



Soft Limits and the Double-Copy

Based on
Arxiv:2106.12600 with Chi, A. Herderschee,
C. Jones, S. Paranjape
and Arxiv:1611.07534 w/ C. Jones and S. Naculich

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Celestial Amplitudes and Flat Space Holography Workshop Virtually in Corfu
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Celestial Amplitudes from Soft Limits

When $p^\mu \rightarrow \epsilon p^\mu$ with $\epsilon \rightarrow 0$

Photons $A_{n+1}^{\text{ph}} = \left(\frac{\mathcal{S}^{(0)}}{\epsilon^2} + \frac{\mathcal{S}^{(1)}}{\epsilon} \right) A_n$

Gravity $A_{n+1}^{\text{grav}} = \left(\frac{\mathcal{S}^{(0)}}{\epsilon^3} + \frac{\mathcal{S}^{(1)}}{\epsilon^2} + \frac{\mathcal{S}^{(2)}}{\epsilon} \right) A_n$

Weinberg (1965)

Cachazo & Strominger (2014)

The soft factors are **UNIVERSAL**.

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Cachazo & Strominger (2014)

New terms from certain-cubic field EFT operators

Jones, Naculich, HE (2016)

Motivated by the question of loop-corrections:
The effect of massive particles are encoded in EFT operators.

The soft factors are UNIVERSAL.

Divergent Soft Limit Master Formula

In 4d, the universal soft limits can be derived from a Master Formula

Jones, Naculich, HE (2016)

$$\hat{A}_{n+1}(z, \epsilon) = \sum_{k, h_P, c} \frac{g_{H_k} [sk]^{2h_s - a} \langle Xs \rangle^{1-a} \hat{A}_n^{(k)}(z)}{\epsilon z^{a-1} \langle sk \rangle^{2-a} \left(1 - \frac{z \langle Xk \rangle}{\epsilon \langle sk \rangle}\right)} + O(\epsilon^0)$$

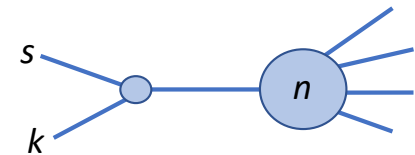
$$a \equiv h_s - h_k - h_P + 1$$

where we use 4d spinor helicity formalism $p = -|p\rangle[p|$ and a positive-helicity particle s is taken soft holomorphically:

$$p_s = -|s\rangle[s| \rightarrow -\epsilon|s\rangle[s| \quad \text{with} \quad |s\rangle \rightarrow \epsilon|s\rangle \quad \text{and} \quad |s| \rightarrow |s|$$

The formula is derived from very basic principles (locality & unitarity)

- Only cubic interactions can give rise to divergent soft limits
- Tree amplitudes factorize on their simple poles
- 3-pt amplitudes of massless particles are uniquely fixed by the particle helicities h



Above X is a reference spinor and z is an auxiliary variable.

Divergent Soft Limit Master Formula

There can be no poles at $z=0$

\Rightarrow

- charge conservation
- equivalence principle
- Massless spin > 2 cannot couple consistently gravitons
- Massless spin $3/2$ must couple supersymmetrically to gravitons

Jones, Naculich, HE (2016)

Well-known statements, but derived from same compact formula.

The order $O(z^0)$ terms in the Master Formula

\Rightarrow

the universal soft theorems

and

specify precisely which 3-field EFT operators contribute at subleading order to the soft photon theorem
and at subsubleading order to the soft graviton theorem



Soft behavior

Under the holomorphic shift of a massless soft particle,

$$p_s = -|s\rangle[s| \quad \text{with} \quad |s\rangle \rightarrow \epsilon|s\rangle \quad \text{and} \quad [s] \rightarrow [s]$$

a (tree) amplitude generally behaves as

$$A_n \rightarrow O(\epsilon^\sigma)$$

where σ is the **soft weight**

We saw divergent soft limits

Photons: $\sigma = -2$

Gravitons: $\sigma = -3$

Also

Gluons: $\sigma = -2$

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When $\sigma > 0$ the amplitude has vanishing soft limits.

Often (but not always) the case for Goldstone bosons (Adler zeros)

Examples: NLSM (such as chiral perturbation theory) $\sigma = 1$

DBI (Dirac-Born-Infeld) $\sigma = 2$

Special Galileon $\sigma = 3$

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Also models with $\sigma = 0$ e.g.

Born-Infeld (BI)
N=2 SUSY CP¹ NLSM
Conformal-DBI

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Double-Copy

The double-copy maps gluon amplitudes to gravity amplitudes

$$\text{gravity}^+ = (\text{Yang-Mills}) \times (\text{Yang Mills})$$

So.... How can it be that

Gravitons:	$\sigma = -3$
Gluons:	$\sigma = -2$

When naively one might have expected

$$\sigma_{\text{grav}} \stackrel{?}{=} \sigma_{\text{YM}} + \sigma_{\text{YM}}$$

The double-copy in field theory: KLT form

$$A_n^{L \otimes R} = \sum_{a,b} A_n^L[a] S_n[a|b] A_n^R[b]$$

Color-ordered tree amplitudes

[Kawai-Lewellen-Tye 1985]

a and b are choices of $(n-3)!$ color orderings

KLT kernel a function of Mandelstams

Ex 4pt: $A_4^{\text{gravity}} = A_4^{\text{YM}}[1234] S_4[1234|1243] A_4^{\text{YM}}[1243]$

with $S_4[1234|1243] = -s$

It remarkable that this works!!

Color-ordered YM gluon amplitudes: $A_4[1234]$ has simple poles in s and u , but not t .
 $A_4[1243]$ has simple poles in s and t , but not u .

$$\begin{aligned}s &= (\rho_1 + \rho_2)^2 \\ t &= (\rho_1 + \rho_3)^2 \\ u &= (\rho_1 + \rho_4)^2\end{aligned}$$

Graviton amplitudes have no color-structure, so $M_4(1234)$ has simple poles in the s , t and u channels.

How can a product of A_4 's possibly get even the pole structure of M_4 right??? *And* avoid double-poles?

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And avoid double-poles?

Answer: **DOUBLE-COPY KERNEL**

$$M_4 = -s A_4[1234] A_4[1243]$$

$$M_4 = -\frac{su}{t} A_4[1234] A_4[1234]$$

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$$M_4 = -\frac{su}{t} A_4[1234] A_4[1234]$$

The double-copy kernel:

- 1) Eliminates double-poles from $A_4 * A_4$
- 2) Provides "missing" poles

Another important aspect of field theory KLT: **KKBCJ relations**

$$M_4 = -sA_4[1234]A_4[1243] \quad \text{and} \quad M_4 = -\frac{su}{t}A_4[1234]A_4[1234]$$

then their difference must be zero, i.e.

$$0 = A_4[1243] - \frac{u}{t}A_4[1234]$$

And this **is** true for YM amplitudes.

This is an example of a **BCJ (Bern-Carrasco-Johansson) relation** at 4-point.

Kleiss-Kuijf	{	Trace-reversal: $\mathcal{A}_4[1432] = \mathcal{A}_4[1234]$, <i>etc</i>	}	“KKBCJ relations”
		$U(1)$ -decoupling: $\mathcal{A}_4[1234] + \mathcal{A}_4[1243] + \mathcal{A}_4[1423] = 0$,		
		BCJ: $\mathcal{A}_4[1234] - \frac{t}{u}\mathcal{A}_4[1243] = 0$.		

A n -point

$$A_n^{L \otimes R} = \sum_{a,b} A_n^L[a] S_n[a|b] A_n^R[b]$$

choices of $(n-3)!$ color orderings

KLT kernel

and associated **KKBCJ relations** that ensure that the result of the double-copy is *independent* of the choice of $(n-3)!$ color-orders out of the $(n-1)!$ possible in the KLT sum.

Field theory double-copy 'selection criterium'

In order to be "double-copyable", a theory's tree amplitudes must obey the KK and BCJ relations.

reduces the number of color-orderings from $(n-1)!$ to $(n-2)!$

reduces the number of color-orderings from $(n-2)!$ to $(n-3)!$

A new way to explore the landscape of field theories: *which theories can be input/output of the double-copy?*

Which theories obey the field theory KK&BCJ relations?

YM theory ✓

Chiral perturbation theory ✓

Super YM theory ✓

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Double-copy multiplication table

FT \otimes FT	YM	$\mathcal{N} = 4$ SYM	χ PT
YM	gravity+	$\mathcal{N} = 4$ SG	BI
$\mathcal{N} = 4$ SYM	$\mathcal{N} = 4$ SG	$\mathcal{N} = 8$ SG	$\mathcal{N} = 4$ sDBI
χ PT	BI	$\mathcal{N} = 4$ sDBI	sGalileon

$$n_{L \otimes R} = n_L n_R$$

Helicity maps as $h_{L \otimes R} = h_L + h_R$

Softness maps as $\sigma_{L \otimes R} = 1 + \sigma_L + \sigma_R$

Example: all 70 scalars of N=8 supergravity have vanishing soft limits with $\sigma = 1$

Does $FT \times FT \rightarrow FT$ have an identity element?

$$L = L \otimes \mathbf{1}, \quad R = \mathbf{1} \otimes R, \quad \mathbf{1} = \mathbf{1} \otimes \mathbf{1}.$$

Does FT x FT -> FT have an identity element?

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Color structure

$$A_n^{L \otimes R} = \sum_{a,b} A_n^L[a] S_n[a|b] A_n^R[b]$$

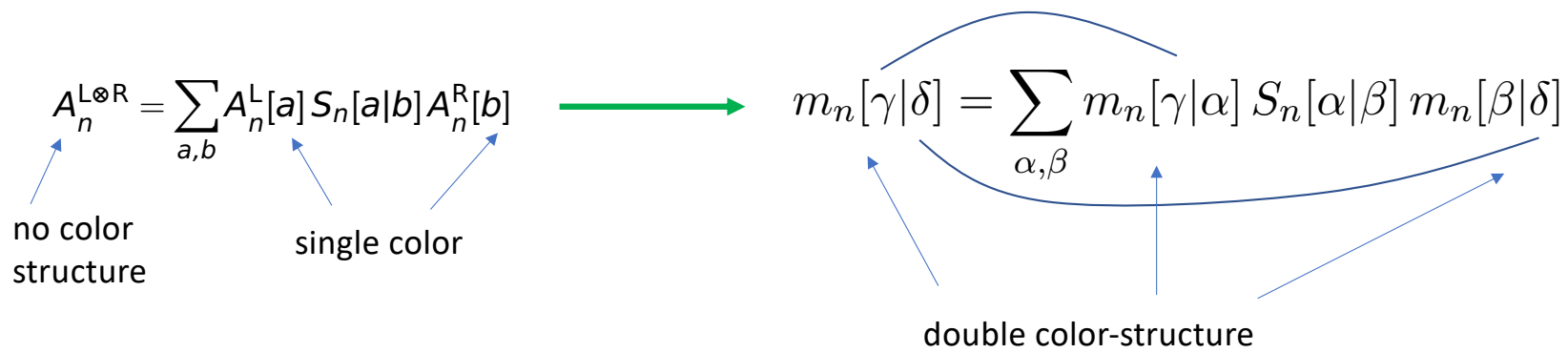
no color structure

single color

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Color structure

$$A_n^{L \otimes R} = \sum_{a,b} A_n^L[a] S_n[a|b] A_n^R[b] \longrightarrow m_n[\gamma|\delta] = \sum_{\alpha,\beta} m_n[\gamma|\alpha] S_n[\alpha|\beta] m_n[\beta|\delta]$$

no color structure single color double color-structure

Helicity maps as $h_{L \otimes R} = h_L + h_R$

Softness maps as $\sigma_{L \otimes R} = 1 + \sigma_L + \sigma_R$

Spectrum has to map to self
 \Rightarrow only allow a single scalar in double-adjoint rep $\phi^{aa'}$

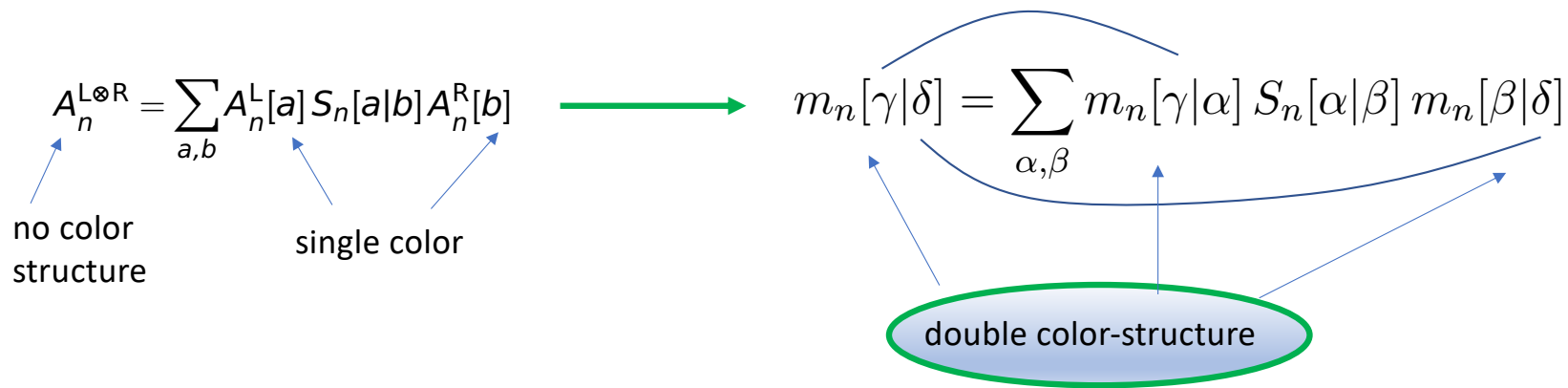
$$\sigma = 1 + 2\sigma \implies \sigma = -1$$

ϕ^3 interaction

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$$\phi^{aa'}$$

$$\sigma = 1 + 2\sigma \quad \Rightarrow \quad \sigma = -1$$

$$\phi^3 \text{ interaction}$$

Which model is it?

ϕ^3 interaction

$$f^{abc} \tilde{f}^{a'b'c'} \phi^{aa'} \phi^{bb'} \phi^{cc'} \quad ?$$

$$i f^{abc} = \text{Tr} [T^a [T^b, T^c]]$$

$$L = L \otimes \mathbf{1}, \quad R = \mathbf{1} \otimes R, \quad \mathbf{1} = \mathbf{1} \otimes \mathbf{1}.$$

$$d^{abc} \tilde{d}^{a'b'c'} \phi^{aa'} \phi^{bb'} \phi^{cc'} \quad ?$$

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~~$$d^{abc} \tilde{d}^{a'b'c'} \phi^{aa'} \phi^{bb'} \phi^{cc'} \quad ?$$~~

~~$$d^{abc} = \text{Tr} [T^a \{T^b, T^c\}]$$~~

Cubic Bi-Adjoint Scalar model (BAS)

$$\mathcal{L}_{\text{BAS}} = -\frac{1}{2} \left(\partial_\mu \phi^{aa'} \right)^2 - g f^{abc} \tilde{f}^{a'b'c'} \phi^{aa'} \phi^{bb'} \phi^{cc'}$$

Scalar in adjoint of (say) $U(N)$ and $U(N')$

structure constants

So that takes care of $\mathbf{1} = \mathbf{1} \otimes \mathbf{1}$

What about the rest of the algebra?

The double-copy is a **map** $FT \times FT \rightarrow FT$

The kernel defines the **multiplication rule** of this map

$FT \otimes FT$	YM	$\mathcal{N} = 4$ SYM	χ PT	BAS
YM	gravity+	$\mathcal{N} = 4$ SG	BI	YM
$\mathcal{N} = 4$ SYM	$\mathcal{N} = 4$ SG	$\mathcal{N} = 8$ SG	$\mathcal{N} = 4$ sDBI	$\mathcal{N} = 4$ SYM
χ PT	BI	$\mathcal{N} = 4$ sDBI	sGalileon	χ PT
BAS	YM	$\mathcal{N} = 4$ SYM	χ PT	BAS

String KLT *also* has an identity element:
BAS + very specific higher-derivative operators.

KLT algebra

$$L = L \otimes 1, \quad R = 1 \otimes R, \quad \mathbf{1} = 1 \otimes 1.$$

Consider now the double-copy in EFT contexts

Which theories obey the field theory KKBCJ relations?

YM theory ✓ Chiral perturbation theory ✓

Super YM theory ✓ Bi-adjoint scalar model ✓

What about higher-derivative operators in EFTs?

YM: $\text{tr}F^2$ ✓ $\text{tr}F^3$ ✓ $\text{tr}F^4$ 1X $\text{tr}D^2F^4$ 1✓1X $\text{tr}D^4F^4$ 1✓2X ...

↑ Dixon & Broedel

Here just MHV counting

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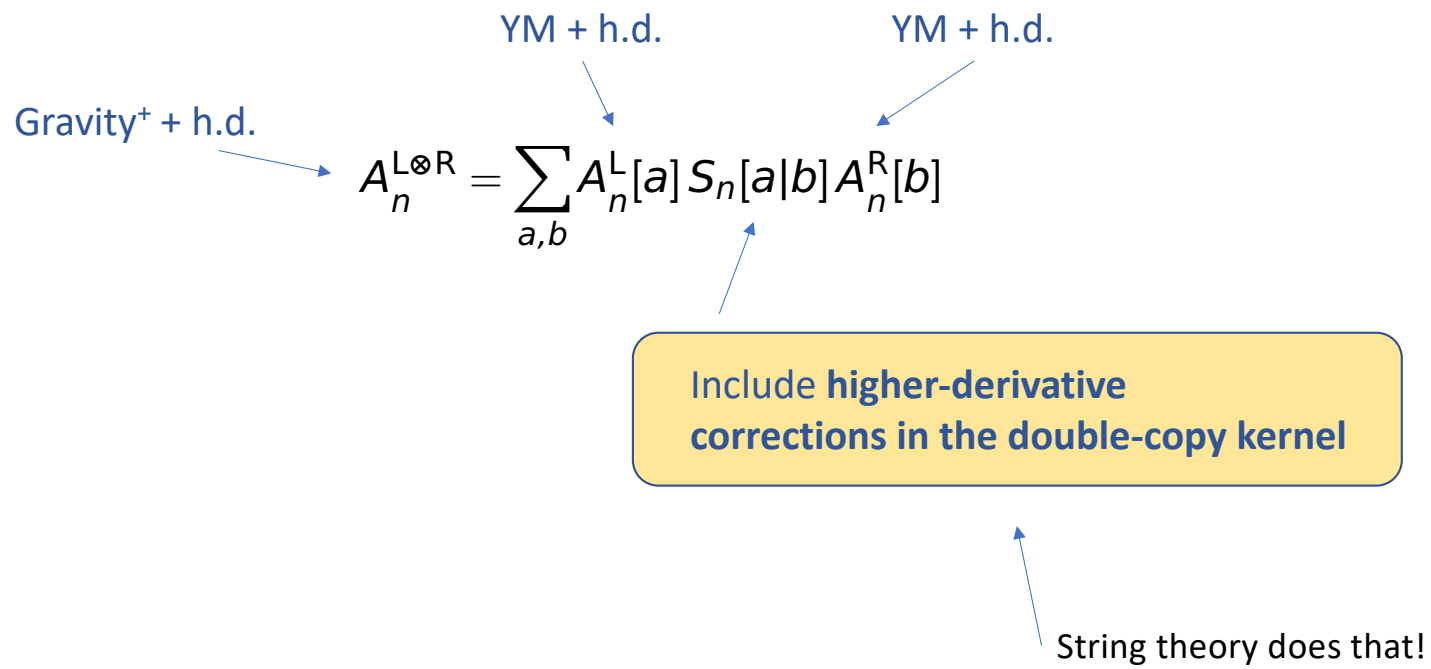
YM: $\text{tr}F^2$ ✓ $\text{tr}F^3$ ✓ $\text{tr}F^4$ 1X $\text{tr}D^2F^4$ 1✓1X $\text{tr}D^4F^4$ 1✓2X ... Here only MHV counting

χ PT: $\text{tr}\partial^2\phi^n$ ✓ $\text{tr}\partial^4\phi^4$ 2X $\text{tr}\partial^6\phi^4$ 1✓1X $\text{tr}\partial^8\phi^4$ 1✓2X $\text{tr}\partial^{10}\phi^4$ 1✓2X ...

Why are some operators allowed and not others? Is this the most general story?

$$A_n^{L \otimes R} = \sum_{a,b} A_n^L[a] S_n[a|b] A_n^R[b]$$

Gravity⁺ + h.d. YM + h.d. YM + h.d.



String theory KLT

KLT originally came from closed string = (open string)² at tree-level

$$A_n^{L\otimes R} = \sum_{a,b} A_n^L[a] S_n[a|b] A_n^R[b]$$

string KLT kernel


The KLT kernel is deeply linked with the open string amplitudes to ensure correct pole structure in the closed string amps.

Upon expansion in α' , this translates to very particular higher-derivative corrections of the kernel: not the most general options and tuned exactly to the α' corrections in the open string.

Example: $S_4[1234|1243] = -\sin(\pi\alpha's) = -\pi\alpha's + \frac{1}{6}(\pi\alpha's)^3 + \dots$

Only s -dependence, no t or u ; why?

Only odd powers in s ; why?

$$A_n^{L\otimes R} = \sum_{a,b} A_n^L[a] S_n[a|b] A_n^R[b]$$


What are the rules for generalizing the KLT kernel?

The generalized double-copy kernel should

- 1) eliminate double-poles
- 2) provide “missing” poles
- 3) not introduce spurious poles

We propose a new framework for systematically analyzing generalizations of the double-copy kernel: the KLT bootstrap

2106.12600 with Chi, A. Herderschee, C. Jones, S. Paranjape

The proposal is based on the **KLT algebra**



KLT algebra

$$L = L \otimes 1, \quad R = 1 \otimes R, \quad 1 = 1 \otimes 1.$$

KLT Bootstrap
Equation

*When the multiplication rule is changed,
the identity element is changed, and vice versa:
The kernel and the identity model are uniquely linked!*

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Generalize the **KKBCJ / monodromy** relations

(will not derive here; see 2106.12600)

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Bi-Adjoint Scalar model (BAS)

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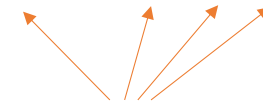
Statement $\text{BAS} = \text{BAS} \times \text{BAS}$ --- or $1 = 1 \otimes 1$ can be written as

$$m_n[\gamma|\delta] = \sum_{\alpha, \beta} m_n[\gamma|\alpha] S_n[\alpha|\beta] m_n[\beta|\delta]$$

Double-sum over $(n-3)!$ color orderings

or in **matrix form**

$$m_n = m_n \cdot S_n \cdot m_n$$



$(n-3)! \times (n-3)!$ submatrices

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or in **matrix form** $m_n = m_n \cdot S_n \cdot m_n$

So multiplying from both the left and right with inverses of matrices of BAS amplitudes gives

$$S_n = (m_n)^{-1}$$

[Cachazo et al]

The field theory KLT kernel is the inverse of an $(n-3)! \times (n-3)!$ submatrix of BAS amplitudes!

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4-point case

Tree amplitudes color-ordered wrt both color-factors, e.g.

$$m_4[1234|1234] = \frac{g^2}{s} + \frac{g^2}{u}, \quad m_4[1234|1243] = -\frac{g^2}{s} \quad \Rightarrow$$

$$S_4[1234|1234] = (m_4[1234|1234])^{-1} = -\frac{su}{tg^2},$$

$$S_4[1234|1243] = (m_4[1243|1234])^{-1} = -\frac{s}{g^2}.$$

Strings KLT kernel

The string theory KLT kernel is the inverse of an $(n-3)! \times (n-3)!$ submatrix of BAS+ (specific h.d.) amplitudes!

$$m_4^{(\alpha')} [1234|1243] = -\frac{1}{\sin(\pi\alpha's)} = -\frac{1}{\pi\alpha's} - \frac{1}{6}\pi\alpha's - \frac{7}{360}(\alpha'\pi s)^3 + \dots$$

↑
BAS

[Mizera]

How to generalize the double-copy kernel?

Which terms are allowed in BAS+h.d.?

KLT bootstrap

$n=4 \Rightarrow (n-1)! = 6$ single-trace color-orderings: 1234, 1243, 1324, 1342, 1423, 1432

Recall that $\mathbf{1} = \mathbf{1} \otimes \mathbf{1}$ means $m_n = m_n \cdot S_n \cdot m_n$ and this implies $S_n = (m_n)^{-1}$

Written out for rank $(4-3)! = 1$ at 4-point means, for example:

$$m_n[1234|1234] = m_n[1234|1243] \frac{1}{m_4[1243|1243]} m_n[1243|1234]$$

← KLT bootstrap equation

$$\Rightarrow m_n[1234|1234] m_4[1243|1243] - m_n[1234|1243] m_n[1243|1234] = 0$$

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Written out for rank $(4-3)!=1$ at 4-point means, for example:

$$m_n[1234|1234] = m_n[1234|1243] \frac{1}{m_4[1243|1243]} m_n[1243|1234] \quad \leftarrow \text{KLT bootstrap equation}$$

$$\Rightarrow m_n[1234|1234] m_4[1243|1243] - m_n[1234|1243] m_n[1243|1234] = 0$$

So this condition is that a 2x2 minor of the 6x6 **matrix of $m_4[\mathbf{a}|\mathbf{b}]$ amplitudes** have to vanish:

$$\begin{pmatrix} m_4[1234|1234] & m_4[1234|1243] & \dots \\ m_4[1243|1234] & m_4[1243|1243] & \dots \\ \dots & \dots & \dots \end{pmatrix}$$

Similarly, all 2x2 minors must vanish! But that's just saying that we must have a rank 1 system. **Aha!**

KLT bootstrap at 4-pt

$$m_4[1234|1234] = f_1(s, t) \quad \text{with} \quad f_1(s, t) = f_1(-s - t, t),$$

$$m_4[1234|1243] = f_2(s, t),$$

$$m_4[1234|1324] = f_3(s, t) = f_2(-s - t, t),$$

$$m_4[1234|1342] = f_4(s, t) = f_2(s, t),$$

$$m_4[1234|1423] = f_5(s, t) = f_2(-s - t, t),$$

$$m_4[1234|1432] = f_6(s, t) \quad \text{with} \quad f_6(s, t) = f_6(-s - t, t),$$

Cyclic symmetry & momentum relabeling

6 x 6 matrix for these amplitudes has rank 6.

Imposing the vanishing of all 2x2 minors =>

4-point KLT bootstrap equations

$$f_1(s, t) = \frac{f_2(s, t)f_2(-s - t, s)}{f_2(t, s)}, \quad f_6(s, t) = f_1(s, t).$$

$$f_2(s, t)f_2(-s - t, s)f_2(t, -s - t) = f_2(t, s)f_2(-s - t, t)f_2(s, -s - t).$$

Solved by BAS and the strings
BAS+h.d. amplitudes.

What else solves it?

Most general rank $(n-3)!$ kernel at 4-point

Write the most general ansatz for f_2 :
$$f_2(s, t) = -\frac{g^2 \Lambda^2}{s} + \sum_{k=0}^N \sum_{r=0, k} \frac{a_{k,r}}{\Lambda^{2k}} s^r t^{k-r}$$

Solve the **KLT bootstrap equations** order by order. Impose **locality**. **Result:**

$$f_2(s, t) = -\frac{g^2 \Lambda^2}{s} + \frac{1}{\Lambda^2} (a_{1,0} t + a_{1,1} s) + \frac{a_{2,0}}{\Lambda^4} t(s+t) + \frac{1}{\Lambda^6} [a_{3,0} t^3 + a_{3,1} s t^2 + a_{3,2} s^2 t + a_{3,3} s^3] + \mathcal{O}\left(\frac{1}{\Lambda^8}\right)$$

Strings result recovered for

$$a_{1,1} = -\frac{1}{6}, \quad a_{3,3} = -\frac{7}{360}, \dots$$

and all other $a_{i,j} = 0$

New double-copy kernel much more general.

4-point result as BAS + h.d. Lagrangian

$$\begin{aligned}
 \mathcal{L} = & -\frac{1}{2} \left(\partial_\mu \phi^{aa'} \right)^2 - \frac{g}{6} f^{abc} \tilde{f}^{a'b'c'} \phi^{aa'} \phi^{bb'} \phi^{cc'} \\
 & - \frac{a_L + a_R}{2\Lambda^4} f^{abx} f^{cdx} f^{a'b'x'} f^{c'd'x'} (\partial_\mu \phi^{aa'}) (\partial^\mu \phi^{bb'}) \phi^{cc'} \phi^{dd'} \\
 & + \frac{a_L}{\Lambda^4} f^{abx} f^{cdx} d^{a'b'x'} d^{c'd'x'} (\partial_\mu \phi^{aa'}) \phi^{bb'} (\partial^\mu \phi^{cc'}) \phi^{dd'} \\
 & + \frac{a_R}{\Lambda^4} d^{abx} d^{cdx} f^{a'b'x'} f^{c'd'x'} (\partial_\mu \phi^{aa'}) \phi^{bb'} (\partial^\mu \phi^{cc'}) \phi^{dd'} + \dots
 \end{aligned}
 \qquad d^{abc} = \text{Tr} \left[T^a \{ T^b, T^c \} \right]$$

Observations

- There is no $d^{abc} d^{a'b'c'} \phi_{aa'} \phi_{bb'} \phi_{cc'}$ term: it does not solve the rank 1 bootstrap equations.
- There is no ϕ^4 term; does not solve the rank 1 bootstrap equations
- The d^{abc} terms modify the U(1) decoupling identities that are part of the field theory KK relations and generalize the strings monodromy relations.
- Known strings kernel has $a_L = a_R$. The generalization allows “heterotic”-type double-copy.

Double-copy of YM + h.d.

Impose generalized KKBCJ relations \Leftrightarrow

$$\mathbf{1} \otimes \mathbf{R} = \mathbf{R} \quad \mathbf{L} \otimes \mathbf{1} = \mathbf{L}$$

on a general ansatz for MHV 4-pt YM + h.d. to find

$$\mathcal{A}_4^L[1^+ 2^+ 3^- 4^-] = [12]^2 \langle 34 \rangle^2 \left[\frac{(g_{\text{YM}}^L)^2}{su} - \frac{1}{\Lambda^4} \left(\frac{(g_{\text{YM}}^L)^2}{g^2} a_L + (g_{F^3}^L)^2 \frac{t}{s} \right) - \frac{e_{3,1}^L}{\Lambda^6} t + \mathcal{O}\left(\frac{1}{\Lambda^8}\right) \right]$$

Usual YM

$\text{tr } F^4$

Pole term w/ two $\text{tr } F^3$ vertices

$\text{tr } D^2 F^4$

Its coefficient is controlled by the generalized KLT kernel

And similarly for the R sector.

Summarizing the difference between admissible operators in ordinary field theory KLT vs. the new generalized KLT:

For YM + higher-derivatives

FT KLT YM: $\text{tr}F^2$ ✓ $\text{tr}F^3$ ✓ $\text{tr}F^4$ 1✗ $\text{tr}D^2F^4$ 1✓1✗ $\text{tr}D^4F^4$ 1✓2✗ ...

Gen. KLT YM: $\text{tr}F^2$ ✓ $\text{tr}F^3$ ✓ $\text{tr}F^4$ 1✓ $\text{tr}D^2F^4$ 1✓1✗ $\text{tr}D^4F^4$ 1✓2✓ ...

Green checkmark: operator allowed with arbitrary coefficient.

Blue checkmark: operator allowed with coefficient fixed by the parameters in the KLT kernel.

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For chiPT + higher-derivatives

FT KLT χ PT: $\text{tr} \partial^2 \phi^n$ ✓ $\text{tr} \partial^4 \phi^4$ 2✗ $\text{tr} \partial^6 \phi^4$ 1✓1✗ $\text{tr} \partial^8 \phi^4$ 1✓2✗ $\text{tr} \partial^{10} \phi^4$ 1✓2✗

Gen. KLT χ PT: $\text{tr} \partial^2 \phi^n$ ✓ $\text{tr} \partial^4 \phi^4$ 2✗ $\text{tr} \partial^6 \phi^4$ 1✓1✓ $\text{tr} \partial^8 \phi^4$ 1✓2✗ $\text{tr} \partial^{10} \phi^4$ 1✓2✓

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Summarizing the difference between admissible operators in ordinary field theory KLT vs. the new generalized KLT:

For YM + higher-derivatives

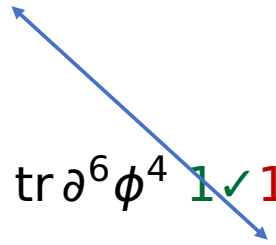
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For chiPT + higher-derivatives

FT KLT χ PT: $\text{tr} \partial^2 \phi^n$ ✓ $\text{tr} \partial^4 \phi^4$ 2✗ $\text{tr} \partial^6 \phi^4$ 1✓1✗ $\text{tr} \partial^8 \phi^4$ 1✓2✗ $\text{tr} \partial^{10} \phi^4$ 1✓2✗

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Green checkmark: operator allowed with arbitrary coefficient.

Blue checkmark: operator allowed with coefficient fixed by the parameters in the KLT kernel.

For FIXED choice of kernel, this LINKS the coefficients of $\text{tr} F^4$ with that of one of the $\text{tr} \partial^6 \phi^4$ operators.

Double-copy of YM + h.d. \rightarrow Gravity⁺ + h.d.

$$\begin{aligned}
 \mathcal{M}_4(1^+2^+3^-4^-) &= [12]^4 \langle 34 \rangle^4 \\
 &\times \left[-\frac{(g_{\text{YM}}^L)^2 (g_{\text{YM}}^R)^2}{g^2 \Lambda^2} \frac{1}{stu} + \frac{((g_{\text{YM}}^L)^2 (g_{F^3}^R)^2 + (g_{\text{YM}}^R)^2 (g_{F^3}^L)^2)}{g^2 \Lambda^6} \frac{1}{s} \right. \\
 &\quad \left. + \frac{1}{\Lambda^8} \left(\frac{(g_{\text{YM}}^L)^2 (g_{\text{YM}}^R)^2}{g^4} a_{2,0} + \frac{1}{g^2} ((g_{\text{YM}}^R)^2 e_{3,1}^L + (g_{\text{YM}}^L)^2 e_{3,1}^R) \right) \right. \\
 &\quad \left. + \mathcal{O}\left(\frac{1}{\Lambda^{10}}\right) \right]
 \end{aligned}$$

Usual Einstein gravity
Pole term from exchanges of dilaton *and* axion!

vanishes in string theory
local R⁴ contribution

In the field theory or strings double copy, there is less freedom in the coefficient of R⁴.

The result of the double-copy: in all cases checked, *same operators produced but with shifts of their coefficients.*

Higher-point

Necessary to test consistency by going to higher point:

*What if the KLT bootstrap at 5-point further fixed some of the 4-point kernel coefficients a_{ij} ?
(Then we'd be in trouble!)*

For $n=5$ $\Rightarrow (n-1)! = 4! = 24$ distinct orderings.

Cyclic symmetry + momentum relabelings \Rightarrow parameterized by 8 functions $g_i(s,t)$, $i=1,2,\dots,8$.

We impose the rank $(n-3)! = 2$ conditions equivalent to $\mathbf{1} = \mathbf{1} \otimes \mathbf{1}$ on this 24×24 system and solve.

Found **consistent solution for the bootstrapped 5pt (BAS+h.d.) amplitudes**; **no constraints placed on 4-pt coefficients**; in fact, up to quadratic order in Mandelstams, the amplitudes are completely fixed by 4-pt input.

Tested for 5pt +++++ YM+h.d.



Summary

- We have investigated the algebraic structure of the KLT multiplication rule.
- The KLT algebra gives a systematic way to generalize the double-copy in the KLT form: the **double-copy bootstrap**.
- Solved as BAS + most general h.d. terms for **minimal rank** $(n-3)!$ at 4- and 5-point.
- Tested in examples with YM and χ PT.

$$L = L \otimes \mathbf{1}, \quad R = \mathbf{1} \otimes R, \quad \mathbf{1} = \mathbf{1} \otimes \mathbf{1}.$$

Generalize the **KKBCJ / monodromy** relations

KLT Bootstrap Equation

Outlook

- 1) To the orders checked, the generalized double-copy produces the **same** h.d. operators in the double-copy LxR amplitude, but with some shifted Wilson coefficients: why?
small multiplicity / low-enough dim effect? or something more fundamental?
=> Currently studying similarity transformations from “hybrid” double-copy kernels, finding interesting algebraic structures. [Alan Chen & H.E., work in progress].

- 2) **The method is more than BAS+hd.** It is a *framework for exploring more general forms of the double-copy*:
 - Does there exist other form of the double-copy without the cubic BAS interaction?
 - Is minimal rank $(n-3)!$ fundamental?
 - Initiated study of non-minimal rank examples in our paper, more to do.

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- 3) Also, recent work on higher-derivative terms in the color-factors in the BCJ formulation
[Carrasco, Rodina, Zekioglu, Z.Yin (2019+2021)]
=> their BCJ-form => BAS + h.d. also with rank $(n-3)!$ (in the examples we have checked)
=> have translated a few examples to their form to ours
The relationship should be studied more.

Example of exact kernel solution

$$\begin{aligned}
 \mathcal{L} = & -\frac{1}{2} (\partial_\mu \phi^{aa'})^2 - \frac{g}{6} f^{abc} \tilde{f}^{a'b'c'} \phi^{aa'} \phi^{bb'} \phi^{cc'} \\
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 \end{aligned}$$

Exact minimal rank solution at 4pt and 5pt

- L sector unmodified BAS KKBCJ relations
- R sector has modified KKBCJ relations

Kernel

$$S_4[1234|1234] = (m_4[1234|1234])^{-1} = -\frac{g^2 t}{su} - 4 \frac{a_R}{\Lambda^4} t$$

YM + h.d.

$$\mathcal{A}_4^L[1^+ 2^+ 3^- 4^-] = [12]^2 \langle 34 \rangle^2 \frac{(g_{\text{YM}}^L)^2}{su}$$

$$\mathcal{A}_4^R[1^+ 2^+ 3^- 4^-] = (g_{\text{YM}}^R)^2 [12]^2 \langle 34 \rangle^2 \left[\frac{1}{su} + \frac{4a_R}{g^2 \Lambda^4} \right]$$

Double-copy:

$$\mathcal{M}_4(1^+ 2^+ 3^- 4^-) = \kappa^2 \frac{[12]^4 \langle 34 \rangle^4}{stu}$$

So: GR = YM x (YM + F⁴) !!!

Outlook

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 - The relationship should be studied more.

- 4) Positivity constraints? EFT-hedron? UV completability? What makes the strings kernel special?



Outlook

5) The double-copy also has a celestial version

Casali + Puhm 2007

Is there a celestial formulation of the double-copy bootstrap?

Collaborators



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Postdoc at UCLA



Shruti Paranjape
Graduated Spring 2021
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4th year graduate student



HuanHang Chi
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Thank you

