  tons
	High-Energy	$1.2 \ \mathrm{MW}$	$120~{\rm GeV}$	$1.1  imes 10^{21}$	3.5	—	67.2  tons



Running mode	Sample	Contribution	Event rates $(\times 10^5)$	$E_{\rm obs}^{\rm max} \ ({\rm GeV})$	
	$\nu_e$ -like	Intrinsic cont.	20.18		
		Flavor mis-ID	4.61	7.125	
		NC 6.77			
$\nu$ mode (nominal)	$ u_{\mu} $ -like	$\nu_{\mu}, \bar{\nu}_{\mu} \text{ CC } (P_{\mu\mu} = 1)$	$2,\!235.72$	7 195	
		$\mathbf{NC}$	17.35	1.120	
	$ u_{\tau} $ -like	$\nu_{\tau}, \bar{\nu}_{\tau} \text{ CC } (P_{\mu\tau} = 1)$ 39.33		18	
		$\mathbf{NC}$	3.23	10	
	$\bar{\nu}_e$ -like	Intrinsic cont.	11.18		
		Flavor mis-ID	1.07	7.125	
		NC 3.89			
$\bar{\nu} \mod (\text{nominal})$		$\nu_{\mu}, \bar{\nu}_{\mu} \text{ CC } (P_{\mu\mu} = 1)$	1,013.42	7 195	
	$\nu_{\mu}$ -like	NC	9.76	1.120	
	$\bar{\nu}_{\tau}$ -like	$\nu_{\tau}, \bar{\nu}_{\tau} \text{ CC } (P_{\mu\tau} = 1)$	27.75	18	
		NC	1.80	10	
	$ u_e$ -like	Intrinsic cont.	38.10		
		Flavor mis-ID	12.98	18	
		$\mathbf{NC}$	30.51		
$\nu$ mode (HE)	1:1-0	$\nu_{\mu}, \bar{\nu}_{\mu} \text{ CC } (P_{\mu\mu} = 1)$	5,784.30	10	
	$\nu_{\mu}$ -like	$\mathbf{NC}$	72.15	18	
		$\nu_{\tau}, \bar{\nu}_{\tau} \text{ CC } (P_{\mu\tau} = 1)$	259.67	10	
	$\nu_{\tau}$ -like	$\mathbf{NC}$	9.42	10	

Event sample	Contribution	Benchmark 1		Benchmark 2		Benchmark 3	
		$\sigma_{norm}$	$\sigma_{shape}$	$\sigma_{norm}$	$\sigma_{shape}$	$\sigma_{norm}$	$\sigma_{shape}$
	Signal	5%		5%	_	5%	_
$\nu_e$ -like	Intrinsic cont.	10%		10%	2%	10%	5%
	Flavor mis-ID	5%	_	5%	2%	5%	5%
	$\mathbf{NC}$	10%	_	10%	2%	10%	5%
$ u_{\mu}$ -like	$\nu_{\mu}, \bar{\nu}_{\mu} \text{ CC (signal)}$	10%		10%	2%	10%	5%
	$\mathbf{NC}$	10%	_	10%	2%	10%	5%
$ u_{ au}$ -like	Signal	20%	_	20%	_	20%	_
	NC	10%	_	10%	2%	10%	5%

$$\begin{split} \chi^2_{\min}(\{\Theta\}) &= \min_{\{\xi,\zeta\}} \left[ \chi^2_{\text{stat}}(\{\Theta,\xi,\zeta\}) + \sum_s \left(\frac{\zeta_s}{\sigma_{\text{norm},s}}\right)^2 + \sum_b \left(\frac{\zeta_b}{\sigma_{\text{norm},b}}\right)^2 \\ &+ \sum_i \left(\frac{\xi_i^{\text{sig}}}{\sigma_{\text{shape,sig}}}\right)^2 + \sum_i \left(\frac{\xi_i^{\text{bg}}}{\sigma_{\text{shape,bg}}}\right)^2 \right] \,, \end{split}$$

$$\chi^2_{\text{stat}}(\{\Theta,\xi,\zeta\}) = \sum_i 2\left(N_i(\{\Theta,\xi,\zeta\}) - O_i + O_i \ln \frac{O_i}{N_i(\{\Theta,\xi,\zeta\})}\right)$$
$$N_i(\{\Theta,\xi,\zeta\}) = \sum_s (1+\xi_i^{\text{sig}}+\zeta_s) \, s_i(\{\Theta\}) + \sum_b (1+\xi_i^{\text{bg}}+\zeta_b) \, b_i(\{\Theta\})$$

# Present Bounds (update)

 $2\sigma$ 

90% C.L.

 $\alpha_{\alpha\beta} \le 2\sqrt{\alpha_{\alpha\alpha}\alpha_{\beta\beta}}$ 

	Non-Unitarity $(M > EW)$	Non-Unitarity $(M \gtrsim 1 \mathrm{eV})$
$\alpha_{ee}$	$1.3 \cdot 10^{-3}$	$8.4\cdot 10^{-3}$ solar
$\alpha_{\mu\mu}$	$2.2 \cdot 10^{-4}$	$5.0\cdot10^{-3}$ Minos
$\alpha_{\tau\tau}$	$2.8 \cdot 10^{-3}$	$6.5\cdot10^{-2}$ ATM
$ \alpha_{\mu e} $	$6.8 \cdot 10^{-4} (2.4 \cdot 10^{-5})$	$9.2 \cdot 10^{-3}$
$ \alpha_{\tau e} $	$2.7 \cdot 10^{-3}$	$1.4 \cdot 10^{-2}$
$ \alpha_{\tau\mu} $	$1.2 \cdot 10^{-3}$	$1.1 \cdot 10^{-2}$

Fernandez-Martinez, Hernandez-Garcia, JLP 1605.08774 Blennow, Coloma, Fernandez-Martinez, Hernandez-Garcia, JLP 1609.08637 Argüelles et al, 2203.10811